

AGREEMENT NO.
BETWEEN THE CITY OF LOS ANGELES
AND
JACOBS PROJECT MANAGEMENT CO.

THIS AGREEMENT ("Agreement") is made and entered into by and between the CITY OF LOS ANGELES, a municipal corporation ("City") acting by and through its Board of Harbor Commissioners ("Board"), and JACOBS PROJECT MANAGEMENT CO., a Delaware corporation, whose address is 3161 Michelson Drive, Suite 500, Irvine California 92612 ("Consultant").

WHEREAS, City requires professional, scientific, expert or technical services of a temporary and occasional character, including construction management services for the construction of the Interstate I-110/John S. Gibson Blvd. Interchange Project as described in Exhibit "A" hereto ("Project"); and

WHEREAS, Consultant is an organization that provides services, including, but not limited to, those services required by the City and, by virtue of training and experience, is well-qualified to provide such services to the City; and

WHEREAS, by reason of the nature and length of the services required by City, it is not economical or feasible for City to have such services performed by its own employees;

NOW, THEREFORE, in consideration of the covenants, terms and conditions hereinafter contained to be kept and performed by the respective parties hereto, it is mutually agreed as follows:

1. Incorporation of Recitals.

1.1 The recitals to this Agreement above are incorporated herein and made a part hereof.

2. Services To Be Performed By Consultant.

2.1 All of the services Consultant shall perform for City are set forth in Exhibit "B" hereto and hereinafter shall be referred to as "Scope of Work." Among other things, Exhibit "B" breaks down the Scope of Work into individual tasks and, in some cases, further breaks down such tasks into subtasks, which hereinafter shall be referred to generically as "Tasks" and "Subtasks." The aggregate of all Tasks and, as applicable, Subtasks, comprises the Scope of Work.

2.2 Consultant's performance of Tasks and, as applicable, Subtasks shall occur as follows:

a. The Chief Harbor Engineer of the Construction Division of City's Harbor Department ("Engineer") shall issue a written document in the form

attached hereto as Exhibit "C" that has been signed by Executive Director of the City's Harbor Department ("Executive Director") and that specifies, without limitation: the Task or Subtask to be performed; the specific services required in connection with such Task or Subtask; the deliverables required in the performance of such Task or Subtask; the schedule for the performance of such Task or Subtask; authorized personnel who may perform the Task or Subtask; authorized compensation for such Task or Subtask; and MBE/WBE/SBE/VSBE/DVBE/DBE/UDBE/OBE utilization ("Directive"). Directives shall specifically identify any services that fall within the meaning of "design professional services" as defined by Section 2782.8 of the California Civil Code to which the indemnity obligation set forth at Section 9.2 of this Agreement shall apply. A Directive's failure to identify such "design professional services" shall bar application of Section 9.2 to that Directive.

b. Consultant, to reflect its agreement with all the terms of such Directive, shall sign, date and return such Directive to Engineer.

c. Following Engineer's receipt of the Directive signed by Consultant, Engineer shall issue a written document in the form attached hereto as Exhibit "D" that has been signed by Engineer and that authorizes Consultant to commence performance of the services contemplated by such Directive ("Notice to Proceed").

2.3 Consultant acknowledges and agrees that it lacks authority to perform and that Engineer lacks authority to request the performance of any services outside the Scope of Work. Consultant further acknowledges and agrees that any services it performs outside the Scope of Work or a Directive, or in the absence of both a Directive and a Notice to Proceed, are performed as a volunteer and shall not be compensable under this Agreement.

2.4 The Scope of Work shall be performed by personnel qualified and competent in the sole reasonable discretion of Engineer, whether performance is undertaken by Consultant or third-parties with whom Consultant has contracted and whom are listed on Exhibit "L" on the effective date of this Agreement, or whom Engineer may subsequently approve in writing ("Subconsultants"). Obligations of this Agreement, whether undertaken by Consultant or Subconsultants, are and shall be the responsibility of Consultant. Consultant acknowledges and agrees that this Agreement creates no rights in Subconsultants with respect to City and that obligations that may be owed to Subconsultants, including, but not limited to, the obligation to pay Subconsultants for services performed, are those of Consultant alone. Upon Engineer's written request, Consultant shall supply City's Harbor Department with all agreements between it and its Subconsultants.

2.5 Consultant's performance of the Scope of Work shall adhere in all respects to the schedule set forth in Exhibit "E" hereto and to the scheduling requirements, if any, set forth in a Directive.

2.6 Consultant, at its sole cost and expense, shall furnish all services, materials, equipment, subsistence, transportation and all other items necessary to perform the Scope of Work. As between City and Consultant, Consultant is solely responsible for any taxes or fees which may be assessed against it or its employees resulting from performance of the Scope of Work, whether social security, payroll or

other, and regardless of whether assessed by the federal government, any state, the City, or any other governmental entity. City shall pay applicable state or local fees necessary to obtain approval, plan checks, permits and variances for the Project.

2.7 Engineer shall resolve in his or her sole reasonable discretion any issues or questions which may arise during the term of this Agreement as to the quality or acceptability of Consultant's performance of the Scope of Work, the manner of performance, the interpretation of direction given to Consultant, the acceptable completion of a Directive, and the amount of compensation due. Upon written notice from Engineer, Consultant shall assign replacement personnel and/or shall remedy any deficient services or work product to Engineer's reasonable satisfaction and at Consultant's sole cost and expense. Compliance with the requirements of this Section 2.7 is a condition to payment by City of compensation to Consultant pursuant to this Agreement.

2.8 Consultant's representative responsible for administering this Agreement, Gary Plunkett ("Project Manager"), shall not be changed without Engineer's written approval. Engineer may, for any reason in his or her sole reasonable discretion, require Consultant to substitute a new Project Manager. If City requests such a substitution, the substitute Project Manager shall expend whatever time and costs necessary to become familiar with the Project and any portions of the Scope of Work already performed at Consultant's sole cost and expense.

2.9 If the law requires Consultant, in performing the Scope of Work, to follow a different standard of care than the ordinary standard of care applied to a reasonable person, Consultant shall perform such services with the degree of diligence, skill, judgment, and care applicable to Consultant's profession ("professional standard"). Consultants not required to follow a professional standard shall exercise the degree of care required of ordinary persons.

2.10 For portions of the Scope of Work to be performed on a time and material basis, Consultant shall assign personnel, whether employees or Subconsultants, with the lowest applicable hourly rate who are fully competent to provide the services required. If Consultant finds it necessary to have any portion of the Scope of Work, which this Section 2.10 would require to be performed by personnel at a lower rate, to be performed by personnel at a higher rate, Consultant shall, nevertheless, invoice City at the lower rate.

2.11 Consultant shall promptly consider and implement, to the reasonable satisfaction of Engineer, any written comments of Engineer.

2.12 Consultant shall review information provided by City's Harbor Department, whether in the form of drawings, documents, and/or written or verbal comments, excluding survey data. Any such information reasonably believed by Consultant to be inaccurate, incomplete or inapplicable shall be brought promptly to the attention of Engineer in writing.

2.13 Consultant shall perform the Scope of Work as expeditiously as possible and at the time or times required by the Engineer. Time is of the essence in the performance of the Scope of Work. Consultant's failure to conform to the schedule set forth in Exhibit "E" shall entitle City to have services completed by others, shall obligate

Consultant to pay City's cost to undertake completion of such services, and shall authorize City to withhold such amounts from any payments otherwise due to Consultant. Consultant's failure to timely perform in accordance with the schedule set forth in Exhibit "E" shall result in economic losses to the City, including, but not limited to, the timely bidding and awarding of contracts, completion of the Project and the use of the Project by City's Harbor Department, its tenants and the public.

3. Services To Be Performed By City.

3.1 City's Harbor Department shall provide Consultant with available and/or necessary horizontal and vertical survey data in the form of field notes or electronic format as maintained by City's Harbor Department, access to public records, prints of existing aerial photos, existing planimetric maps, environmental documents, existing oceanographic studies and existing soil reports in the vicinity, previous specifications and other information which, in the sole reasonable discretion of Engineer, shall assist in completing the Scope of Work.

3.2 City's Harbor Department shall provide all necessary copies and prints of the Final PSEs for bid advertisement and construction.

3.3 City's Harbor Department shall provide survey, construction inspection, construction contract administration and soil compaction-testing services unless the Scope of Work provides otherwise.

3.4 Consultant shall provide Engineer with reasonable advance written notice if it requires access to the Project area or any other premises of City's Harbor Department. Subsequent access rights, if any, shall be granted to Consultant at the sole reasonable discretion of Engineer, specifying conditions Consultant must satisfy in connection with such access. Consultant acknowledges that the Project area may be occupied or used by tenants or contractors of City and that access rights granted by City's Harbor Department to Consultant shall be consistent with any such occupancy or use.

3.5 City shall not be obligated to provide information and/or services except as specified in this Agreement.

4. Effective Date and Term.

4.1 After approval by City in accordance with Section 245 of City's Charter, the effective date of this Agreement shall be the date of its execution by Executive Director. Consultant acknowledges that Section 245 of City's Charter furnishes to the City Council of City ("Council") the right to review this Agreement and that this Agreement shall not become effective until the sixth Council meeting day after approval of this Agreement by Board or Council's approval of the Agreement.

4.2 The term of this Agreement shall not exceed three (3) years, commencing on the Agreement's effective date. This Agreement shall be in full force and effect until:

- a. Engineer determines that Consultant has completed the Scope of

Work and provides Consultant written notice thereof; or

b. Board, in its sole discretion, terminates this Agreement, which termination shall become effective five (5) calendar days following Executive Director's transmittal of written notice advising Consultant of such action by Board. Upon receipt of such written notice, Consultant shall cease the performance of the Scope of Work. Consultant shall be entitled to compensation only for services actually performed prior to such termination. Engineer, in his or her sole reasonable discretion, shall determine the amount of services actually performed and shall allocate a portion of the total compensation due Consultant accordingly. If Board so terminates this Agreement, Consultant shall deliver all drawings, specifications, plans, reports, studies, calculations, estimates, documents and other work product produced pursuant to this Agreement to City in an organized, usable form with all items properly labeled to the degree of detail specified by the Engineer. No compensation shall be due Consultant until it complies with the requirements of this paragraph; or

c. Three (3) years have elapsed from the effective date of the Agreement.

4.3. Notwithstanding the foregoing, this Agreement is subject to the provisions of City's Charter which, among other things, precludes City from making any expenditure of funds or incurring any liability, including contractual commitments, in excess of the amount appropriated therefor. Board, in awarding this Agreement, is expected to appropriate sufficient funds to meet the estimated expenditure of funds through June 30 of the current fiscal year and to make further appropriations in each succeeding fiscal year during the life of the Agreement. However, Board is under no legal obligation to do so. City, its boards, officers, and employees are not bound by the terms of this Agreement or obligated to make payment thereunder in any fiscal year in which the Board does not appropriate funds therefor. Consultant is not entitled to any compensation in any fiscal year in which funds have not been appropriated for the Agreement by Board.

Although Consultant is not obligated to perform any services required by the Scope of Work in any fiscal year in which no appropriation for the Agreement has been made, Consultant shall resume performance of the Scope of Work on the same terms and conditions for a period of sixty (60) days after the end of the fiscal year if an appropriation therefor is approved by Board within that sixty (60)-day period. Consultant is responsible for maintaining all insurance and bonds during this sixty (60) day period. The time for performance shall be extended during this period until the appropriation is made; however, such extension of time is not compensable.

If in any subsequent fiscal year funds are not appropriated by Board for this Agreement, this Agreement shall be terminated. However, such termination shall not relieve the parties of liability for any obligation previously incurred.

5. Compensation.

5.1 For the full and satisfactory performance of the Scope of Work, City shall

pay Consultant and Consultant shall accept a sum not to exceed Four Million Two Hundred Sixty Five Thousand Dollars (\$4,265,000) to be paid as specified in Exhibit "F". If Exhibit "F" allocates a specific amount to the performance of a Task or Subtask and if, following the completion of such Task or Subtask, unexpended sums remain in relation to such specific amount, Executive Director may, upon written notice to Consultant, allocate such unexpended sums to the performance of a subsequent Task or Subtask.

5.2 Compensation payable under this Agreement includes payment for all labor, travel, per diem, fringe benefits, general and administrative expenses, overhead, profit, materials, supplies, transportation, and all other direct and indirect costs and expenses incurred by Consultant ("Expenses"). As such, Consultant shall not be entitled to separate reimbursement of Expenses under this Agreement unless Exhibit "F" so allows. No markups or premiums shall be applied to services performed by Subconsultants unless Exhibit "F" expressly so allows. Compensation payable under this Agreement shall be on a (1) fixed fee, (2) hourly, or (3) combined fixed fee and hourly basis in accordance with the terms below, as may be more particularly specified in Exhibit "F" and Exhibit "G."

a. Fixed Fee. Lump sum compensation for satisfactory performance of Tasks identified as "Lump Sum" in Exhibit "F."

b. Hourly Fee. An amount equal to the product of the hours expended by Consultant and the applicable hourly rates set forth in Exhibit "G" for time actually spent in the performance of Tasks identified as "Estimated" in Exhibit "F." The rates identified in Exhibit "G" state the maximum rates Consultant shall charge under this Agreement. No premium rates, including, but not limited to, overtime or hazardous duty premiums, shall be charged.

5.3 Consultant may not increase the rates set forth in Exhibit "G" by any amount after (12) months of service.

5.4 Each month during the term of this Agreement, as a prerequisite to payment for services, Consultant shall submit a written invoice to City's Harbor Department for services performed during the prior month, accompanied by such records and receipts as may be specifically required in Exhibit "F." Each such invoice shall bear a City Business Tax Registration Number and a Taxpayer Identification Number. Each invoice shall identify all services performed by Subconsultants. If payments are to be based on the performance of established milestones, Consultant shall bill as each milestone is completed, but not more often than once a month.

Consultant shall submit one (1) original and four (4) copies of each such invoice for payment in the format that contains the information specified in Exhibit "H" and that includes the following certification:

"I certify under penalty of perjury that the above bill is just and correct according to the terms of Agreement No. _____ and that payment has not been received. I further certify that I have complied with the provisions of the City's Living Wage Ordinance.

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(signed)

5.5 Consultant shall submit supporting documents with each invoice as may be set forth in a Directive. Such documents may include, but are not limited to, provider invoices, payrolls, and time sheets.

5.6 If Consultant utilizes Subconsultants to perform aspects of the Scope of Work, Consultant shall submit to City, with each monthly invoice, a Monthly Subconsultant Monitoring Report in the form attached hereto as Exhibit "I." Consultant shall provide an explanation for any item that does not meet or exceed the participation levels required by a particular Directive, with specific plans and recommendations for improved subconsultant utilization. Invoices will not be paid without a completed Monthly Subconsultant Monitoring Report form. Consultant is not required to submit support for direct costs items of \$25 or less.

5.7 All sums due and payable to Consultant shall be paid as soon as, in the ordinary course of City business, the same may be reviewed and approved. City shall use all reasonable effort to pay said sums within sixty (60) days of receipt of each statement.

For payment and processing, all invoices shall be mailed to the following address:

Accounts Payable Section
Harbor Department, City of Los Angeles
P.O. Box 191
San Pedro, CA 90733-0191

6. Recordkeeping and Audit Rights.

6.1 Consultant shall keep and maintain full, complete and accurate books of accounts and records of the services performed under this Agreement in accordance with generally accepted accounting principles consistently applied, which books and records shall be readily accessible to and open for inspection and copying at the premises by City, its auditors or other authorized representatives. Notwithstanding any other provision of this Agreement, failure to do so shall constitute a conclusive waiver of any right to compensation for such services as are otherwise compensable hereunder. Such books and records shall be maintained by Consultant for a period of three (3) years after completion of services to be performed under this Agreement or until all disputes, appeals, litigation or claims arising from this Agreement have been resolved.

6.2 During the term of this Agreement, City may audit, review and copy any and all writings (as that term is defined in Section 250 of the California Evidence Code) of Consultant and Subconsultants arising from or related to this Agreement or performance of the Scope of Work, whether such writings are (a) in final form or not, (b) prepared by Consultant, Subconsultants or any individual or entity acting for or on behalf of Consultant or a Subconsultant, and (c) without regard to whether such writings have previously been provided to City. Consultant shall be responsible for obtaining access to and providing writings of Subconsultants. Consultant shall provide City at Consultant's sole cost and expense a copy of all such writings within fourteen (14) calendar days of a written request by City. City's right shall also include inspection at reasonable times of the Consultant's office or facilities which are engaged in the performance of the Scope of Work. Consultant shall, at no cost to City, furnish reasonable facilities and assistance for such review and audit. Consultant's failure to comply with this Section 6.2 shall constitute a material breach of this Agreement and shall entitle City to withhold any payment due under this Agreement until such breach is cured.

7. Consultant Is An Independent Contractor.

Consultant, in the performance of the Scope of Work, is an independent contractor and not an agent or employee of City. Consultant shall not represent itself as an agent or employee of the City and shall have no power to bind the City in contract or otherwise.

8. Business Tax Registration Certificate.

City's Office of Finance requires the implementation and enforcement of Los Angeles Municipal Code Section 21.09 et seq. This section provides that every person, other than a municipal employee, who engages in any business within City, is required to obtain the necessary Business Tax Registration Certificate and pay business taxes. The City Controller has determined that this Code Section applies to consulting firms that are doing work for City's Harbor Department. See Exhibit "J."

9. Indemnification and Insurance.

9.1 Indemnification

Except for the sole negligence or willful misconduct of the City, or any of its Boards, Officers, Agents, Employees, Assigns and Successors in Interest, Consultant undertakes and agrees to defend, indemnify and hold harmless the City and any of its Boards, Officers, Agents, Employees, Assigns, and Successors in Interest from and against all suits and causes of action, claims, losses, demands and expenses, including, but not limited to, attorney's fees (both in house and outside counsel) and cost of litigation (including all actual litigation costs incurred by the City, including but not limited to, costs of experts and consultants), damages or liability of any nature whatsoever, for death or injury to any person, including Consultant's employees and agents, or damage or destruction of any property of either party hereto or of third parties, arising in any manner by reason of the negligent acts, errors, omissions or willful misconduct incident to the performance of this Agreement by Consultant or its subcontractors of any tier. Rights and remedies available to the City under this provision

are cumulative of those provided for elsewhere in this Agreement and those allowed under the laws of the United States, the State of California, and the City.

9.2 Indemnification for Design Professional Services

To the fullest extent permitted by law (including without limitation, Section 2782.8 of the California Civil Code), when the services to be provided under this Agreement are design professional services to be performed by a design professional, as that term is defined under said Section 2782.8, Consultant shall indemnify, protect, defend and hold harmless City and any and all of its boards, officers, agents, or employees from and against all claims, charges, demands, costs, expenses (including counsel fees), judgments, civil fines and penalties, liabilities or losses of any kind or nature whatsoever which may be sustained or suffered by or secured against the City, its boards, officers, agents, and/or employees that arise out of, pertain to, or relate to the negligence, recklessness, or willful misconduct of Consultant, or the acts or omissions of an officer, employee, agent or Subconsultant, excepting only liability resulting from the negligence or willful misconduct of City.

9.3 Acceptable Evidence and Approval of Insurance

Electronic submission is the required method of submitting Consultant's insurance documents. Consultant's insurance broker or agent shall register with the City's online insurance compliance system **Track4LA**™ at <http://track4la.lacity.org/> and submit the appropriate proof of insurance on Consultant's behalf.

9.4 General Liability Insurance

Consultant shall procure and maintain in effect throughout the term of this Agreement, without requiring additional compensation from the City, commercial general liability insurance covering personal and advertising injury, bodily injury, and property damage providing contractual liability, independent contractors, products and completed operations, and premises/operations coverage written by an insurance company authorized to do business in the State of California rated VII, A- or better in Best's Insurance Guide (or an alternate guide acceptable to City if Best's is not available) within Consultant's normal limits of liability but not less than Two Million Dollars (\$2,000,000) combined single limit for injury or claim. Said limits shall provide first dollar coverage except that Executive Director may permit a self-insured retention or self-insurance in those cases where, in his or her judgment, such retention or self-insurance is justified by the net worth of Consultant. The retention or self-insurance provided shall provide that any other insurance maintained by the Harbor Department shall be excess of Consultant's insurance and shall not contribute to it. In all cases, regardless of any deductible or retention, said insurance shall contain a defense of suits provision and a severability of interest clause. Additionally, each policy shall include an additional insured endorsement (CG 2010 or equivalent) naming the City of Los Angeles Harbor Department, its officers, agents and employees as Primary additional insureds, a 10-days notice of cancellation for nonpayment of premium, and a 30-days notice of cancellation for any other reasons.

9.5 Automobile Liability Insurance

Consultant shall procure and maintain at its expense and keep in force at all times during the term of this Agreement, automobile liability insurance written by an insurance company authorized to do business in the State of California rated VII, A- or better in Best's Insurance Guide (or an alternate guide acceptable to City if Best's is not available) within Consultant's normal limits of liability but not less than One Million Dollars (\$1,000,000) covering damages, injuries or death resulting from each accident or claim arising out of any one claim or accident. Said insurance shall protect against claims arising from actions or operations of the insured, or by its employees. Coverage shall contain a defense of suits provision and a severability of interest clause. Additionally, each policy shall include an additional insured endorsement (CG 2010 or equivalent) naming the City of Los Angeles Harbor Department, its officers, agents and employees as Primary additional insureds, a 10-days notice of cancellation for nonpayment of premium, and a 30-days notice of cancellation for any other reasons.

9.6 Workers' Compensation and Employer's Liability

Consultant shall certify that it is aware of the provisions of Section 3700 of the California Labor code which requires every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of that Code, and that Consultant shall comply with such provisions before commencing the performance of the tasks under this Agreement. Coverage for claims under U.S. Longshore and Harbor Workers' Compensation Act, if required under applicable law, shall be included. Consultant shall submit Workers' Compensation policies whether underwritten by the state insurance fund or private carrier, which provide that the public or private carrier waives its right of subrogation against the City in any circumstance in which it is alleged that actions or omissions of the City contributed to the accident. Such Worker's Compensation and occupational disease requirements shall include coverage for all employees of Consultant, and for all employees of any subcontractor or other vendor retained by Consultant.

9.7 Professional Liability Insurance

Consultant is required to provide Professional Liability insurance with respect to negligent or wrongful acts, errors or omissions, or failure to render services in connection with the professional services to be provided under this Agreement. This insurance shall protect against claims arising from professional services of the insured, or by its employees, agents, or contractors, and include coverage (or no exclusion) for contractual liability.

Consultant certifies that it now has professional liability insurance in the amount of Two Million Dollars (\$2,000,000), which covers work to be performed pursuant to this Agreement and that it will keep such insurance or its equivalent in effect at all times during performance of said Agreement and until two (2) years following acceptance of the completed project by Board.

Each policy shall include a 10-days notice of cancellation for nonpayment of premium, and a 30-days notice of cancellation for any other reasons.

Notice of occurrences of claims under the policy shall be made to the City Attorney's office with copies to Risk Management.

9.8 Railroad Protective Liability Insurance

Consultant shall provide a policy of Railroad Protective Liability insurance in which Pacific Harbor Line (PHL) acting for itself and its railroad users are named insureds and the City of Los Angeles, its boards, officers, agents and employees are included as additional insureds with Consultant. The minimum limits of Railroad Protective Liability insurance shall be the limits normally carried by Consultant but not less than Two Million Dollars (\$2,000,000) combined single limit for property damage and bodily injury including death. If the submitted policies contain aggregate limits, Consultant shall provide evidence of insurance protection for such limits so that the required coverage is not diminished in the event that the aggregate limits become exhausted. Said limit shall be without deduction, provided that the Executive Director or designee may permit a deductible amount when it is justified by the financial capacity of Consultant. Any deductible amount permitted by the Executive Director shall be paid solely by Consultant.

Consultant's Comprehensive General Liability coverage shall also have the railroad exclusion deleted.

9.9 Carrier Requirements

All insurance which Consultant is required to provide pursuant to this Agreement shall be placed with insurance carriers authorized to do business in the State of California and which are rated A-, VII or better in Best's Insurance Guide. Carriers without a Best's rating shall meet comparable standards in another rating service acceptable to City.

9.10 Notice of Cancellation

Each insurance policy described above shall provide that it will not be canceled or reduced in coverage until after the Board of Harbor Commissioners, Attention: Risk Manager and the City Attorney of City have each been given thirty (30) days' prior written notice by registered mail addressed to 425 S. Palos Verdes Street, San Pedro, California 90731.

9.11 Modification of Coverage

Executive Director, at his or her discretion, based upon recommendation of independent insurance consultants to City, may increase or decrease amounts and types of insurance coverage required hereunder at any time during the term hereof by giving ninety (90) days' prior written notice to Consultant.

9.12 Renewal of Policies

At least thirty (30) days prior to the expiration of each policy, Consultant shall direct their insurance broker or agent to submit to the City's online insurance compliance system **Track4LA**™ at <http://track4la.lacity.org/> a renewal endorsement or renewal certificate showing that the policy has been renewed or extended or, if new

insurance has been obtained, evidence of insurance as specified above. If Consultant neglects or fails to secure or maintain the insurance required above, Executive Director may, at his or her own option but without any obligation, obtain such insurance to protect City's interests. The cost of such insurance will be deducted from the next payment due Consultant.

9.13 Right to Self-Insure

Upon written approval by the Executive Director, Consultant may self-insure if the following conditions are met:

1. Consultant has a formal self-insurance program in place prior to execution of this Agreement. If a corporation, Consultant must have a formal resolution of its board of directors authorizing self-insurance.
2. Consultant agrees to protect the City, its boards, officers, agents and employees at the same level as would be provided by full insurance with respect to types of coverage and minimum limits of liability required by this Agreement.
3. Consultant agrees to defend the City, its boards, officers, agents and employees in any lawsuit that would otherwise be defended by an insurance carrier.
4. Consultant agrees that any insurance carried by Department is excess of Consultant's self-insurance and will not contribute to it.
5. Consultant provides the name and address of its claims administrator.
6. Consultant submits its most recently filed 10-Q and its 10-K or audited annual financial statements for the three most recent fiscal years prior to Executive Director's consideration of approval of self-insurance and annually thereafter.
7. Consultant agrees to inform Department in writing immediately of any change in its status or policy which would materially affect the protection afforded Department by this self-insurance.
8. Consultant has complied with all laws pertaining to self-insurance.

9.14 Accident Reports

Consultant shall report in writing to Executive Director within fifteen (15) calendar days after it, its officers or managing agents have knowledge of any accident or occurrence involving death of or injury to any person or persons, or damage in excess of Five Hundred Dollars (\$500.00) to property, occurring upon the premises, or elsewhere within the Port of Los Angeles if Consultant's officers, agents or employees are involved in such an accident or occurrence. Such report shall contain to the extent available (1) the name and address of the persons involved, (2) a general statement as

to the nature and extent of injury or damage, (3) the date and hour of occurrence, (4) the names and addresses of known witnesses, and (5) such other information as may be known to Consultant, its officers or managing agents.

10. Personal Services Agreement.

10.1 During the term of this Agreement, Consultant agrees that it will not enter into other contracts or perform any work without the written permission of the Executive Director where the work may conflict with the interests of City's Harbor Department.

10.2 Consultant acknowledges that it has been selected to perform the Scope of Work because of its experience, qualifications and expertise. Any assignment or other transfer of this Agreement or any part hereof shall be void provided, however, that Consultant may permit Subconsultant(s) to perform portions of the Scope of Work in accordance with Section 2.4. All Subconsultants whom Consultant utilizes, however, shall be deemed to be its agents. Subconsultants' performance of the Scope of Work shall not be deemed to release Consultant from its obligations under this Agreement or to impose any obligation on the City to such Subconsultant(s) or give the Subconsultant(s) any rights against the City.

11. Confidentiality.

Consultant shall not disclose any proprietary or confidential information of City to any third party or parties during or after the term of this Agreement without the prior written consent of City. The data, documents, reports, or other materials which contain information relating to the review, documentation, analysis and evaluation of the Scope of Work and any recommendations made by Consultant relative thereto shall be considered confidential and shall not be reproduced, altered, used or disseminated by Consultant or its employees or agents in any manner except and only to the extent necessary in the performance of the work under this Agreement. In addition, Consultant is required to safeguard such information from access by unauthorized personnel.

12. Affirmative Action.

Consultant shall not discriminate in its employment practices against any employee or applicant for employment because of employee's or applicant's race, religion, national origin, ancestry, sex, age, sexual orientation, disability, marital status, domestic partner status, or medical condition. The provisions of Section 10.8.4 of the Los Angeles Administrative Code are incorporated herein by this reference and made a part of this Agreement. All subcontracts awarded shall contain a like nondiscrimination provision. See Exhibit "K."

13. Small/Very Small Business Enterprise Program and Local Business Preference Program.

It is the policy of City's Harbor Department to provide Small Business Enterprises (SBE), Very Small Business Enterprises (VSBE) and Minority-Owned, Women-Owned, Disabled Veteran Business Enterprises (DVBE) and all Other Business Enterprises (MBE/WBE/DVBE/OBE) an equal opportunity to participate in the performance of all City contracts in all areas where such contracts afford such participation opportunities. Consultant shall assist City's Harbor Department in implementing this policy and shall use its best efforts to afford the opportunity for SBEs, VSBEs, MBEs, WBEs, DVBEs,

and OBEs to achieve participation in subcontracts where such participation opportunities present themselves and attempt to ensure that all available business enterprises, including SBEs, VSBES, MBEs, WBEs, DVBEs, and OBEs, have equal participation opportunities which might be presented under this Agreement. See Exhibit "L".

It is also the policy of the Department to support an increase in local and regional jobs. The Department's Local Business Preference Program aims to benefit the Southern California region by increasing jobs and expenditures within the local and regional private sector. Consultant shall assist the City in implementing this policy and shall use its best efforts to afford the opportunity for Local Business Enterprises to achieve participation in subcontracts where such participation opportunities present themselves.

NOTE: Prior to being awarded a contract with the City, Consultant and all Subconsultants must be registered on the City's Contracts Management and Opportunities Database, Los Angeles Business Assistance Virtual Network (LABAVN), at <http://www.labavn.org>.

14. Disadvantaged Business Enterprise (DBE) Program.

14.1 Disadvantaged Business Enterprise (DBE)

This Agreement and the Project are subject to Part 26 Title 49, Code of Federal Regulations entitled "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs." In order to ensure that its federally mandated statewide overall DBE goals are achieved, the City encourages the participation of Disadvantaged Business Enterprises (DBEs), as defined in 49 CFR 26 in the performance of contracts financed in whole or in part with federal funds. Consultant shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of subcontracts.

Consultant shall include the following Subconsultant language in the subcontracts that Consultant signs with a Subconsultant:

- A. A subconsultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract.
- B. The subconsultant shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of this contract.
- C. Consultant shall include in their subcontracts language providing the use of appropriate alternative dispute resolution mechanisms to resolve payment disputes.

14.2 DBE Regulations incorporated into Agreement

Consultant shall be fully informed in respect to the requirements of the DBE Regulations. The DBE Regulations in their entirety are incorporated herein by reference.

Attention is directed to the following matters:

- A. A DBE must be a small business concern as defined pursuant to Section 3 of the U.S. Small Business Act and relevant regulations promulgated pursuant thereto;
- B. A UDBE (Underutilized Disadvantaged Business Enterprise) is a firm meeting the definition of a DBE as specified in 49 CFR and is one of the following groups:
 - 1) Black American
 - 2) Asian Pacific American
 - 3) Native American
 - 4) Women
- C. A DBE may participate as a prime Consultant, subconsultant, and joint venture partner.
- D. A UDBE proposing Consultant, not proposing as a joint venture with a non-DBE, will be required to document one or a combination of the following:
 - 1) The proposing Consultant is a UDBE and will meet the goal by performing work with its own forces.
 - 2) The proposing Consultant will meet the goal through work performed by UDBE subconsultant, and suppliers.
 - 3) The proposing Consultant, prior to submitting proposal, made adequate good faith efforts to meet the goal.
- E. A DBE joint-venture partner must be responsible for specific contract items of work or clearly defined portions thereof. Responsibility means actually performing, managing, and supervising the work with its own forces. The DBE joint venture partner must share in the capital contribution, control, management, risks and profits of the joint-venture commensurate with its ownership interest.
- F. A DBE must perform a commercially useful function pursuant to 49 CFR 26.55 that is, must be responsible for the execution of a distinct element of the work and must carry out its responsibility by actually performing, managing and supervising the work.
- G. DBEs must be certified by the California Unified Certification Program (CUCP). Listings of DBEs certified by the CUCP are available from the following resources:
 - 1) The Caltrans Civil Rights web site at:
<http://www.dot.ca.gov/hq/bep>.

- 2) The Caltrans DBE Directory. This Directory may be obtained from the Department of Transportation, Material Operations Branch, Publication Distribution Unit, 1900 Royal Oaks Drive, Sacramento, California 95815, telephone: (916) 445-3520.
- H. DBE Certification Status: If a DBE subconsultant is decertified during the life of the contract, the decertified subconsultant shall notify Consultant in writing with the date of decertification. If a subconsultant becomes a certified DBE during the life of the contract, the subconsultant shall notify Consultant in writing with the date of certification.
- I. Credit for materials or supplies purchased from DBEs will count towards DBE credit, and if a DBE is also a UDBE, purchases will count towards the UDBE goal under the following conditions:
- 1) If the materials or supplies are obtained from a DBE manufacturer, count 100 percent of the cost of the materials or supplies. A DBE manufacturer is a firm that operates or maintains a factory or establishment that produces on the premises, the materials, supplies, articles, or equipment required under the Contract and of the general character described by the specifications.
 - 2) If the materials or supplies are purchased from a DBE regular dealer, count 60 percent of the cost of the materials or supplies. A DBE regular dealer is a firm that owns, operates or maintains a store, warehouse, or other establishment in which the materials, supplies, articles, or equipment of the general character described by the Specifications and required under the Contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. To be a DBE regular dealer, the firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question. A person may be a DBE regular dealer operating, or maintaining a place of business as provided in this Paragraph, if the person both owns and operates distribution equipment for the products. Any supplementing of regular dealers' own distribution equipment shall be by a long-term lease agreement and not on an ad hoc or contract-by-contract basis. Packagers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not DBE regular dealers within the meaning of this Paragraph.
 - 3) If the DBE is neither a manufacturer nor a regular dealer, count only the entire amount, of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site, provided the fees are reasonable and not excessive as compared with fees charged for similar services.

- J. Consultant is encouraged to use services offered by financial institutions owned and controlled by DBEs.

14.3 Disadvantaged Business Enterprise (DBE) Definitions

- A. Disadvantaged Business Enterprise (DBE) shall mean a small business concern: (1) Which is at least 51 percent owned by one or more socially and economically disadvantaged individuals, or in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more socially and economically disadvantaged individuals; and (2) the management and daily business operations of which are controlled by one or more of the socially and economically disadvantaged individuals who own it.
- B. Small business concern means a small business as defined pursuant to Section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto.
- C. Socially and economically disadvantaged individuals means those individuals who are citizens of the United States (or lawfully admitted permanent residents) and who are women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, or Subcontinent Asian Americans and any other minorities or individuals found to be disadvantaged by the Small Business Administration pursuant to Section 8(a) of the Small Business Act. The following groups are socially and economically disadvantaged:
- 1) Black Americans which includes persons having origins in any of the black racial groups of Africa;
 - 2) Hispanic Americans which include persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish or Portuguese culture or origin, regardless of race;
 - 3) Native Americans which includes persons who are American Indians, Eskimos, Aleutians, or Native Hawaiians;
 - 4) Asian-Pacific Americans which includes whose origins are Japan, China, Taiwan, Korea, Burma, Vietnam, Laos, Cambodia, Thailand, Indonesia, the Philippines, Brunei, Samoa, Guam, Hong Kong, the U.S. Trust Territories of the Pacific, and the Northern Marianas; and
 - 5) Subcontinent Asian Americans which includes persons whose origins are India Pakistan, Bhutan, the Maldives islands, Nepal, or Sri Lanka, and Bangladesh.
 - 6) Women include women with at least 51 percent business ownership and control of a firm.

- D. Subcontractor or subconsultant: For the purpose of this program, the term "subcontractor or subconsultant" denotes an agreement between the prime contractor or prime consultant and an individual, firm or corporation for the performance of a particular portion(s) of the work (including but not limited to any services rendered) for the completion of which the prime contractor has obligated itself.

14.4 DBE Participation Requirements and Regulations in General

The DBE participation goal for the Project is 5.00% percent. Consultant shall carry out applicable requirements of 49 CFR, Part 26, of the Code of Federal Regulations, entitled "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance program," in the award and administration of DOT-assisted contracts. The regulations in their entirety are incorporated herein by reference. Consultant shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT - assisted contracts. Failure by Consultant to carry out these requirements is a material breach of this Agreement, which may result in termination of the Agreement or such other remedy as City deems appropriate.

The dollar value of work performed by a DBE is credited/counted toward the goal only after the DBE has been paid.

It is Consultant's responsibility to verify that the firm is certified as of the date of bid opening for the Project. Listings of DBEs certified by the CUCP are available at <http://www.dot.ca.gov/hq/geg>. Click the "Find a Certified Firm" link.

14.5 UDBE Participation Requirements and Regulations

The Underutilized Disadvantaged Business Enterprise (UDBE) participation goal is not required for the Project.

14.6 Submission of DBE Information

Consultant's attention is directed to these provisions for the requirements and conditions concerning submittal of subcontractor information.

Consultant shall provide the following information to the Department for inclusion into the Department's Bidder's list, which is a requirement of 49 CFR 26.

The gross annual receipts for last year of each bid/quote and all businesses who provided a bid/quote either in writing or verbally to the successful contractor/consultant.

- ☐ Less than \$1 Million
- ☐ Less than \$5 Million
- ☐ Less than \$10 Million

- ☐ Less than \$15 Million
- ☐ More than \$15 Million

14.7 Reporting DBE Participation

The proposed Project will include federal-aid funds, therefore DBE requirements are included in this Agreement. The "Notice to Proposers Disadvantaged Business Enterprise Information" and the "Standard Agreement for Subcontractor/DBE Participation" are included in this Agreement as Exhibit "M".

A. Reporting UDBE Commitments and DBE Information For Contracts with UDBE Goals

The "Local Agency Proposer UDBE Commitment (Consultant Contracts)" is part of this Agreement, and is included in Exhibit "M".

- 1) Include on this form names, addresses, phone numbers of all participating UDBE firms with a complete description of work or supplies to be provided by each UDBE, and the dollar value of each UDBE transaction.
- 2) Include a description of the exact portion of work to be performed or furnished by that UDBE in the commitment when less than 100 percent of contract work item is performed or furnished by the UDBE firm, including the planned location of that work.
- 3) Consultant shall have included written confirmation in the proposal package from each UDBE participating in the contract. A copy of a UDBE's quote will serve as written confirmation that the UDBE is participating in the contract. If a UDBE is participating as a joint venture partner, the Consultant shall have submitted a copy of the joint venture agreement.
- 4) A Consultant certified as a DBE and is owned by an African American, Asian-Pacific American, Native American, or a Woman should describe the work it has committed to be performed with its own forces, as well as any other work that it has committed to be performed by UDBE subcontractors, suppliers, and trucking companies.

The "Local Agency Proposer DBE Information (Consultant Contracts)" is part of this Agreement, and is included in Exhibit "M". On contracts with a UDBE goal, this form captures certified DBEs that are owned by Hispanic American and Subcontinent Asian American males (include persons whose origins are from India, Pakistan, Bangladesh, Bhutan, Maldives Islands, Nepal or Sri Lanka). This DBE participation will be counted towards the race neutral portion of the local agency's AADPL.

- B. Reporting DBE (including UDBE) Final Utilization (Contracts with or without goals)

Upon completion of the Agreement, regardless of whether UDBE or other DBE participation is obtained, Consultant shall prepare a summary of the UDBE and DBE final utilization, certified correct, and submitted on the form "Final Report-Utilization of Disadvantaged Business Enterprise (DBE), First-Tier Subcontractors" included in Exhibit "M" or equivalent to the City's Harbor Department showing total dollars paid to each subcontractor and supplier whether UDBE, DBE, or non-DBE.

14.8 DBE Addition and Substitution of Subconsultants

- A. Consultant may not substitute, or terminate for convenience a subconsultant listed in the Agreement without the prior written approval of the Engineer. However, Consultant may add a firm to perform work originally planned to be done by Consultant's own forces.
- B. Consultant must make an adequate good faith effort to find another certified DBE Subconsultant to substitute for the original DBE. Consultant will be required to make good faith efforts to replace the original DBE subconsultant with another DBE Subconsultant to the extent needed to meet the Agreement goal.
- C. The requirement that DBEs must be certified by the bid opening date does not apply to DBE substitutions or additions after award of the Agreement. DBEs must be certified at the time of the substitution or addition.
- D. Consultant shall submit requests for substitution in writing to the Engineer. Authorization to use other Subconsultants or suppliers may be requested for the following reasons:
1. The listed DBE, after having had a reasonable opportunity to do so, fails or refuses to execute a written contract, when such written contract, based upon the general terms and conditions for this contract or on the terms of such Subconsultant's or supplier's written bid, is presented by Consultant.
 2. The listed DBE becomes bankrupt or insolvent.
 3. The listed DBE fails or refuses to perform the subcontract.
 4. Consultant stipulated that a bond was condition of executing a subcontract and the listed DBE subconsultant failed or refused to meet the bond requirements of the contract.
 5. The work performed by the listed subconsultant is substantially unsatisfactory and is not in substantial conformance with the scope of

work to be performed, or the subconsultant is substantially delaying or disrupting the progress of the work.

6. When the DBE is ineligible to work on a City of Los Angeles project.

7. When it is in the best interest of the CITY.

E. Prior to approval of Consultant's request for substitution to the Project Manager, Consultant shall give notice in writing to the listed DBE subconsultants of Consultant's request to substitute and the reasons for the request. The notice shall be served by certified or registered mail to the last known address of the subconsultants. The listed subconsultants who have been so notified, shall have five working days within which to submit written objections to the substitution to the Project Manager. Failure by Subconsultants to respond to the written notice shall constitute the listed Subconsultants' consent to the substitution.

F. The requirement in UDBE Goal provision of this Subsection that UDBEs must be certified on the date bids are opened does not apply to UDBE substitutions. However, replacement UDBEs must be certified at the time Consultant requests substitution.

Consultant shall not be entitled to any payment for such work or material unless it is performed or supplied by the listed UDBE or by other forces (including those of the Contractor) pursuant to prior written authorization of the Engineer.

G. Consultant is permitted to and encouraged to increase the amount of work to DBEs and other business enterprises over what was originally listed. If a portion of the Work will be subcontracted, Consultant must comply with Section 4100 of the Public Contract Code "Subletting and Subcontracting Fair Practices Act".

14.9 DBE Certification Status

If a DBE subcontractor is decertified during the life of the Project, the decertified subcontractor shall notify Consultant in writing with the date of decertification. If a subcontractor becomes a certified DBE during the life of the project, the subcontractor shall notify Consultant in writing with the date of certification. Consultant shall furnish the written documentation to the Engineer.

Upon completion of the contract, a "Disadvantaged Business Enterprises (DBE) Certification Status Change" form including the DBE's existing certification status shall be signed and certified correct by Consultant. The certified form shall be furnished to the Engineer within 90 days from the date of contract acceptance.

14.10 Performance of UDBEs

The UDBEs listed by Consultant in response to the provisions in the UDBE and

DBE Commitment Submittals of this Subsection, which are determined by the City to be certified DBEs, shall perform the work and supply the materials for which they are listed, unless Consultant has received prior written authorization to perform the work with other forces or to obtain the materials from other sources.

Authorization to use other forces or sources of materials may be requested for the following reasons:

- A. The listed UDBE, fails or refuses to execute a written contract, based on plans and specifications for the Project.
- B. The listed UDBE becomes bankrupt or insolvent.
- C. The listed UDBE fails or refuses to perform the work or furnish the listed materials.
- D. Consultant stipulated that a bond was a condition of executing a subcontract and the listed UDBE fails or refuses to meet the bond requirements of Consultant.
- E. The work performed requires a contractor's license and the listed UDBE does not have a valid license under Contractors License Law.
- F. The work performed by the listed UDBE is unsatisfactory and is not in compliance with the Agreement.
- G. The listed UDBE delays or disrupts the progress of the Work.

14.11 Prompt Payment to DBE and Non-DBE Contractors

Consultant shall not be entitled to any payment for the work or material, unless it is performed or supplied by the listed subconsultant (DBE or non-DBE), or by Consultant's own forces, pursuant to prior written authorization of the Engineer. This is the case even if other contract work is not completed and has not been accepted in conformance with the terms of the contract by the City.

Consultant agrees to pay each subcontractor under this Agreement for satisfactory performance of its contract no later than 15 days from the receipt of each payment Consultant receives from the City.

14.12 Prompt Payment Progress Pay Retention to DBE and Non DBE Subconsultants

Consultant shall return retainage payments to each subcontractor within 15 days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of the City Harbor Department. This clause applies to both DBE and non-DBE subcontractors. This requirement shall

not be construed to limit or impair any contractual, administrative, or judicial remedies otherwise available to Consultant or subconsultant in the event of a dispute involving late payment or nonpayment to Consultant or deficient subconsultant performance or noncompliance by a subconsultant.

14.13 DBE and Non-DBE Subconsultant Payment Record

Consultant, in addition to maintaining records showing the name and business address of each first tier subconsultant, shall also show the name and business address of every DBE subconsultant, and DBE vendor of materials if applicable, regardless of tier. The records shall show the date of payment and the total dollar figure paid to all DBE firms. A DBE prime Consultant shall also show the date of work performed by their own forces along with the corresponding dollar value of work.

14.14 Termination of a DBE

In conformance with the Federal DBE regulations Sections 26.53(f)(1) and 26.53(f)(2) Part 26, Title 49 CFR:

- A. Consultant shall not terminate for convenience a UDBE subconsultant listed in the Local Agency - UDBE Commitment form, and then perform that work with its own forces, or those of an affiliate without the prior written consent of the Engineer.
- B. If a UDBE subconsultant is terminated or fails to complete its work for any reason, Consultant will be required to make good faith efforts to substitute another UDBE subconsultant for the original UDBE subcontractor, to the extent needed to meet the Agreement goal. Good faith efforts must adhere to "UDBE Commitment Submittals" provision of this Subsection.

15. Conflict of Interest.

Consultant has reviewed and understands the provisions of Section 1090 et seq. and Section 87100 et seq. of the California Government Code relating to conflict of interest of public officers and employees, as well as the Los Angeles Municipal Code ("LAMC") Municipal Ethics and Conflict of Interest provisions of Section 49.5.1 et seq. and the Conflict of Interest Codes of the City and City's Harbor Department. All parties hereto agree that they are unaware of any financial or economic interest of any public officer or employee of City relating to this Agreement. Notwithstanding any other provision of this Agreement, it is further understood and agreed that if such financial interest does exist at the inception of this Agreement, City may immediately terminate this Agreement by giving written notice thereof. Consultant's signature of this Agreement constitutes its affirmation that any former employees of City or City's Harbor Department that are employed by Consultant and that assist in performing the Scope of Work shall be free of any conflicts of interest with respect to City and City's Harbor Department.

16. Compliance with Applicable Laws.

Consultant's activities under this Agreement, including its performance of the Scope of Work, shall comply with all federal, state, municipal, local and departmental laws, ordinances, rules, regulations, and orders. If in any instance a City standard is more stringent than a state, federal or other requirement, the City standard shall be followed unless the Engineer notifies the Consultant otherwise in writing, in which case the requirements of said notification shall apply.

To the extent required by law, Consultant shall pay prevailing wages to its employees, Subconsultants and Subcontractors.

17. Trademarks, Copyrights and Patents.

Consultant shall promptly and fully inform Engineer in writing of any patents, trademarks or copyrights related to services provided under this Agreement or patent trademark or copyright disputes, existing or potential, which Consultant has knowledge of, relating to any idea, design, method, material, equipment or other matter connected to this Agreement. Consultant agrees to save, keep, hold harmless, protect and indemnify City and any of its officers or agents from any damages, cost, or expenses in law or equity from infringement of any patent, trademark, service mark or copyright of any person or persons, or corporations in consequence of the use by City of any materials supplied by Consultant in the performance of this Agreement.

18. Proprietary Information.

Writings, as that term is defined in Section 250 of the California Evidence Code (including, without limitation, drawings, specifications, estimates, reports, records, reference material, data, charts, documents, renderings, computations, computer tapes or disks, submittals and other items of any type whatsoever, whether in the form of writing, figures or delineations), which are obtained, generated, compiled or derived in connection with this Agreement (collectively hereafter referred to as "property"), are owned by City as soon as they are developed, whether in draft or final form. City has the right to use or permit the use of property and any ideas or methods represented by such property for any purpose and at any time without compensation other than that provided in this Agreement. Consultant hereby warrants and represents that City at all times owns rights provided for in this section free and clear of all third-party claims whether presently existing or arising in the future, whether or not presently known. Consultant need not obtain for City the right to use any idea, design, method, material, equipment or other matter which is the subject of a valid patent, unless such patent is owned by Consultant or one of its employees, or its Subconsultant or the Subconsultant's employees, in which case such right shall be obtained without additional compensation. Whether or not Consultant's initial proposal or proposals made during this Agreement are accepted by City, it is agreed that all information of any nature whatsoever connected with the Scope of Work, regardless of the form of communication, which has been or may be given by Consultant, its Subconsultants or on either's behalf, whether prior or subsequent to this Agreement becoming effective, to the City, its boards, officers, agents or employees, is not given in confidence. Accordingly, City or its designees may use or disclose such information without liability of any kind, except as may arise under valid patents.

19. Royalty-Free License.

If research or development is furnished in connection with this Agreement and if, in the course of such research or development, patentable work product is produced by Consultant, its officers, agents, employees, or Subconsultants, the City shall have, without cost or expense to it, an irrevocable, non-exclusive royalty-free license to make and use, itself or by anyone on its behalf, such work product in connection with any activity now or hereafter engaged in or permitted by City. Upon City's request, Consultant, at its sole cost and expense, shall promptly furnish or obtain from the appropriate person a form of license satisfactory to the City. It is expressly understood and agreed that, as between City and Consultant, the referenced license shall arise for City's benefit immediately upon the production of the work product, and is not dependent on the written license specified above. City may transfer such license to its successors in the operation or ownership of any real or personal property now or hereafter owned or operated by City.

20. City's Disclosure Obligations.

Consultant acknowledges that City is subject to laws, rules and/or regulations generally requiring it to disclose records upon request, which laws, rules and/or regulations include, but are not limited to, the California Public Records Act (California Government Code Sections 6250 et seq.) ("Disclosure Laws").

21. Notices.

In all cases where written notice is to be given under this Agreement, service shall be deemed sufficient if said notice is deposited in the United States mail, postage prepaid. When so given, such notice shall be effective from the date of mailing of the same. For the purpose hereof, unless otherwise provided by notice in writing from the respective parties, notice to City's Harbor Department shall be addressed to Director of Development, Los Angeles Harbor Department, P.O. Box 151, San Pedro, California 90733-0151, and notice to Consultant shall be addressed to it at the address set forth above. Nothing herein contained shall preclude or render inoperative service of such notice in the manner provided by law.

22. Taxpayer Identification Number ("TIN").

The Internal Revenue Service (IRS) requires that all consultants and suppliers of materials and supplies provide a TIN to the party that pays them. Consultant declares that its authorized TIN is 0000847329-0001-1. No payments will be made under this Agreement without a valid TIN.

23. Service Contractor Worker Retention Policy and Living Wage Requirements.

Board adopted Resolution No. 5771 on January 13, 1999, agreeing to adopt the provisions of Los Angeles City Ordinance No. 171004 relating to Service Contractor Worker Retention ("SCWR"), Section 10.36 et seq. of the Los Angeles Administrative Code, as the policy of City's Harbor Department. Further, Charter Section 378 requires compliance with the City's Living Wage requirements as set forth by ordinance, Section 10.37 et seq. of the Los Angeles Administrative Code. Consultant shall comply with the policy wherever applicable. Violation of this provision, where applicable, shall entitle

City to terminate this Agreement and otherwise pursue legal remedies that may be available.

24. Wage and Earnings Assignment Orders/Notices of Assignments.

Consultant and Subconsultants shall comply with all applicable state and federal employment reporting requirements for employees.

Consultant and Subconsultants shall certify that the principal owner(s) are in compliance with any Wage and Earnings Assignment Orders and Notices of Assignments applicable to them personally. Consultant and Subconsultants shall fully comply with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignments in accordance with Section 5230 et seq. of the California Family Code.

25. Equal Benefits Policy.

Board adopted Resolution No. 6328 on January 12, 2005, agreeing to adopt the provisions of Los Angeles City Ordinance No. 172,908, as amended, relating to Equal Benefits, Section 10.8.2.1 et seq. of the Los Angeles Administrative Code, as a policy of City's Harbor Department. Consultant shall comply with the policy wherever applicable. Violation of this policy shall entitle the City to terminate any agreement with Consultant and pursue any and all other legal remedies that may be available. See Exhibit "N".

26. Compliance With Los Angeles City Charter Section 470(c)(12).

The Consultant, Subconsultants, and their Principals are obligated to fully comply with City of Los Angeles Charter Section 470(c)(12) and related ordinances, regarding limitations on campaign contributions and fundraising for certain elected City officials or candidates for elected City office if the agreement is valued at \$100,000 or more and requires approval of a City elected official. Additionally, Consultant is required to provide and update certain information to the City as specified by law. Any Consultant subject to Charter Section 470(c)(12), shall include the following notice in any contract with a subconsultant expected to receive at least \$100,000 for performance under this Agreement:

Notice Regarding Los Angeles Campaign Contribution and Fundraising Restrictions

As provided in Charter Section 470(c)(12) and related ordinances, you are a subconsultant on Harbor Department Agreement No. _____. Pursuant to City Charter Section 470(c)(12), subconsultant and its principals are prohibited from making campaign contributions and fundraising for certain elected City officials or candidates for elected City office for 12 months after the Agreement is signed. Subconsultant is required to provide to Consultant names and addresses of the subconsultant's principals and contact information and shall update that information if it changes during the 12 month time period. Subconsultant's information must be provided to Consultant within 10 business days. Failure to comply may result in termination of the Agreement or any other available legal remedies including fines. Information about the

restrictions may be found at the City Ethics Commission's website at <http://ethics.lacity.org/> or by calling 213-978-1960.

Consultant, Subconsultants, and their Principals shall comply with these requirements and limitations. Violation of this provision shall entitle the City to terminate this Agreement and pursue any and all legal remedies that may be available.

27. State Tidelands Grants.

This Agreement is entered into in furtherance of and as a benefit to the State Tidelands Grant and the trust created thereby. Therefore, this Agreement is at all times subject to the limitations, conditions, restrictions and reservations contained in and prescribed by the Act of the Legislature of the State of California entitled "An Act Granting to the City of Los Angeles the Tidelands and Submerged Lands of the State Within the Boundaries of Said City," approved June 3, 1929 (Stats. 1929, Ch. 651), as amended, and provisions of Article VI of the Charter of the City of Los Angeles relating to such lands. Consultant agrees that any interpretation of this Agreement and the terms contained herein must be consistent with such limitations, conditions, restrictions and reservations.

28. Construction of Agreement.

This Agreement shall not be construed against the party preparing the same, shall be construed without regard to the identity of the person who drafted such and shall be construed as if all parties had jointly prepared this Agreement and it shall be deemed their joint work product; each and every provision of this Agreement shall be construed as though all of the parties hereto participated equally in the drafting hereof; and any uncertainty or ambiguity shall not be interpreted against any one party. As a result of the foregoing, any rule of construction that a document is to be construed against the drafting party shall not be applicable.

29. Titles and Captions.

The parties have inserted the section titles in this Agreement only as a matter of convenience and for reference, and the section titles in no way define, limit, extend or describe the scope of this Agreement or the intent of the parties in including any particular provision in this Agreement.

30. Modification in Writing.

This Agreement may be modified only by written agreement of all parties. Any such modifications are subject to all applicable approval processes required by, without limitation, City's Charter and City's Administrative Code.

31. Waiver.

A failure of any party to this Agreement to enforce the Agreement upon a breach or default shall not waive the breach or default or any other breach or default. All waivers shall be in writing.

32. Governing Law.

This Agreement is made and entered into in the State of California and shall in all respects be construed, interpreted, enforced and governed under and by the laws of the State of California, without reference to choice of law rules.

33. Severability.

Should any part, term, condition or provision of this Agreement be declared or determined by any court of competent jurisdiction to be invalid, illegal or incapable of being enforced by any rule of law, public policy, or city charter, the validity of the remaining parts, terms, conditions or provisions of this Agreement shall not be affected thereby, and such invalid, illegal or unenforceable part, term, condition or provision shall be treated as follows: (a) if such part, term, condition or provision is immaterial to this Agreement, then such part, term, condition or provision shall be deemed not to be a part of this Agreement; or (b) if such part, term, condition or provision is material to this Agreement, then the parties shall revise the part, term, condition or provision so as to comply with the applicable law or public policy and to effect the original intent of the parties as closely as possible.

34. Jurisdiction.

The parties hereto consent to the jurisdiction of the State of California for the enforcement of this Agreement.

35. Integrated Agreement.

This Agreement contains the entire understanding and agreement between the parties hereto with respect to the matters referred to herein. No other representations, covenants, undertakings, or prior or contemporaneous agreements, oral or written, regarding such matters which are not specifically contained, referenced, and/or incorporated into this Agreement by reference shall be deemed in any way to exist or bind any of the parties. Each party acknowledges that it has not been induced to enter into the Agreement and has not executed the Agreement in reliance upon any promises, representations, warranties or statements not contained, referenced, and/or incorporated into the Agreement. **THE PARTIES ACKNOWLEDGE THAT THIS AGREEMENT IS INTENDED TO BE, AND IS, AN INTEGRATED AGREEMENT.**

36. Exhibits; Sections.

All exhibits to which reference is made in this Agreement are deemed incorporated in this Agreement, whether or not actually attached. To the extent the terms of an exhibit conflict with or appear to conflict with the terms of the body of the Agreement, the terms of the body of the Agreement shall control. References to sections are to sections of this Agreement unless stated otherwise.

37. Counterparts.

This Agreement may be executed in any number of counterparts, each of which shall be deemed an original and all of which shall constitute together one and the same instrument.

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IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the date next to their signatures.

THE CITY OF LOS ANGELES, by its
Board of Harbor Commissioners

Date: _____

By: _____
Executive Director

Attest: _____
Secretary

JACOBS PROJECT MANAGEMENT
CO., a Delaware corporation

Date: 12/4/2013

By: Anthony C. Ferruccio

Name: ANTHONY C. FERRUCCIO

Title: VICE PRESIDENT, JPMCO

Attest: Michael S. Udovic

Name: MICHAEL S. UDOVIC

Title: VP SECRETARY

APPROVED AS TO FORM AND LEGALITY

12/5, 2013
MICHAEL N. FEUER, City Attorney
Janna B. Sidley, General Counsel

By: Heather M. McCloskey
Heather M. McCloskey, Deputy

Account #	54225	W.O. #	24699
Ctr/Div #	1172	Job Fac. #	203-14
Proj/Prog #	000		
	Budget FY:	Amount:	
	2013-14	\$228,529	
	2014-15	\$960,000	
	2015-16	\$960,000	
	2016-17	\$410,471	
	TOTAL	\$2,559,000	

For Acct/Budget Div. Use Only:

Verified by: Julie Yano
Verified Funds Available: Shyn C. Day
Date Approved: 11/25/2013

Account #	54225	W.O. #	24661
Ctr/Div #	1172	Job Fac. #	203-14
Proj/Prog #	000		
	Budget FY:	Amount:	
	2013-14	\$167,474	
	2014-15	\$640,000	
	2015-16	\$640,000	
	2016-17	\$258,526	
	TOTAL	\$1,706,000	

For Acct/Budget Div. Use Only:

Verified by: Julie Yano
Verified Funds Available: Shyn C. Day
Date Approved: 11/25/2013

LIST OF EXHIBITS

Exhibit A - Project Description

Exhibit A1 - Project Site Plan

Exhibit B - Scope of Work

Exhibit C - Form of Directive

Exhibit D - Form of Notice to Proceed

Exhibit E - Schedule of Work

Exhibit F - Method of Compensation

Exhibit G - Hourly Rates & Unit Prices

Exhibit H - Form of Invoice for Consultant to Use

Exhibit I - Monthly Subcontractor Monitoring Report

Exhibit J - Business Tax Registration Certificate (BTRC) Number

Exhibit K - Affirmative Action Program Provisions

Exhibit L - Small Business Enterprise Program

Exhibit M - Caltrans Exhibits

Exhibit N - Equal Benefits Ordinance

EXHIBIT A

PROJECT DESCRIPTION

The main project components for the John S. Gibson Blvd./I-110 Project include:

Front Street on-ramp to I-110 FWY

- Add a lane from the existing Front Street on-ramp to the I-110 Freeway and extend the on-ramp to terminate at the I-110 Freeway.
- The new traffic lane on the on-ramp will be approximately 2000 feet and will provide a direct connection between Front Street and the I-110 Freeway.
- The Pacific Avenue overhead bridge will be widened.

Northbound off-ramp to I-110 FWY

- Modify the northbound I-110 Freeway off-ramp at John S. Gibson Blvd.
- Lengthen the left-turn pocket lanes on John S. Gibson Blvd., and widen the turning radii for trucks exiting the I-110 Freeway.

Northbound on-ramp to I-110 FWY

- The northbound John S. Gibson Blvd. on-ramp to the I-110 Freeway will be lengthened.

John S. Gibson Blvd. Intersection Improvements

- Provide new sidewalk on the west side of the street between Channel Street and the driveway north of the intersection and provide new bike lanes along both sides of John S. Gibson Blvd.
- Widen turning radii to ramps and lengthen left turn pockets on John S. Gibson Blvd. both northbound and southbound.

Freeway Improvements

- The existing I-110 Freeway bridge over Channel Street will be widened.
- The existing railroad will be realigned for a longitudinal distance of approximately 600 feet.

The project site plan is included as Exhibit A-1, attached.

The preliminary construction estimate for the John S. Gibson Blvd./I-110 project is approximately \$27 million.

EXHIBIT B

SCOPE OF WORK

SERVICES TO BE PERFORMED BY CONSULTANT

Consultant shall provide construction management services, administration and coordination for the Project as specified in Exhibit A to facilitate timely and cost effective completion of the Work. All services in this Agreement shall be known as "Basic Services" except those specifically described as "Optional Services".

In rendering the services required, Consultant shall comply with applicable laws and regulations and include necessary consultations and conferences with personnel of City, County, State, or Federal agencies, which may have jurisdiction over, or have an official interest in, the construction of the Project, officers and employees of the City, representatives of Board, the Engineer, the Design Consultant and other entities, in order to determine all relevant requirements for and coordinate the construction of the Project. Changes in laws or regulations, design, permitting requirements, or other changes which require Consultant to expend significant and substantial additional effort will be the basis for adjustment of Consultant's compensation pursuant to the "Additional Services" provisions of this Agreement.

Consultant shall provide six personal computers, six monitors, six printers, and other peripherals necessary to execute the Work required, to be utilized by full time Consultant personnel (Project Manager or Senior Construction Manager, Field/Office Engineer, Document Control/Clerical, and two Inspectors) at the on-site field trailer and the Harbor Department's Project Construction Manager, as part of Fixed-Fee tasks.

At minimum, each computer shall be an OptiPlex 990 Small Form Factor with an Intel® Core™ i7 2600 Processor (3.4GHz, 8M) running Genuine Windows® 7 Professional (64-bit), with an 8X Slimline DVD+/-RW drive, 512MB AMD Radeon HD 6350 (2 DVI Low Profile) video card, 8GB DDR3 (Non-ECC, 1333MHz Dual Channel) RAM, a Dell KB212-B USB 104 Quiet Key Keyboard, and a Dell USB 6-Button Laser Mouse.

At minimum, each monitor shall be an UltraSharp U2410 24-inch Widescreen Flat Panel.

At minimum, each printer shall be a HP LaserJet P2055d.

The personal computers, monitors and printers will become property of the Harbor Department upon delivery. All software, other necessary peripherals, and Internet services will be supplied by the Harbor Department.

During construction phase and post-construction phase services, Consultant's field office personnel will be provided offices in on-site field trailers provided by the construction contractor (Contractor).

Funds for John S. Gibson Blvd/I-110 FWY Access Ramp Improvements SR-47/I-110 FWY Northbound Connector Widening Project (JSG Blvd/I-110 Project) are budgeted by Regional Proposition C, State Trade Corridor Improvement Fund, and Federal SAFETEA-LU. The Consultant shall provide assistance in complying with Local Assistance Program requirements as applicable to the Project.

CALTRANS will provide Independent Quality Assurance (IQA) for the portions of the Contractor's work within existing and proposed State Highway Systems (SHS) right of way. CALTRANS retains the right to reject noncompliant construction work, protect public safety, preserve property rights, and ensure that all construction work is in the best interest of the SHS.

A. PRE-CONSTRUCTION SERVICES

1. Constructability Review. Review the contract documents and determine the adequacy and completeness of the contract documents for both bidding and construction of the project. Review shall consider information from the contract documents, reference documents, geotechnical and other reports, permits and a site inspection. Identify particular risks, including conflicts, errors or omissions in the contract documents, which could impact schedule, cost or quality of the work. Develop a preliminary construction schedule for completing the work within the time required in the contract documents considering interim milestones, phasing, and constraints, and compare to schedule developed by Design Consultant. Recommend liquidated damages, as appropriate.
2. Construction Management Procedures. Obtain from the Engineer and review the latest edition of the Construction Division Procedures Manual. In consultation with the Engineer, determine and document the construction management and administrative procedures and processes to be utilized during the Project, including a document control system and the format of documents to be prepared by Consultant.
3. Pre-Bid Meeting. Attend and participate in the meeting, prepare minutes and assist in resolution of issues and questions raised in the meeting.
4. Bid Support. Manage and respond to inquiries from potential bidders in conjunction with the Designer and the Engineer.
5. Bid Analysis. Review and evaluate bids received to assist in determining which bids are responsive and which bidder is the lowest, responsible bidder to whom award may be recommended.

Consultant shall be compensated for Pre-Construction Services in Tasks A.1 through A.5 on an hourly basis as defined in the Method of Compensation, Exhibit F.

B. CONSTRUCTION SERVICES

1. Construction Start-Up. Upon award of the construction contract and execution of the contract, perform services necessary for the start of construction including, but not limited to, coordinating and conducting initial scheduling meetings, pre-construction meeting(s), coordination meetings, and safety meetings, if applicable. Monitor and assist in the Contractor's acquisition of photo identification badges for Contractor personnel requiring unescorted access to secure areas. In consultation with the Department and the Contractor, develop and disseminate emergency notification instructions.
2. Contractor's Construction Schedule. The Contractor's baseline construction schedule shall show logical sequencing of construction activities and completion of the Project within the specified time limits. Contract documents generally require the base line schedule to be prepared with Oracle's Primavera P6 v7.0 or later. Consultant shall review the Contractor's construction schedule submittals and make appropriate written comments and recommendations. Schedule submittals review shall include all contractually required deliverables, including baseline schedule, work force, equipment and material resource loading, cash flow curves, and variance reports. Scheduling services shall include, but shall not be limited to, the following:
 - a. Review Contractor's baseline construction schedule for compliance with contract requirements, number of activities, logic and sequencing, duration of activities, procurement times and submittal review times, critical activities, milestones, and float. Prepare written comments and recommendations, especially regarding any potential omissions, conflicts or delays. Coordinate and conduct a construction scheduling meeting with the Contractor, critical subcontractors and the Engineer to review the construction schedule, comments and recommendations. If required, review the Contractor's baseline schedule re-submittal and make appropriate comments and recommendations until the baseline schedule is accepted by the Engineer.
 - b. Provide parallel schedules to the Contractor's monthly update schedules based on field observations and weekly (three-week look ahead) schedules. Review other schedule related submittals including workforce, equipment and material resource loading and cash flow projections for coordination with the baseline schedule. Prepare written comments and recommendations.
 - c. Review the updated or revised construction schedules submitted by Contractor in accordance with the Contract Documents. Based upon the Contractor's weekly schedule submittals, observations of Consultant and information from Daily Inspection Reports,

Consultant shall verify actual activity dates and the remaining durations in the updates, and review and analyze forecasts of work force, equipment, and material resources, and cash flow projections. Consultant shall identify modifications and variations from the last approved schedule (logic, activities, durations, etc.) and prepare written comments and recommendations based on the schedule update analysis.

- d. In instances where an updating or revision of the construction schedule indicates an actual or potential delay of Project completion, Consultant shall advise the Engineer of available alternatives and, with approval of the Engineer, take appropriate actions. The Consultant shall review and analyze the Contractor's requests for time extensions, the cost of compensable delays, perform "what if" analyses to identify opportunities to mitigate delays, and provide appropriate comments and recommendations to the Engineer. The Consultant shall monitor and review the duration of the individual construction phases and recommend if liquidated damage assessments are warranted.
 - e. Consultant shall monitor the adequacy of Contractor's equipment and personnel resources, the performance of subcontractors and suppliers, and the availability of materials and supplies in relation to the work projected in the Project schedule forecasts.
3. Project Meetings. Consultant shall prepare and distribute meeting notices and agenda, and shall conduct regularly scheduled weekly job meetings with Contractor, Design Consultant's representatives, Department representatives, and such other City and governmental personnel as may be required to advance the timely progress of the Work. In addition, Consultant shall coordinate, conduct, and distribute minutes of other special meetings as required during construction. Consultant shall prepare, review and distribute the minutes of meetings within two working days.
4. Change Orders / Authority for Adjustments. Consultant shall arrange for and process all changes to the construction contract, including estimating costs, performing delay analyses, reviewing Contractor price quotations, negotiating costs, coordination with the Design Consultant, and preparation of change orders and Authorities for Adjustment in accordance with Department standards. Consultant shall also maintain a log and records of all changes.
5. Shop Drawings, Materials and Samples. Consultant shall establish and implement procedures for review of shop drawings, materials, samples and other submittals by the Contractor. Consultant shall arrange for and process for review by the Design Consultant, the Department, and other agencies as applicable; all shop drawings, materials, samples, and other

submittals by the Contractor. Consultant shall monitor the construction schedule to verify that submittals are made in accordance with the construction schedule, and shall log and track all submittals. Review and processing of submittals is a priority activity. Every effort shall be made to return submittals within two weeks of receipt from Contractor.

6. Requests for Information. Consultant shall arrange for and process such drawings and written memoranda as are necessary to either clarify the intent of the Contract Documents, and/or complete the same, between the Contractor, Design Consultant and the Engineer. The Consultant shall log and track all Requests for Information (RFIs) submitted by the Contractor. Review and processing of RFIs is a priority activity. Every effort shall be made to respond to Requests for Information within three days of receipt from Contractor.
7. Web-Based Collaboration System. The Consultant shall utilize Autodesk® Buzzsaw® (Buzzsaw) project collaboration system for this Project. The Department will provide the Consultant with access to the system, a user's manual, training, and further assistance if needed. Buzzsaw will be used to generate, coordinate, manage, and transmit submittals, as appropriate, and Requests for Information. Project correspondence shall also be uploaded into Buzzsaw. Correspondence shall include, but not be limited to:

- Fully executed Authority for Adjustments
- Fully executed Change Orders
- Requests for Quote
- Meeting Minutes
- Field Memorandums generated by Department's field personnel
- Department Inspector's daily and weekly reports
- Site photos
- Project personnel directory
- Project Drawings and Specifications
- Records of conversations
- Record Drawings

Such folders and logs will be maintained daily in the field and periodically printed, distributed, and reviewed at weekly meetings.

Buzzsaw is an Internet-based project collaboration system that provides a single repository for all project information as listed above, which may be expanded to include additional items.

Consultant's PCs shall meet the following minimum requirements to run Buzzsaw:

- Pentium-based PC or compatible

- Two (2) GHz processor (or higher)
 - Microsoft® Windows® XP Professional or Windows 2000
 - 512 MB RAM
 - VGA video display of 1024x768 (or higher)
 - Microsoft Internet Explorer 6.0 (or higher)
 - Broadband Internet connection (DSL, cable or equivalent)
8. Other Web Based Systems. Consultant may be required to utilize other systems as directed by the Engineer. Such systems shall be provided by the Department.
9. Document Control System. Consultant shall maintain a neat, organized filing system for all Project records, including contract document revisions, shop drawings, change orders, requests for information, field memos, contract clarifications, purchase orders, monthly progress payments, Contractor's construction schedules, correspondence and other related documents that are not required to be managed by Buzzsaw.
10. Coordination. The purpose of coordination is to proactively cause the Work to be progressed in an efficient and effective manner in accordance with contract provisions, to anticipate, avoid or mitigate conflicts and adverse impacts, and to minimize the cost of the Work assigned to each entity, including the Department. Coordination for obtaining permits from various agencies for the Project is within the Scope of Work. Consultant shall perform Project coordination with respect to the following entities:
- a. Coordination of Project Team
Los Angeles Harbor Department
Design Consultants and their associated subconsultants
 - b. Coordination with Construction Contractor(s)
Construction Contractor and its subcontractors
Other contractors on or adjacent to the project site
 - c. Coordination with other Governmental Agencies
South Coast Air Quality Management District
Regional Water Quality Control Board
City of Los Angeles Department of Building and Safety
City of Los Angeles Department of Public Works
California Department of Transportation
Los Angeles Department of Transportation
 - d. Coordination with Utilities
Water, power and telephone providers, petroleum companies
Other utility owners affected by the construction
 - e. Coordination with Adjacent Tenants

Adjacent tenants affected by the construction work or with a contractual interest in its completion

11. Payments. Consultant shall coordinate with Department Inspection and Contractor in the preparation, review, and recommendation for approval of all monthly progress payments, including any quantity and cost breakdowns submitted by Contractor per the project specifications.
12. Monthly Progress Reports. Consultant shall prepare and present monthly progress reports summarizing project performance with respect to scope, time and cost as required by the Engineer.
13. Accounting and Cost Control. Consultant shall monitor Project costs including, but not limited to:
 - a. Tracking of Contractor's monthly progress payments.
 - b. Tracking of proposed and final changes to the construction contract.
 - c. Review Contractor's monthly quantity and cost breakdowns with the Contractor's cost loaded schedule and provide comments and recommendations for the Engineer.
 - d. Tracking of Contractor's monthly quantities with respect to the approximate quantities in the construction contract.
 - e. Monitor and segregate costs for state or grant funded elements of Project, if any.
 - f. Tracking of Contractor's daily time and material sheets to ensure costs of changes do not exceed authorized amounts.
14. Daily Log. Consultant shall maintain a daily log of jobsite events.
15. Photographs. Consultant shall compile an electronic file of Project progress and record photos at appropriate times including those supplied by Contractor(s) and/or other parties. Electronic files shall be stored in formats and file sizes using file-naming conventions deemed appropriate by the Engineer.
16. Site Representatives. Consultant shall provide on-site Construction Management staff for field visits through all phases of construction. Consultant shall furnish on-site representatives to assist the Department in monitoring and coordinating the operations and performance of Contractor by reviewing the sequence of work and directing, with Department approval, actions to mitigate actual or anticipated conflicts, interferences and delays so that Work is accomplished in accordance with the current, approved schedule. Consultant shall assist in resolution of construction problems that may require design changes, modifications, technical interpretations, or other actions. Consultant shall review and monitor Contractor's security and housekeeping practices and shall, with Department approval, coordinate plans for traffic and material flow.

17. Inspection. Consultant shall monitor, receive and review daily reports from Inspectors of the work performed by Contractor and notify the Engineer, in writing, of any significant deviations from the Contract Documents. Consultant shall recommend remedial action to correct unacceptable work of Contractor. Consultant shall provide on-site inspectors upon request by the Engineer for inspection of specialized freeway and railroad elements of the Project.

Consultant shall inspect each stage of construction with the Department and the Contractor prior to the Department's acceptance or beneficial occupancy for the completed stage of Work. Consultant shall prepare a report to document the results of the inspection, and shall prepare a Notice of Substantial Completion or Beneficial Occupancy for each completed phase. Consultant shall attend the final inspection and shall report the results and make appropriate recommendations to the Department concerning beneficial occupancy of the Project or any part thereof. In cooperation with the Department and Contractor, Consultant shall observe and report with regard to the checking of utilities, operating systems, and equipment for readiness.

Consultant shall, while reporting to the Project Construction Manager for the Project, be familiar with all environmental compliance (within Project specification) requirements of each contractor and monitor that all compliance measures are met before, during, and after construction. Monitoring includes periodic audits of environmental compliance related-project files and preparation of required documents for eventual submittal to the Harbor Department's Environmental Management Division. In addition, Consultant shall conduct environmental testing (soil, groundwater, etc.), monitoring and observations and prepare reports as required for construction of the Project.

18. Quality Control. Consultant shall review the Contractor's quality control program and provide results of such review to the Department. Consultant shall also notify the Department of any instances of non-compliance with the Contractor's quality control program of which the Consultant is aware, or becomes aware. The Consultant shall comply with the Department of Transportation (CALTRANS) requirements as applicable to the Project, including but not limited to CALTRANS approval procedures, quality control, and quality assurance
19. Claims Management. Consultant shall assist with the resolution of claims or actions arising during construction. Specifically, Consultant shall:
- a. Maintain a potential claim file for any issue which may or will have a potential to result in a claim for additional time or cost;
 - b. Gather site and other relevant information relating to potential claims or actions including associated correspondence, reports and

- meeting minutes;
 - c. Direct and monitor time and materials work in conjunction with Department Inspectors for potential claims or changes to the construction contract;
 - d. Render assistance to Department regarding any claim made or any litigation or action commenced which relates to construction of the Project including the review and investigation of all claims, and making written recommendation(s) regarding claim disposition; and
 - e. Assist the Department in negotiating resolution of such claims or actions.
20. Specialized Scheduling Services. Consultant shall provide scheduling services necessary to assist with changes to the construction contract, and assist with the resolution of claims or actions arising during construction. Specifically, Consultant shall:
- a. Perform delay analyses;
 - b. Render assistance to the Department regarding any claim made or any litigation or action commenced which relates to construction of the Project including the development of "what if" and "but for" scenarios and schedule analyses where appropriate, and making written recommendation(s) regarding claim disposition; and
 - c. Assist the Department in negotiating resolution of such claims or actions.
21. Independent Estimating Services. Consultant shall provide estimating services necessary to assist with changes to the construction contract, and with the resolution of claims or actions arising during construction. Specifically, Consultant shall:
- a. Review and analyze potential changes to the construction contract;
 - b. Provide independent estimates for proposed changes to the construction contract;
 - c. Review Contractor price quotations and assist with negotiating costs;
 - d. Render assistance to the Department regarding any claim made or any litigation or action commenced which relates to construction of the Project including the review and investigation of all claims; and
 - e. Assist the Department in negotiating resolution of such claims or actions.
22. Safety Programs. Consultant shall review Contractor's health and safety plan and provide results of such review to City. Consultant shall also notify the Department of any instances of noncompliance with safety programs of which Consultant is aware, or becomes aware. Consultant shall not be required to make safety inspections nor shall Consultant have any responsibility for implementation of Contractor's safety program. Consultant shall have sole responsibility for implementation of its own

safety program.

23. City and Caltrans Furnished Materials. Consultant shall monitor and assist in procurement of Department or Caltrans furnished equipment and materials, if any.
24. Record Drawings. Consultant shall establish controls for, and monitoring and reporting to the Department on, the maintenance by Contractor of record drawings. The intent of said controls is to ensure the record drawings are maintained on a current basis and to authorize the monthly payment (if any) for maintenance of record drawings in accordance with the Project specifications.

Consultant shall be compensated for Construction Services in Tasks B.1, B.3 through B.13, B.15, B.16, B.23, and B.24 in Fixed Fee amounts as defined in the Method of Compensation, Exhibit F.

Consultant shall be compensated for Construction Services in Tasks B.2, B.14, and B.17 thru B.22 on an hourly basis as defined in the Method of Compensation, Exhibit F.

C. POST-CONSTRUCTION SERVICES

1. Project Close-Out Administration. Consultant shall provide construction contract closeout services and obtain, coordinate and transmit to the Department, Contractor-provided information such as guarantees, warranties, certifications, final permits, Record Drawings, releases, affidavits, operation and maintenance manuals and other items required by the Contract Documents. Consultant shall review preliminary and final punch lists prepared by the Design Consultant and/or Inspection and shall coordinate with the Contractor to complete all items. Consultant shall:
 - a. Coordinate, schedule and participate in a final inspection of the Project;
 - b. Schedule and coordinate all start-up and commissioning of equipment, including, but not limited to:
 - i. coordinate pre-start-up meeting with Contractor, Design Consultant and Department staff to confirm equipment is ready for testing/operation and the Contractor's plan for start-up,
 - ii. observe, with the Design Consultant and Inspection, the field testing and adjustment of equipment,
 - iii. ensure equipment operation and maintenance manuals have been submitted by the Contractor and accepted by the Engineer and Department operations and maintenance personnel,
 - iv. coordinate instruction sessions for Department and/or tenant personnel in proper operation and maintenance of equipment, and,
 - v. If specialized maintenance is required, ensure that necessary

maintenance contracts are in place to support start of operations;

- c. Make recommendations that may be beneficial to the Department during initial operating period;
 - d. Negotiate final Authorities for Adjustment and obtain subcontractor releases;
 - e. Obtain and verify the completeness of the Contractor's record drawings and transmit them to the Department;
 - f. Perform warranty administration for warranty issues raised by operations and maintenance personnel; and
 - g. Upon completion and acceptance of the Project, deliver to the Department computer hardware/software and other equipment purchased through this Agreement and all Project documents and records, both in hard copy and electronic formats.
2. Post-Mortem Report. In conjunction with the Engineer, coordinate a meeting with key team members, including the Design Consultant and Contractor, to identify particular problems, challenges and successes during the construction phase of the Project. Prepare a report of the findings and any recommendations regarding changes to policies and procedures to improve future performance.

Consultant shall be compensated for Post-Construction Services in Tasks C.1 & C.2 on an hourly basis as defined in the Method of Compensation, Exhibit F.

D. OPTIONAL SERVICES

The Engineer may require Consultant to perform optional services, as noted herein. Payment to Consultant for such optional services shall be as defined in the Method of Compensation, Exhibit F. Such services shall include, but not be limited to:

1. Public Outreach. Provide Public Outreach services including preparation of a Communication/Outreach Plan, Construction Alert Coordination, provide assistance in conducting Public Information/Update Meetings and Stakeholder Meetings, and Project Hotline Support.
2. Partnering. Organize, sponsor and facilitate Partnering workshops, executive sessions, follow-up sessions and evaluation sessions with selected Department, Consultant and Contractor staff.
3. Quality Assurance. Provide Acceptance Testing and Independent Quality Assurance services, as directed by the Engineer.
4. As-Needed Services. Provide other additional as-needed services for this Project, or other Department projects, as directed by the Engineer.

Exhibit "C" – Form of Directive

(Date)

(Consultant)
(Consultant address)
(City, State, Zip)

Attention: (Project Manager)

Subject: Directive No. 1 (Project Name)

Pursuant to Section 2.2(a) of Agreement No. _____, after receipt of a written Notice to Proceed signed by City's Chief Harbor Engineer of the Engineering Division, Consultant shall proceed with the following:

<u>Task</u>	<u>Services</u>	<u>Authorized Amount</u>
-------------	-----------------	--------------------------

1.

2.

3.

Consultant shall provide all required task, services, and deliverables in accordance with Exhibit "B" to Agreement No. _____.

Consultant shall complete the work within ____ calendar days from City's transmittal of its written Notice to Proceed.

When invoicing for the services covered by this Directive No. __, please identify fees for this directive as follows:

LAHD EWO No. _____

LAHD Job. No. _____

LAHD Center No. _____

LAHD Program No. _____

LAHD Account No. _____

Consultant shall undertake the following MBE/WBE/SBE/DVBE/OBE utilization in connection with its performance of this Directive No. ____.

Consultant acknowledges that the terms and conditions of Agreement No. _____ govern this Directive and that its signature below reflects its agreement with the terms and conditions of this Directive No. ____.

If you have any questions, please contact _____ at (310) 732-_____.

ACCEPTED:

(Consultant Name)
Consultant

GERALDINE KNATZ, Ph.D.
Executive Director

Date:
Ex C – form of directive
12/28/11

Date:

Exhibit "D" – Form of Notice to Proceed

(Date)

(Consultant)
(Consultant Address)
(City, State, Zip)

Attention: (Project Manager)

Subject: Notice to Proceed – Directive No. _____
Agreement No. _____
(Project Name)

This is to notify and direct you to commence performance of the subject Directive.
Enclosed is your set of the executed Directive documents.

If you have any questions, please contact _____ at (310) 732-_____.

Very truly yours,

SHAUN SHAHRESTANI
Chief Harbor Engineer

Enclosure: Directive No. _____

EXHIBIT E
SCHEDULE OF WORK

Following the first Notice to Proceed issued according to Section 2.2(c) of the Agreement, Consultant shall complete the Scope of Work in Exhibit B for each phase of the Project according to the following schedule:

Pre-construction Services:	4 months
Construction Services:	26 months
Post-construction Services:	6 months
 Total Contract Duration:	 36 months

EXHIBIT F
METHOD OF COMPENSATION

**FOR: JOHN S. GIBSON BLVD/I-110 FWY ACCESS RAMP IMPROVEMENTS SR-
4711-110 FWY NORTHBOUND CONNECTOR WIDENING PROJECT**

For those items of the work for which compensation is payable in Fixed Fee amounts, Payment to the Consultant shall be made in monthly installments of the Fixed Fee amount set forth below, according to the percentage of completion of each phase of work, as determined and approved by the Chief Harbor Engineer based upon monthly progress reports submitted by Consultant. Monthly progress payments shall be equal to the percentage of completion for each phase multiplied by the Fixed Fee payable for completion of each phase, less amounts previously billed.

For those items of the work for which compensation is payable up to Estimated amounts, Consultant shall be paid an hourly fee or unit price at the rates set forth in the Hourly Rates & Unit Prices exhibit. Consultant's monthly invoice shall itemize all hours or units actually performed in performing such services, identifying the personnel and sub-consultant classifications of individuals performing such work and the applicable rates. A 5% (five percent) mark-up payable to the prime Consultant shall be allowed for work performed by listed Subconsultants for items of the work for which compensation is payable up to Estimated amounts.

Compensable amounts set forth on an hourly or unit basis, or fixed fee basis, are estimated only. In the event that all necessary services required in any category described herein, in the judgment of the Chief Harbor Engineer, are fully performed by Consultant at a cost to City which is less than the amounts estimated and authorized hereunder, Chief Harbor Engineer may apply the unexpended balance to compensate Consultant for services in any other category for which compensation was underestimated on either of these bases.

I. PRE-CONSTRUCTION SERVICES

1. Pre-Construction Services as described in Sections A.1 through A.5. of Scope of Services (sub-Task scope and fee as noted in individual Directives),

Estimated Amount \$105,000.

II. CONSTRUCTION SERVICES

1. Construction Services as described in Sections B.1, B.3 through B.13, B.15, B.16, B.23, and B.24 of Scope of Services,

Fixed Fee \$1,645,000.

2. Construction Services as described in Sections B.2, B.14, and B.17 through B.22 of Scope of Services (sub-Task scope and fee as noted in individual Directives),

Estimated Amount \$1,439,000.

III. POST-CONSTRUCTION SERVICES

1. Post-Construction Services, as described in Sections C.1. and C.2. of Scope of Services (sub-Task scope and fee as noted in individual Directives),

Estimated Amount \$326,000.

IV. OPTIONAL SERVICES

1. Public Outreach Services as described in Section D.1. of Scope of Services (sub-Task scope and fee as noted in individual Directives),

Estimated Amount \$140,000.

2. Partnering and follow-up sessions as described in Section D.2. of Scope of Services (sub-Task scope and fee as noted in individual Directives),

Estimated Amount \$10,000.

3. Quality Assurance Services as described in Section D.3. of Scope of Services (sub-Task scope and fee as noted in individual Directives),

Estimated Amount \$300,000.

4. Additional As-Needed Services as described in Section D.4. of Scope of Services (sub-Task scope and fee as noted in individual Directives),

Estimated Amount \$300,000.

Subtotal for Optional Services \$750,000.

Expenses for the Fixed Fee items are included in the Fixed Fee amounts.

Expenses for the Estimated items in I. Pre-Construction Services, II. Construction Services, and III. Post-Construction Services are included in those Estimated Amounts. Expenses for the items described in IV. Optional Services shall be billed as noted in individual Directives.

Maximum Agreement compensation shall not exceed the total of I. thru IV. \$4,265,000.

EXHIBIT G
HOURLY RATES & UNIT PRICES

These hourly rates & unit prices include other direct costs & indirect costs, except for MBI Media which does not include other direct costs. Subconsultant invoices for Estimated Amount tasks are subject to a 5% mark-up. Any subconsultant mark-ups for Fixed Fee tasks are already included in the fixed fees.

Prime Consultant

**Hourly Rate
or Unit Price**

JACOBS

Project Manager / Senior Construction Manager	\$ 173.00
Field / Office Engineer	\$ 145.00
Document Control / Clerical	\$ 90.00
Scheduler	\$ 165.00
Estimator	\$ 165.00
Claims Engineer	\$ 221.00
Safety Engineer	\$ 129.00
Inspector	\$ 152.00
Structural Representative	\$ 169.00

Subconsultants

CALTROP

Structural Material Representative	\$ 187.00
Inspector	\$ 131.57

Earth Spectives

Move-in	\$ 650.00
Gamma-Gamma Logging, per foot	\$ 1.50
Data post processing and analysis for 24-in pile	\$ 25.00
Data post processing and analysis for 60-in pile	\$ 50.00
Data post processing and analysis for 96-in pile	\$ 75.00
Report at end of each session	\$ 500.00
Potential stand-by time	\$ 165.00
Consultation	\$ 145.00

IEM

Field / Office Engineer	\$ 145.00
Document Control / Clerical	\$ 90.00

MBI Media

Project Manager	\$ 125.00
Account Coordinator	\$ 65.00
Admin Assistant	\$ 45.00
Facilitator	\$ 150.00
Translation	\$ 125.00
Graphic Designer	\$ 95.00
Website Support	\$ 95.00

MTGL, Inc.*Labor Category*

Soils Technician (CT 231)	\$ 90.00
Concrete Technician (CT 500)	\$ 90.00
Asphalt Technician (CT 375)	\$ 90.00
PCC Batch Plant (CT 500)	\$ 90.00
AC Batch Plant (CT 300)	\$ 90.00
Masonry Special Inspector	\$ 90.00
Coring Technician (CT 300)	\$ 100.00

Test Description

Sieve Analysis (CT 202)	\$ 100.00
California Impact (CT 216)	\$ 110.00
Sand Equivalent (CT 217)	\$ 100.00
Moisture Content (CT 370)	\$ 15.00
Cleanness Value (CT 227)	\$ 90.00
Durability Index (CT 229)	\$ 100.00
Resistance Value (CT 301)	\$ 250.00
AC Production Startup	\$ 1,000.00
Agg Production Startup	\$ 1,000.00
Core Density (CT 304)	\$ 30.00
Hveem Density (CT 308)	\$ 150.00
Rice Density (CT 309)	\$ 100.00
Stability (CT 366)	\$ 90.00
Moisture Content (CT 226)	\$ 15.00
Oil Content (CT 382)	\$ 90.00
Concrete Trial Batch (CT 500)	\$ 1,800.00
PCC Flex Strength (CT 523)	\$ 120.00
PCC Strength (CT 521)	\$ 72.00
Grout Testing (C 1019)	\$ 72.00
Prism Testing (C 1314)	\$ 240.00
Mortar Testing (C 1714)	\$ 60.00

On-Site Lab, Certification, Curing Pit	\$ 11,000.00
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EXHIBIT H

FORM OF INVOICE FOR CONSULTANT TO USE

Company Name and Logo
Address

Accounts Payable Section
Harbor Department, City of Los Angeles
P.O. Box 191
San Pedro, CA 90733-0191
Attention: _____

Invoice No.: _____
Invoice Date: _____
Invoice Period: _____
Federal ID No.: _____
City Business Tax No.: _____

Consultant Contact: _____
Telephone: _____

Project Title: John S. Gibson Blvd./I-110 Fwy. Project
Construction Management Consulting Services

Agreement No. _____

Directive No.	Task No.	Description	Fee Type	Authorized Amount	Total Earned to Date	Prior Invoices	Current Invoice

Subtotal

Total Compensation \$ _____
Less Prior Invoices \$ _____
Total Amount Due This Invoice \$ _____

"I certify under penalty of perjury that the above bill is just and correct according to the terms of Agreement No. ____ and that payment has not been received. I further certify that I have complied with the provisions of the City's Living Wage ordinance."

Name, Project Manager Date

Time and Material Details:

Name	Description	Rate	Hours	Amount	Markup	Total

Attachments:

Consultant Timesheets (for T&M Tasks)
Subconsultant Invoice with Timesheets (for T&M Tasks)
Monthly Subconsultant Monitoring Report

EXHIBIT I - MONTHLY SUBCONTRACTOR MONITORING REPORT

Instructions: Please indicate the SBE/VSBE/MBE/WBE/OBE/DBE/DVBE participation levels achieved for the month of _____ covered by the referenced contract number.

Contract No.	Spec. No.	Division	Contract Administrator
Contractor		Group*	Contract Title/Pro
Original Contract Amount		Contract Start Date	Contract End Date

Total Earned Value to Date

Original Proposed Subcontractor Percentages	SBE (%)	VSBE (%)	MBE	WBE	OBE	DBE	DVBE
Contract-required minimum percentages (in parentheses) (%)							
The VSBE and Total SBE min-required percentages above, are not additive. The Total SBE percentage includes VSBE participation.							

	Name of Subcontractor	Type of Work Performed	SBE/VSBE	MBE/DBE/DVBE	PROPOSED			ACTUALS		
					Original Proposed Amount	Original Proposed Percentage	Earned Value to Date	Earned Value to Date Percentage	Total Earned Value	Total Earned Percentage
1										
2										
3										
VSBE Subtotal:										

1										
2										
3										

SBE Subtotal (Exclusive of VSBE):

Total SBE (Inclusive of VSBE):

1										
2										
3										
4										
5										

Non-SBE Total:

Directions:
 Original Proposed Percentage =
 Earned Value to Date Percentage =
 Total Earned Value Percentage =

Original Proposed Amount / Original Contract Amount
 Earned Value to Date / Original Proposed Amount
 Earned Value to Date / Total Earned Value to Date

*Group = SBE / VSBE / MBE / WBE / OBE / DBE/DVBE

EXHIBIT J

BUSINESS TAX REGISTRATION CERTIFICATE (BTRC) NUMBER

The City of Los Angeles Office of Finance requires all firms that engage in any business activity within the City of Los Angeles to pay City business taxes. Each firm or individual (other than a municipal employee) is required to obtain the necessary Business Tax Registration Certification (BTRC) and pay business tax. (Los Angeles Municipal Code Section 21.09 et seq.)

All firms and individuals that do business with the City of Los Angeles will be required to provide a BTRC number or an exemption number as proof of compliance with Los Angeles City business tax requirements in order to receive payment for goods or services. Beginning October 14, 1987, payments for goods or services will be withheld unless proof of tax compliance is provided to the City.

The Tax and Permit Division of Los Angeles Office of Finance has the sole authority to determine whether a firm is covered by business tax requirements. Those firms not required to pay will be given an exemption number.

If you do NOT have a BTRC number contact the Tax and Permit Division at the office listed below, or log on to www.lacity.org/finance to download the business tax registration application.

MAIN OFFICE

LA City Hall

201 N. Main Street, Rm. 101

(213) 473-5901

EXHIBIT K - AFFIRMATIVE ACTION PROGRAM PROVISIONS

Sec. 10.8.4 Affirmative Action Program Provisions.

Every non-construction contract with or on behalf of the City of Los Angeles for which the consideration is \$100,000 or more and every construction contract with or on behalf of the City of Los Angeles for which the consideration is \$5,000 or more shall contain the following provisions which shall be designated as the AFFIRMATIVE ACTION PROGRAM provisions of such contract:

- A. During the performance of City contract, the contractor certifies and represents that the contractor and each subcontractor hereunder will adhere to an affirmative action program to ensure that in its employment practices, persons are employed and employees are treated equally and without regard to or because of race, religion, ancestry, national origin, sex, sexual orientation, age, disability, marital status, domestic partner status, or medical condition.
 - 1. This provision applies to work or services performed or materials manufactured or assembled in the United States.
 - 2. Nothing in this section shall require or prohibit the establishment of new classifications of employees in any given craft, work or service category.
 - 3. The contractor shall post a copy of Paragraph A hereof in conspicuous places at its place of business available to employees and applicants for employment.
- B. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to their race, religion, ancestry, national origin, sex, sexual orientation, age, disability, marital status, domestic partner status, or medical condition.
- C. As part of the City's supplier registration process, and/or at the request of the awarding authority or the Office of Contract Compliance, the contractor shall certify on an electronic or hard copy form to be supplied, that the contractor has not discriminated in the performance of City contracts against any employee or applicant for employment on the basis or because of race, religion, ancestry, national origin, sex, sexual orientation, age, disability, marital status, domestic partner status, or medical condition.
- D. The contractor shall permit access to and may be required to provide certified copies of all of its records pertaining to employment and to its employment practices by the awarding authority or the Office of Contract Compliance, for the purpose of investigation to ascertain compliance with the Affirmative Action Program provisions of City contracts, and on their or either of their request to provide evidence that it has or will comply therewith.

- E. The failure of any contractor to comply with the Affirmative Action Program provisions of City contracts may be deemed to be a material breach of contract. Such failure shall only be established upon a finding to that effect by the awarding authority, on the basis of its own investigation or that of the Board of Public Works, Office of Contract Compliance. No such finding shall be made except upon a full and fair hearing after notice and an opportunity to be heard has been given to the contractor.
- F. Upon a finding duly made that the contractor has breached the Affirmative Action Program provisions of a City contract, the contract may be forthwith cancelled, terminated or suspended, in whole or in part, by the awarding authority, and all monies due or to become due hereunder may be forwarded to and retained by the City of Los Angeles. In addition thereto, such breach may be the basis for a determination by the awarding authority or the Board of Public Works that the said contractor is an irresponsible bidder or proposer pursuant to the provisions of Section 371 of the Los Angeles City Charter. In the event of such determination, such contractor shall be disqualified from being awarded a contract with the City of Los Angeles for a period of two years, or until he or she shall establish and carry out a program in conformance with the provisions hereof.
- G. In the event of a finding by the Fair Employment and Housing Commission of the State of California, or the Board of Public Works of the City of Los Angeles, or any court of competent jurisdiction, that the contractor has been guilty of a willful violation of the California Fair Employment and Housing Act, or the Affirmative Action Program provisions of a City contract, there may be deducted from the amount payable to the contractor by the City of Los Angeles under the contract, a penalty of TEN DOLLARS (\$10.00) for each person for each calendar day on which such person was discriminated against in violation of the provisions of a City contract.
- H. Notwithstanding any other provisions of a City contract, the City of Los Angeles shall have any and all other remedies at law or in equity for any breach hereof.
- I. The Public Works Board of Commissioners shall promulgate rules and regulations through the Office of Contract Compliance and provide to the awarding authorities electronic and hard copy forms for the implementation of the Affirmative Action Program provisions of City contracts, and rules and regulations and forms shall, so far as practicable, be similar to those adopted in applicable Federal Executive Orders. No other rules, regulations or forms may be used by an awarding authority of the City to accomplish this contract compliance program.
- J. Nothing contained in City contracts shall be construed in any manner so as to require or permit any act which is prohibited by law.
- K. The Contractor shall submit an Affirmative Action Plan which shall meet the requirements of this chapter at the time it submits its bid or proposal or at the time it registers to do business with the City. The plan shall be subject to approval by the

Office of Contract Compliance prior to award of the contract. The awarding authority may also require contractors and suppliers to take part in a pre-registration, pre-bid, pre-proposal, or pre-award conference in order to develop, improve or implement a qualifying Affirmative Action Plan. Affirmative Action Programs developed pursuant to this section shall be effective for a period of twelve

months from the date of approval by the Office of Contract Compliance. In case of prior submission of a plan, the contractor may submit documentation that it has an Affirmative Action Plan approved by the Office of Contract Compliance within the previous twelve months. If the approval is 30 days or less from expiration, the contractor must submit a new Plan to the Office of Contract Compliance and that Plan must be approved before the contract is awarded.

1. Every contract of \$5,000 or more which may provide construction, demolition, renovation, conservation or major maintenance of any kind shall in addition comply with the requirements of Section 10.13 of the Los Angeles Administrative Code.
 2. A contractor may establish and adopt as its own Affirmative Action Plan, by affixing his or her signature thereto, an Affirmative Action Plan prepared and furnished by the Office of Contract Compliance, or it may prepare and submit its own Plan for approval.
- L. The Office of Contract Compliance shall annually supply the awarding authorities of the City with a list of contractors and suppliers who have developed Affirmative Action Programs. For each contractor and supplier the Office of Contract Compliance shall state the date the approval expires. The Office of Contract Compliance shall not withdraw its approval for any Affirmative Action Plan or change the Affirmative Action Plan after the date of contract award for the entire contract term without the mutual agreement of the awarding authority and the contractor.
- M. The Affirmative Action Plan required to be submitted hereunder and the pre-registration, pre-bid, pre-proposal or pre-award conference which may be required by the Board of Public Works, Office of Contract Compliance or the awarding authority shall, without limitation as to the subject or nature of employment activity, be concerned with such employment practices as:
1. Apprenticeship where approved programs are functioning, and other on-the-job training for non-apprenticeable occupations;
 2. Classroom preparation for the job when not apprenticeable;
 3. Pre-apprenticeship education and preparation;
 4. Upgrading training and opportunities;

5. Encouraging the use of contractors, subcontractors and suppliers of all racial and ethnic groups, provided, however, that any contract subject to this ordinance shall require the contractor, subcontractor or supplier to provide not less than the prevailing wage, working conditions and practices generally observed in private industries in the contractor's, subcontractor's or supplier's geographical area for such work;
 6. The entry of qualified women, minority and all other journeymen into the industry; and
 7. The provision of needed supplies or job conditions to permit persons with disabilities to be employed, and minimize the impact of any disability.
- N. Any adjustments which may be made in the contractor's or supplier's workforce to achieve the requirements of the City's Affirmative Action Contract Compliance Program in purchasing and construction shall be accomplished by either an increase in the size of the workforce or replacement of those employees who leave the workforce by reason of resignation, retirement or death and not by termination, layoff, demotion or change in grade.
- O. Affirmative Action Agreements resulting from the proposed Affirmative Action Plan or the pre-registration, pre-bid, pre-proposal or pre-award conferences shall not be confidential and may be publicized by the contractor at his or her discretion. Approved Affirmative Action Agreements become the property of the City and may be used at the discretion of the City in its Contract Compliance Affirmative Action Program.
- P. This ordinance shall not confer upon the City of Los Angeles or any Agency, Board or Commission thereof any power not otherwise provided by law to determine the legality of any existing collective bargaining agreement and shall have application only to discriminatory employment practices by contractors or suppliers engaged in the performance of City contracts.
- Q. All contractors subject to the provisions of this section shall include a like provision in all subcontracts awarded for work to be performed under the contract with the City and shall impose the same obligations, including but not limited to filing and reporting obligations, on the subcontractors as are applicable to the contractor. Failure of the contractor to comply with this requirement or to obtain the compliance of its subcontractors with all such obligations shall subject the contractor to the imposition of any and all sanctions allowed by law, including but not limited to termination of the contractor's contract with the City.

EXHIBIT L – SMALL BUSINESS ENTERPRISE PROGRAM

The City of Los Angeles Harbor Department is committed to creating an environment that provides all individuals and businesses open access to the business opportunities available at the Harbor Department in a manner that reflects the diversity of the City of Los Angeles. The Harbor Department's Small Business Enterprise (SBE) Program was created to provide additional opportunities for small businesses to participate in professional service and construction contracts. An overall Department goal of 25% SBE participation, including 5% Very Small Business Enterprise (VSBE) participation, has been established for the Program. The specific goal or requirement for each contract opportunity may be higher or lower based on the scope of work.

It is the policy of the Harbor Department to solicit participation in the performance of all service contracts by all individuals and businesses, including, but not limited to, SBEs, VSBEs, women-owned business enterprises (WBEs), minority-owned business enterprises (MBEs), and disabled veteran business enterprises (DVBES). The SBE Program allows the Harbor Department to target small business participation, including MBEs, WBEs, and DVBES, more effectively. It is the intent of the Harbor Department to make it easier for small businesses to participate in contracts by providing education and assistance on how to do business with the City, and ensuring that payments to small businesses are processed in a timely manner. **In order to ensure the highest participation of SBE/VSBE/MBE/WBE/DVBES, all proposers shall utilize the City's contracts management and opportunities database, the Los Angeles Business Assistance Virtual Network (LABAVN), at <http://www.labavn.org>, to outreach to potential subcontractors.**

The Harbor Department defines a SBE as an independently owned and operated business that is not dominant in its field and meets criteria set forth by the Small Business Administration in Title 13, Code of Federal Regulations, Part 121. Go to www.sba.gov for more information. The Harbor Department defines a VSBE based on the State of California's Micro-business definition which is 1) a small business that has average annual gross receipts of \$3,500,000 or less within the previous three years, or (2) a small business manufacturer with 25 or fewer employees.

The SBE Program is a results-oriented program, requiring consultants who receive contracts from the Harbor Department to perform outreach and utilize certified small businesses. **Based on the work to be performed, it has been determined that the percentage of small business participation will be 25%, including 5% VSBE participation.** The North American Industry Classification System (NAICS) Code for the scope of services is 541330. This NAICS Code is the industry code that corresponds to at least 51% of the scope of services and will be used to determine the size standard for SBE participation of the Prime Consultant. The maximum SBE size standard for this NAICS Code is \$14 million.

Consultant shall be responsible for determining the SBE status of its subconsultants for purposes of meeting the small business requirement. Subconsultants must qualify as an SBE based on the type of services that they will be performing under the Agreement. All business participation will be determined by the percentage of the total amount of compensation under the agreement paid to SBEs. The Consultant shall not substitute an SBE firm without obtaining prior approval of the City. A request for substitution must be based upon demonstrated good cause. If substitution is permitted, Consultant shall endeavor to make an in-kind substitution for the substituted SBE.

In the event of Consultant's noncompliance during the performance of the Agreement, Consultant shall be considered in material breach of contract. In addition to any other remedy available to City under this Agreement or by operation of law, the City may withhold invoice payments to Consultant until noncompliance is corrected, and assess the costs of City's audit of books and records of Consultant and its subconsultants. In the event the Consultant falsifies or misrepresents information contained in any form or other willful noncompliance as determined by City, City may disqualify the Consultant from participation in City contracts for a period of up to five (5) years.

Consultant shall complete, sign, notarize (where applicable) and submit as part of the executed agreement the attached Affidavit and Contractor Description Form. The Contractor Description Form, when signed, will signify the Consultant's intent to comply with the SBE requirement. Prior to contract award, the Harbor Department will verify the status of all SBEs. In addition, prior to being awarded a contract with the Harbor Department, all contractors and subcontractors must be registered on LABAVN.

Contractor Description Form

PRIME CONTRACTOR

Contract #: N/A Award Date: To be awarded Contract Term: 36 months
Contract Title: John S. Gibson Blvd./I-110 FWY Access Ramp Improvements Project
Business Name: Jacobs Project Management Co. Award Total: \$ 4,265,000
Owner's Ethnicity: _____ Gender _____ Group: SBE VSBE MBE WBE DVBE OBE (Check all that apply)
Primary NAICS Code: 541330 Average Three Year Gross Revenue: \$ 10.4 billion
Address: 600 Wilshire Blvd., Suite 1000
City/State/Zip: Los Angeles, CA 90017
Telephone: (949) 224.7588 FAX: (949) 224.7501
Contact Person/Title: Jim Hamlin / Business Development Manager
Email Address: jim.hamlin@jacobs.com

SUBCONTRACTOR

Business Name: Integrated Engineering Management (IEM) Award Total: \$ 1,154,000
Services to be provided: Office Engineering, Document Control, Scheduling (as needed)
Owner's Ethnicity: Caucasian Gender Female Group: SBE VSBE MBE WBE DVBE OBE (Check all that apply)
Primary NAICS Code: 541330 Average Three Year Gross Revenue: \$ 2.5 million
Address: 302 W. 5th Street, Suite 207
City/State/Zip: San Pedro, CA 90731
Telephone: (310) 221.0749 FAX: (310) 221.0859
Contact Person/Title: Behjat Zanjani
Email Address: bzanjani@iemcm.com

SUBCONTRACTOR

Business Name: McCormick-Busse, Inc. dba MBI Media (MBI) Award Total: \$ 140,000
Services to be provided: Public Outreach Support Services
Owner's Ethnicity: Caucasian Gender Female Group: SBE VSBE MBE WBE DVBE OBE (Check all that apply)
Primary NAICS Code: 541820 Average Three Year Gross Revenue: \$ 1.53 million
Address: 957 S. Village Oaks Dr., Suite 100
City/State/Zip: Covina, CA 91724
Telephone: (626) 967.1510 FAX: (626) 967.1718
Contact Person/Title: Wayne Brown, Director of Client Services
Email address: wbrown@mbimedia.com

Contractor Description Form

PRIME CONTRACTOR

Contract #: _____ Award Date: _____ Contract Term: _____
Contract Title: _____
Business Name: _____ Award Total: \$ _____
Owner's Ethnicity: _____ Gender _____ Group: SBE VSBE MBE WBE DVBE OBE (Check all that apply)
Primary NAICS Code: _____ Average Three Year Gross Revenue: \$ _____
Address: _____
City/State/Zip: _____
Telephone: () _____ FAX: () _____
Contact Person/Title: _____
Email Address: _____

SUBCONTRACTOR

Business Name: MTGL, Inc. Award Total: \$ 231,000
Services to be provided: Geotechnical Engineering, Construction Testing and Inspection
Owner's Ethnicity: Hispanic Gender Female Group: SBE VSBE MBE WBE DVBE OBE (Check all that apply)
Primary NAICS Code: 541380 Average Three Year Gross Revenue: \$ 12,506,315
Address: 2992 E La Palma, St. A
City/State/Zip: Anaheim, CA 92806
Telephone: (714) 632.2999 FAX: (714) 632.2974
Contact Person/Title: Steven Koch, Senior Vice President
Email Address: skoch@mtgline.com

SUBCONTRACTOR

Business Name: CALTROP Corporation Award Total: \$ 49,000
Services to be provided: Source Inspection
Owner's Ethnicity: _____ Gender _____ Group: SBE VSBE MBE WBE DVBE OBE (Check all that apply)
Primary NAICS Code: 237310 Average Three Year Gross Revenue: \$ 52,000,000
Address: 9337 Milliken Avenue
City/State/Zip: Rancho Cucamonga, CA 91730
Telephone: (909) 931.9331 FAX: (909) 931.0061
Contact Person/Title: Nathan Forrest
Email address: nforrest@caltrop.com

Contractor Description Form

~~PRIME CONTRACTOR~~

~~Contract #: _____ Award Date: _____ Contract Term: _____
Contract Title: _____
Business Name: _____ Award Total: \$ _____
Owner's Ethnicity: _____ Gender _____ Group: SBE VSBE MBE WBE DVBE OBE (Check all that apply)
Primary NAICS Code: _____ Average Three Year Gross Revenue: \$ _____
Address: _____
City/State/Zip: _____
Telephone: () _____ FAX: () _____
Contact Person/Title: _____
Email Address: _____~~

SUBCONTRACTOR

Business Name: EarthSpectives Award Total: \$ 20,000
Services to be provided: CIDH Pile Integrity Testing/Vibration Monitoring/Post Processing Data Analysis
Owner's Ethnicity: Caucasian Gender Male Group: SBE VSBE MBE WBE DVBE OBE (Check all that apply)
Primary NAICS Code: 541380 Average Three Year Gross Revenue: \$ 600,000
Address: 250 Goddard
City/State/Zip: Irvine, CA 92618
Telephone: (949) 777.1270 FAX: (949) 777.1283
Contact Person/Title: Hossein Rashidi, Principal Engineer
Email Address: hossein@earthspectives.com

SUBCONTRACTOR

Business Name: _____ Award Total: \$ _____
Services to be provided: _____
Owner's Ethnicity: _____ Gender _____ Group: SBE VSBE MBE WBE DVBE OBE (Check all that apply)
Primary NAICS Code: _____ Average Three Year Gross Revenue: \$ _____
Address: _____
City/State/Zip: _____
Telephone: () _____ FAX: () _____
Contact Person/Title: _____
Email address: _____

AFFIDAVIT OF COMPANY STATUS

"The undersigned declares under penalty of perjury pursuant to the laws of the State of California that the following information and information contained on the attached Contractor Description Form is true and correct and include all material information necessary to identify and explain the operations of

Jacobs Project Management Co.

Name of Firm

as well as the ownership thereof. Further, the undersigned agrees to provide either through the prime consultant or, directly to the Harbor Department, complete and accurate information regarding ownership in the named firm, any proposed changes of the ownership and to permit the audit and examination of firm ownership documents in association with this agreement."

Please indicate the ownership of your company: ☐ SBE ☐ VSBE ☐ MBE ☐ WBE ☐ DVBE ☒ OBE

- A Small Business Enterprise (SBE) is an independently owned and operated business that is not dominant in its field and meets criteria set forth by the Small Business Administration in Title 13, Code of Federal Regulations, Part 121.
- A Very Small Business Enterprise (VSBE) is 1) a small business that has average annual gross receipts of \$3,500,000 or less within the previous three years, or (2) a small business manufacturer with 25 or fewer employees.
- A Minority Business Enterprise (MBE) is defined as a business in which a minority owns and controls at least 51% of the business. A Woman Business (WBE) is defined as a business in which a woman owns and controls at least 51% of the business. For the purpose of this project, a minority includes:
 - (1) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
 - (3) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, The Indian Subcontinent, or the Pacific Islands); and
 - (4) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- A Disabled Veteran Business Enterprise (DVBE) is defined as a business in which a disabled veteran owns at least 51% of the business, and the daily business operations are managed and controlled by one or more disabled veterans.
- An OBE (Other Business Enterprise) is any enterprise that is neither an SBE, VSBE, MBE, WBE, or DVBE.

Signature Anthony C. Ferruccio

Title Vice President

Printed Name Anthony C. Ferruccio

Date Signed 2-15-13

NOTARY

On this 15th day of FEBRUARY, 2013, before me appeared

Anthony C. Ferruccio to me personally known, who being duly sworn, did execute the

Name

foregoing affidavit, and did state that he/she was properly authorized by Jacobs Project Management Co.

Name of Firm

to execute the affidavit and did so as his or her free act and deed.

SEAL



Notary Public

Commission Expires

AFFIDAVIT OF COMPANY STATUS

"The undersigned declares under penalty of perjury pursuant to the laws of the State of California that the following information and information contained on the attached Consultant Description Form is true and correct and include all material information necessary to identify and explain the operations of

INTEGRATED ENGINEERING MANAGEMENT (IEM)

Name of Firm

as well as the ownership and location thereof. Further, the undersigned agrees to provide directly to the Harbor Department, complete and accurate information regarding ownership in the named firm, any proposed changes of the ownership and to permit the audit and examination of firm ownership documents in association with this agreement."

(1) **Small/Very Small Business Enterprise Program:** Please indicate the ownership of your company. Please check all that apply. At least one box must be checked:

☒ SBE ☒ VSBE ☐ MBE ☒ WBE ☐ DVBE ☐ OBE

- A Small Business Enterprise (SBE) is an independently owned and operated business that is not dominant in its field and meets criteria set forth by the Small Business Administration in Title 13, Code of Federal Regulations, Part 121.
- A Very Small Business Enterprise (VSBE) is 1) a small business that has average annual gross receipts of \$3,500,000 or less within the previous three years, or (2) a small business manufacturer with 25 or fewer employees.
- A Minority Business Enterprise (MBE) is defined as a business in which a minority owns and controls at least 51% of the business. A Woman Business (WBE) is defined as a business in which a woman owns and controls at least 51% of the business. For the purpose of this project, a minority includes:
 - (1) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
 - (3) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, The Indian Subcontinent, or the Pacific Islands); and
 - (4) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- A Disabled Veteran Business Enterprise (DVBE) is defined as a business in which a disabled veteran owns at least 51% of the business, and the daily business operations are managed and controlled by one or more disabled veterans.
- An OBE (Other Business Enterprise) is any enterprise that is neither an SBE, VSBE, MBE, WBE, or DVBE.

(2) Please indicate the Local Business Enterprise status of your company. Only one box must be checked:

☒ LBE ☐ Non-LBE

- A Local Business Enterprise (LBE) is: (a) a business headquartered within Los Angeles, Orange, Riverside, San Bernardino, or Ventura Counties; or (b) a business that has at least 50 full-time employees, or 25 full-time employees for specialty marine contracting firms, working in Los Angeles, Orange, Riverside, San Bernardino, or Ventura Counties. "Headquartered" shall mean that the business physically conducts and manages all of its operations from a location in the above-named counties.
- A Non-LBE is any business that does not meet the definition of a LBE.

Signature: *Behjat Zanjani*
Printed Name: BEHJAT ZANJANI

Title: PRESIDENT
Date Signed: 12-7-12

NOTARY:

On this 7 day of December 20 12, before me appeared
Behjat Zanjani to me personally known, who being duly sworn, did execute the
Name

foregoing affidavit, and did state that he/she was properly authorized by
Nancy G. Iniguez to execute the affidavit and did so as his or her free act and deed.
Name of Firm

SEAL

Notary Public: *Nancy G. Iniguez*
Commission Expires: 12/04/15



AFFIDAVIT OF COMPANY STATUS

"The undersigned declares under penalty of perjury pursuant to the laws of the State of California that the following information and information contained on the attached Contractor Description Form is true and correct and include all material information necessary to identify and explain the operations of

McCormick-Busse, Inc. dba MBI Media

Name of Firm

as well as the ownership thereof. Further, the undersigned agrees to provide either through the prime consultant or, directly to the Harbor Department, complete and accurate information regarding ownership in the named firm, any proposed changes of the ownership and to permit the audit and examination of firm ownership documents in association with this agreement."

Please indicate the ownership of your company: ☒ SBE ☒ VSBE ☐ MBE ☒ WBE ☐ DVBE ☐ OBE

- A Small Business Enterprise (SBE) is an independently owned and operated business that is not dominant in its field and meets criteria set forth by the Small Business Administration in Title 13, Code of Federal Regulations, Part 121.
- A Very Small Business Enterprise (VSBE) is 1) a small business that has average annual gross receipts of \$3,500,000 or less within the previous three years, or (2) a small business manufacturer with 25 or fewer employees.
- A Minority Business Enterprise (MBE) is defined as a business in which a minority owns and controls at least 51% of the business. A Woman Business (WBE) is defined as a business in which a woman owns and controls at least 51% of the business. For the purpose of this project, a minority includes:
 - (1) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
 - (3) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, The Indian Subcontinent, or the Pacific Islands); and
 - (4) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- A Disabled Veteran Business Enterprise (DVBE) is defined as a business in which a disabled veteran owns at least 51% of the business, and the daily business operations are managed and controlled by one or more disabled veterans.
- An OBE (Other Business Enterprise) is any enterprise that is neither an SBE, VSBE, MBE, WBE, or DVBE.

Signature

Mary McCormick

Title President & CEO

Printed Name Mary McCormick

Date Signed January 28, 2013

NOTARY

On this 28th day of January, 2013, before me appeared

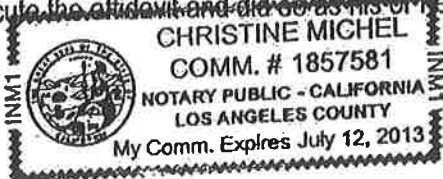
Mary McCormick to me personally known, who being duly sworn, did execute the

Name

foregoing affidavit, and did state that he/she was properly authorized by MBI Media
Name of Firm

to execute the affidavit and did so as his or her free act and deed.

SEAL



Notary Public

Christine Michel

Commission Expires

7-12-13

AFFIDAVIT OF COMPANY STATUS

"The undersigned declares under penalty of perjury pursuant to the laws of the State of California that the following information and information contained on the attached Contractor Description Form is true and correct and include all material information necessary to identify and explain the operations of

MTGL, Inc.

Name of Firm

as well as the ownership thereof. Further, the undersigned agrees to provide either through the prime consultant or, directly to the Harbor Department, complete and accurate information regarding ownership in the named firm, any proposed changes of the ownership and to permit the audit and examination of firm ownership documents in association with this agreement."

Please indicate the ownership of your company: ☒ SBE ☐ VSBE ☒ MBE ☒ WBE ☐ DVBE ☐ OBE

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- An OBE (Other Business Enterprise) is any enterprise that is neither an SBE, VSBE, MBE, WBE, or DVBE.

Signature Michael J. Landon

Title President

Printed Name Michael J. Landon

Date Signed 8/19/13

NOTARY

On this 19th day of August, 2013, before me appeared

Michael J. Landon to me personally known, who being duly sworn, did execute the

Name

foregoing affidavit, and did state that he/she was properly authorized by MTGL INC

Name of Firm

to execute the affidavit and did so as his or her free act and deed.

SEAL



Notary Public Maya Kass

Commission Expires Apr. 20, 2017

AFFIDAVIT OF COMPANY STATUS

"The undersigned declares under penalty of perjury pursuant to the laws of the State of California that the following information and information contained on the attached Contractor Description Form is true and correct and include all material information necessary to identify and explain the operations of

CALTROP Corporation

Name of Firm

as well as the ownership thereof. Further, the undersigned agrees to provide either through the prime consultant or, directly to the Harbor Department, complete and accurate information regarding ownership in the named firm, any proposed changes of the ownership and to permit the audit and examination of firm ownership documents in association with this agreement."

Please indicate the ownership of your company: ☐SBE ☐VSBE ☐MBE ☐WBE ☐DVBE ☒OBE

- A Small Business Enterprise (SBE) is an independently owned and operated business that is not dominant in its field and meets criteria set forth by the Small Business Administration in Title 13, Code of Federal Regulations, Part 121.
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 - (2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
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- An OBE (Other Business Enterprise) is any enterprise that is neither an SBE, VSBE, MBE, WBE, or DVBE.

Signature Alex Hashtroudi Title Secretary

Printed Name Alex Hashtroudi Date Signed 8/20/2013

NOTARY

On this _____ day of _____, 20____, before me appeared

_____ to me personally known, who being duly sworn, did execute the

Name

foregoing affidavit, and did state that he/she was properly authorized by _____

Name of Firm

to execute the affidavit and did so as his or her free act and deed.

SEAL

Notary Public _____

Commission Expires _____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

State of California

County of San Bernardino }

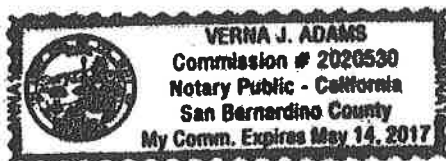
On 8/20/2013 before me, Verna J Adams, notary/public
Date Here Insert Name and Title of the Officer

personally appeared Alex Hashtroudi
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Place Notary Seal Above

Signature: Verna J Adams
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Affidavit of Company Status

Document Date: 8/20/2013 Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: Alex Hashtroudi

☒ Corporate Officer — Title(s): Secretary

☐ Individual

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Individual

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

AFFIDAVIT OF COMPANY STATUS

"The undersigned declares under penalty of perjury pursuant to the laws of the State of California that the following information and information contained on the attached Contractor Description Form is true and correct and include all material information necessary to identify and explain the operations of

EarthSpectives

Name of Firm

as well as the ownership thereof. Further, the undersigned agrees to provide either through the prime consultant or, directly to the Harbor Department, complete and accurate information regarding ownership in the named firm, any proposed changes of the ownership and to permit the audit and examination of firm ownership documents in association with this agreement."

Please indicate the ownership of your company: ☐ SBE ☒ VSBE ☐ MBE ☐ WBE ☐ DVBE ☐ OBE

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 - (2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
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Signature

Hossein Rashidi

Title

Principal Engineer

Printed Name

HOSSEIN RASHIDI

Date Signed

8/20/13

NOTARY

On this 20th day of AUGUST, 2013, before me appeared

HOSSEIN RASHIDI to me personally known, who being duly sworn, did execute the

Name

foregoing affidavit, and did state that he/she was properly authorized by EARTHSPECTIVES

Name of Firm

to execute the affidavit and did so as his or he free act and deed.

SEAL



Notary Public

Commission Expires

8-2-16

Exhibit 10-I Notice to Proposers DBE Information

LOCAL AGENCY LETTERHEAD
(DATE)NOTICE TO PROPOSERS
DISADVANTAGED BUSINESS ENTERPRISE
INFORMATION

The Agency has established an Underutilized DBE goal for this Agreement of _____ %

OR

The Agency has not established an Underutilized goal for this Agreement. However, proposers are encouraged to obtain DBE participation for this Agreement.

1. TERMS AS USED IN THIS DOCUMENT

- The term "Disadvantaged Business Enterprise" or "DBE" means a for-profit small business concern owned and controlled by a socially and economically disadvantaged person(s) as defined in Title 49, Part 26.5, Code of Federal Regulations (CFR).
- The term "Underutilized Disadvantaged Business Enterprise" or "UDBE." DBE classes that have been determined in the 2007 Caltrans Disparity Study to have a statistically significant disparity in their utilization in previously awarded transportation contracts. UDBEs include: African Americans, Native Americans, Asian-Pacific Americans, and Women.
- The term "Agreement" also means "Contract."
- Agency also means the local entity entering into this contract with the Contractor or Consultant.
- The term "Small Business" or "SB" is as defined in 49 CFR 26.65.

2. AUTHORITY AND RESPONSIBILITY

- A. DBEs and other small businesses are strongly encouraged to participate in the performance of Agreements financed in whole or in part with federal funds (See 49 CFR 26, "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs"). The Contractor should ensure that DBEs and other small businesses have the opportunity to participate in the performance of the work that is the subject of this solicitation and should take all necessary and reasonable steps for this assurance. The proposer shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of subcontracts.
- B. Proposers are encouraged to use services offered by financial institutions owned and controlled by DBEs.

3. SUBMISSION OF UDBE AND DBE INFORMATION

If there is a UDBE goal on the contract, a "Local Agency Proposer UDBE Commitment (Consultant Contract)" (Exhibit 10-O1) form shall be included in the Request for Proposal. In order for a proposer to be considered responsible and responsive, the proposer must make good faith efforts to meet the goal established for the contract. If the goal is not met, the proposer must document adequate good faith efforts. Only UDBE participation will be counted towards the contract goal; however, all DBE participation shall be collected and reported.

A "Local Agency Proposer DBE Information (Consultant Contract)" (Exhibit 10-O2) form shall be included with the Request for Proposal. The purpose of the form is to collect data required under 49 CFR 26. For contracts with UDBE goals, this form collects DBE participation by DBEs owned by Hispanic American and Subcontinent Asian Americans males (persons whose origin are from India, Pakistan, Bangladesh, Bhutan, Maldives Islands, Nepal or Sri Lanka). For contracts with no goals, this form collects information on all DBEs, including UDBEs. Even if no DBE participation will be reported, the successful proposer must execute and return the form.

4. DBE PARTICIPATION GENERAL INFORMATION

It is the proposer's responsibility to be fully informed regarding the requirements of 49 CFR, Part 26, and the Department's DBE program developed pursuant to the regulations. Particular attention is directed to the following:

- A. A DBE must be a small business firm defined pursuant to 13 CFR 121 and be certified through the California Unified Certification Program (CUCP).
- B. A certified DBE may participate as a prime contractor, subcontractor, joint venture partner, as a vendor of material or supplies, or as a trucking company.
- C. A UDBE proposer not proposing as a joint venture with a non-DBE, will be required to document one or a combination of the following:
 1. The proposer is a UDBE and will meet the goal by performing work with its own forces.
 2. The proposer will meet the goal through work performed by UDBE subcontractors, suppliers or trucking companies.
 3. The proposer, prior to proposing, made adequate good faith efforts to meet the goal.
- D. A DBE joint venture partner must be responsible for specific contract items of work or clearly defined portions thereof. Responsibility means actually performing, managing, and supervising the work with its own forces. The DBE joint venture partner must share in the capital contribution, control, management, risks and profits of the joint venture commensurate with its ownership interest.
- E. A DBE must perform a commercially useful function pursuant to 49 CFR 26.55, that is, a DBE firm must be responsible for the execution of a distinct element of the work and must carry out its responsibility by actually performing, managing and supervising the work.
- F. The proposer shall list only one subcontractor for each portion of work as defined in their proposal and all DBE subcontractors should be listed in the bid/cost proposal list of subcontractors.
- G. A prime contractor who is a certified DBE is eligible to claim all of the work in the Agreement toward the DBE participation except that portion of the work to be performed by non-DBE subcontractors.

5. RESOURCES

- A. The CUCP database includes the certified DBEs from all certifying agencies participating in the CUCP. If you believe a firm is certified that cannot be located on the database, please contact the Caltrans Office of Certification toll free number 1-866-810-6346 for assistance. Proposer may call (916) 440-0539 for web or download assistance.
 - B. Access the CUCP database from the Department of Transportation, Civil Rights, Business Enterprise Program web site at: <http://www.dot.ca.gov/hq/bep/>.
 - Click on the link in the left menu titled Disadvantaged Business Enterprise
 - Click on Search for a DBE Firm link
 - Click on Access to the DBE Query Form located on the first line in the center of the page
 - Searches can be performed by one or more criteria
 - Follow instructions on the screen
 - C. How to Obtain a List of Certified DBEs without Internet Access
 - D. DBE Directory: If you do not have Internet access, Caltrans also publishes a directory of certified DBE firms extracted from the online database. A copy of the directory of certified DBEs may be ordered at: <http://caltrans-opac.ca.gov/publicat.htm>
6. MATERIALS OR SUPPLIES PURCHASED FROM DBES COUNT TOWARDS DBE CREDIT, AND IF A DBE IS ALSO A UDBE, PURCHASES WILL COUNT TOWARDS THE UDBE GOAL UNDER THE FOLLOWING CONDITIONS:
- A. If the materials or supplies are obtained from a DBE manufacturer, count 100 percent of the cost of the materials or supplies. A DBE manufacturer is a firm that operates or maintains a factory, or establishment that produces on the premises the materials, supplies, articles, or equipment required under the Agreement and of the general character described by the specifications.
 - B. If the materials or supplies purchased from a DBE regular dealer, count 60 percent of the cost of the materials or supplies. A DBE regular dealer is a firm that owns, operates or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the Agreement are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. To be a DBE regular dealer, the firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question. A person may be a DBE regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone or asphalt without owning, operating or maintaining a place of business provided in this section.

C. If the person both owns and operates distribution equipment for the products, any supplementing of regular dealers' own distribution equipment shall be, by a long-term lease agreement and not an ad hoc or Agreement-by-Agreement basis. Packagers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not UDBE regular dealers within the meaning of this section.

D. Materials or supplies purchased from a DBE, which is neither a manufacturer nor a regular dealer, will be limited to the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on the job site, provided the fees are reasonable and not excessive as compared with fees charged for similar services.

7. FOR DBE TRUCKING COMPANIES: CREDIT FOR DBES WILL COUNT TOWARDS DBE CREDIT, AND IF A DBE IS A UDBE, CREDIT WILL COUNT TOWARDS THE UDBE GOAL UNDER THE FOLLOWING CONDITIONS:

A. The DBE must be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular Agreement, and there cannot be a contrived arrangement for the purpose of meeting the UDBE goal.

B. The DBE must itself own and operate at least one fully licensed, insured, and operational truck used on the Agreement.

C. The DBE receives credit for the total value of the transportation services it provides on the Agreement using trucks it owns, insures, and operates using drivers it employs.

D. The DBE may lease trucks from another DBE firm including an owner-operator who is certified as a DBE. A DBE who leases trucks from another DBE receives credit for the total value of the transportation services the lessee DBE provides on the Agreement.

E. The DBE may also lease trucks from a non-DBE firm, including an owner-operator. A DBE who leases trucks from a non-DBE is entitled to credit only for the fee or commission it receives as a result of the lease arrangement. A DBE does not receive credit for the total value of the transportation services provided by the lessee, since these services are not provided by the DBE.

F. For the purposes of this Section D, a lease must indicate that the DBE has exclusive use and control over the truck. This does not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, as long as the lease gives the DBE absolute priority for use of the leased truck. Leased trucks must display the name and identification number of the DBE.

EXHIBIT 10-J STANDARD AGREEMENT FOR SUBCONTRACTOR/DBE PARTICIPATION**1. Subcontractors**

- A. Nothing contained in this Agreement or otherwise, shall create any contractual relation between the Agency and any subcontractors, and no subcontract shall relieve the Contractor of his/her responsibilities and obligations hereunder. The Contractor agrees to be as fully responsible to the Agency for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Contractor. The Contractor's obligation to pay its subcontractors is an independent obligation from the Agency's obligation to make payments to the Contractor.
- B. Any subcontract in excess of \$25,000, entered into as a result of this Agreement, shall contain all the provisions stipulated in this Agreement to be applicable to subcontractors.
- C. Contractor shall pay its subcontractors within ten (10) calendar days from receipt of each payment made to the Contractor by the Agency.
- D. Any substitution of subcontractors must be approved in writing by the Agency's Contract Manager in advance of assigning work to a substitute subcontractor.

2. Disadvantaged Business Enterprise (DBE) Participation

- A. This Agreement is subject to 49 CFR, Part 26 entitled "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs." Proposers who obtain DBE participation on this contract will assist Caltrans in meeting its federally mandated statewide overall DBE goal.
- B. If the contract has an underutilized DBE (UDBE) goal, the Consultant must meet the UDBE goal by committing UDBE participation or document a good faith effort to meet the goal. If a UDBE subconsultant is unable to perform, the Consultant must make a good faith effort to replace him/her with another UDBE subconsultant, if the goal is not otherwise met. A UDBE is a firm meeting the definition of a DBE as specified in 49 CFR and is one of the following groups: African Americans, Native Americans, Asian-Pacific Americans, or Women.
- C. DBEs and other small businesses, as defined in 49 CFR, Part 26 are encouraged to participate in the performance of agreements financed in whole or in part with federal funds. The Consultant, sub-recipient or subconsultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Agreement. The Consultant shall carry out applicable requirements of 49 CFR, Part 26 in the award and administration of US DOT- assisted agreements. Failure by the Consultant to carry out these requirements is a material breach of this Agreement, which may result in the termination of this Agreement or such other remedy as the recipient deems appropriate.
- D. Any subcontract entered into as a result of this Agreement shall contain all of the provisions of this section.

3. Performance of DBE Consultant and other DBE Subconsultants/Suppliers

- A. A DBE performs a commercially useful function when it is responsible for execution of the work of the Agreement and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the DBE must also be responsible with respect to materials and supplies used on the Agreement, for negotiating price, determining quality and quantity, ordering the material, and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a commercially useful function, evaluate the amount of work subcontracted, industry practices; whether the amount the firm is to be paid under the Agreement is commensurate with the work it is actually performing; and other relevant factors.
- B. A DBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, Agreement, or project through which funds are passed in order to obtain the appearance of DBE participation. In determining whether a DBE is such an extra participant, examine similar transactions, particularly those in which DBEs do not participate.
- C. If a DBE does not perform or exercise responsibility for at least 30 percent of the total cost of its Agreement with its own work force, or the DBE subcontracts a greater portion of the work of the Agreement than would be expected on the basis of normal industry practice for the type of work involved, it will be presumed that it is not performing a commercially useful function.

4. Prompt Payment of Funds Withheld to Subcontractors

(Local agency to use either A,B, or C below; delete the other two.)

- A. No retainage will be withheld by the Agency from progress payments due the prime contractor. Retainage by the prime consultant or subconsultants is prohibited, and no retainage will be held by the prime contractor from progress due subcontractors. Any violation of this provision shall subject the violating prime consultant or subconsultants to the penalties, sanctions, and other remedies specified in Section 7108.5 of the California Business and Professions Code. This requirement shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime consultant or subconsultant in the event of a dispute involving late payment or nonpayment by the prime consultant or deficient subconsultant performance, or noncompliance by a subconsultant. This provision applies to both DBE and non-DBE prime consultants and subconsultants.
- B. No retainage will be held by the Agency from progress payments due the prime consultant. Any retainage held by the prime consultant or subconsultants from progress payments due subconsultants shall be promptly paid in full to subconsultants within 30 days after the subconsultant's work is satisfactorily completed. Federal law (49 CFR26.29) requires that any delay or postponement of payment over the 30 days may take place only for good cause and with the Agency's prior written approval. Any violation of this provision shall subject the violating prime consultant or subconsultant to the penalties, sanctions and other remedies specified in Section 7108.5 of the Business and Professions Code. These requirements shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime consultant or subconsultant in the event of a dispute involving late payment or nonpayment by the prime consultant, deficient subconsultant performance, or noncompliance by a subconsultant. This provision applies to both DBE and non-DBE prime consultant and subconsultants.

- C. The Agency shall hold retainage from the prime consultant and shall make prompt and regular incremental acceptances of portions, as determined by the Agency, of the contract work, and pay retainage to the prime contractor based on these acceptances. The prime consultant, or subconsultant, shall return all monies withheld in retention from a subconsultant within 30 days after receiving payment for work satisfactorily completed and accepted including incremental acceptances of portions of the contract work by the agency. Federal law (49 CFR26.29) requires that any delay or postponement of payment over 30 days may take place only for good cause and with the agency's prior written approval. Any violation of this provision shall subject the violating prime consultant or subconsultant to the penalties, sanctions and other remedies specified in Section 7108.5 of the Business and Professions Code. These requirements shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime consultant or subconsultant in the event of a dispute involving late payment or nonpayment by the prime contractor, deficient subconsultant performance, or noncompliance by a subcontractor. This provision applies to both DBE and non-DBE prime consultant and subconsultants.
- D. Any subcontract entered into as a result of this Agreement shall contain all of the provisions of this section.

5. DBE Records

- A. The Consultant shall maintain records of materials purchased and/or supplied from all subcontracts entered into with certified DBEs. The records shall show the name and business address of each DBE or vendor and the total dollar amount actually paid each DBE or vendor, regardless of tier. The records shall show the date of payment and the total dollar figure paid to all firms. DBE prime consultants shall also show the date of work performed by their own forces along with the corresponding dollar value of the work.
- B. Upon completion of the Agreement, a summary of these records shall be prepared and submitted on the form entitled, "Final Report-Utilization of Disadvantaged Business Enterprise (DBE), First-Tier Subcontractors," CEM-2402F (Exhibit 17-F, Chapter 17, of the LAPM), certified correct by the Consultant or the Consultant's authorized representative and shall be furnished to the Contract Manager with the final invoice. Failure to provide the summary of DBE payments with the final invoice will result in 25% of the dollar value of the invoice being withheld from payment until the form is submitted. The amount will be returned to the Consultant when a satisfactory "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" is submitted to the Contract Manager.
- 1) Prior to the fifteenth of each month, the Consultant shall submit documentation to the Agency's Contract Manager showing the amount paid to DBE trucking companies. The Consultant shall also obtain and submit documentation to the Agency's Contract Manager showing the amount paid by DBE trucking companies to all firms, including owner-operators, for the leasing of trucks. If the DBE leases trucks from a non-DBE, the Consultant may count only the fee or commission the DBE receives as a result of the lease arrangement.
 - 2) The Consultant shall also submit to the Agency's Contract Manager documentation showing the truck number, name of owner, California Highway Patrol CA number, and if applicable, the DBE certification number of the truck owner for all trucks used during that month. This documentation shall be submitted on the Caltrans "Monthly DBE Trucking Verification," CEM-2404(F) form provided to the Consultant by the Agency's Contract Manager.

6. DBE Certification and Decertification Status

If a DBE subconsultant is decertified during the life of the Agreement, the decertified subconsultant shall notify the Consultant in writing with the date of decertification. If a subconsultant becomes a certified DBE during the life of the Agreement, the subconsultant shall notify the Consultant in writing with the date of certification. Any changes should be reported to the Agency's Contract Manager within 30 days

Materials or supplies purchased from DBEs will count towards DBE credit, and if a DBE is also a UDBE, purchases will count towards the UDBE goal under the following conditions:

- A. If the materials or supplies are obtained from a DBE manufacturer, 100 % of the cost of the materials or supplies will count toward the DBE participation. A DBE manufacturer is a firm that operates or maintains a factory or establishment that produces on the premises the materials, supplies, articles, or equipment required under the Agreement and of the general character described by the specifications.
- B. If the materials or supplies purchased from a DBE regular dealer, count 60 % of the cost of the materials or supplies toward DBE goals. A regular dealer is a firm that owns, operates or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the Agreement, are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. To be a regular dealer, the firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question. A person may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone or asphalt without owning, operating or maintaining a place of business provided in this section.
- C. If the person both owns and operates distribution equipment for the products, any supplementing of regular dealers' own distribution equipment, shall be by a long-term lease agreement and not an ad hoc or Agreement-by-Agreement basis. Packagers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not regular dealers within the meaning of this section.
- D. Materials or supplies purchased from a DBE, which is neither a manufacturer nor a regular dealer, will be limited to the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on the job site, provided the fees are reasonable and not excessive as compared with fees charged for similar services.

(Add the following to contracts which require trucking)

For DBE trucking companies: credit for DBEs will count towards DBE credit, and if a DBE is also a UDBE, credit will count towards the UDBE goal under the following conditions:

- A. The DBE must be responsible for the management and supervision of the entire trucking operation for which it is responsible.
- B. The DBE must itself own and operate at least one fully licensed, insured, and operational truck used on the Agreement.
- C. The DBE receives credit for the total value of the transportation services it provides on the Agreement using trucks it owns, insures, and operates using drivers it employs.

- D. The DBE may lease trucks from another DBE firm including an owner-operator who is certified as a DBE. The DBE who leases trucks from another DBE receives credit for the total value of the transportation services the lessee DBE provides on the Agreement.
- E. The DBE may also lease trucks from a non-DBE firm, including an owner-operator. The DBE who leases trucks from a non-DBE is entitled to credit only for the fee or commission it receives as a result of the lease arrangement. The DBE does not receive credit for the total value of the transportation services provided by the lessee, since these services are not provided by the DBE.
- F. For the purposes of this section, a lease must indicate that the DBE has exclusive use and control over the truck. This does not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, as long as the lease gives the DBE absolute priority for use of the leased truck. Leased trucks must display the name and identification number of the DBE.

EXHIBIT 10-01 Local Agency Proposer UDBE Commitment (Consultant Contracts)

NOTE: PLEASE REFER TO INSTRUCTIONS ON THE REVERSE SIDE OF THIS FORM

LOCAL AGENCY: Port of Los Angeles LOCATION: San Pedro, CA

PROJECT DESCRIPTION: RFP for CM Services for John S. Gibson Blvd./I-110 FWY Access Ramp Improvements Project

PROPOSAL DATE: February 19, 2013

PROPOSER'S NAME: Jacobs Project Management Co.

CONTRACT UDBE GOAL (%): No UDBE goal established for this contract

WORK ITEM NO.	DESCRIPTION OR SERVICES TO BE SUBCONTRACTED (or contracted if the proposer is a UDBE)	UDBE CERT NO. AND EXPIRATION DATE	NAME OF EACH UDBE (Must be certified at the time proposals are due - include UDBE address and phone number)	PERCENT PARTICIPATION OF EACH UDBE
1	Office Engineer and Document Control	Cert #30774 Exp Oct 5, 2014	Integrated Engineering Management (IEM)	27.1%
2	Public Outreach (Optional Services)	Cert #24118 Current	MBI Media (MBI)	3.3%
3	Quality Assurance Material Testing (Optional Services)	Cert #33843 Exp Oct 16, 2013	MTGL, Inc.	5.4%
			(see attached sheet for addresses and phone numbers)	

For Local Agency to Complete:

Local Agency Proposal Number: _____

Federal-Aid Project Number: _____

Federal Share: _____

Proposal Date: _____

Local Agency certifies that the UDBE certifications have been verified and all information is complete and accurate/unless noted otherwise.

Print Name _____ Signature _____ Date _____
Local Agency Representative

(Area Code) Telephone Number: _____

Total Claimed UDBE Commitment 35.8 %


Signature of Proposer

11.22.13 949.224.7500
Date (Area Code) Tel. No.

Anthony Ferruccio
Person to Contact (Please Type or Print)

Local Agency Proposer UDBE Commitment (Consultant Contracts)
(Rev 6/27/09)

Distribution: (1) Original - Local agency files

Local Agency: Port of Los Angeles, San Pedro, CA

Project Description: RFP for CM Services for John S. Gibson Blvd./I-110 FWY Access Ramp Improvements Project

Proposer's Name: Jacobs Project Management Co.

Date: 8.19.13

Work Item No.	UDBE/DBE Address and Phone Number
1	Integrated Engineering Management (IEM) 302 W. 5 th St., Suite 207 San Pedro, CA 90731 310.221.0749
2	MBI Media (MBI) 957 S. Village Oaks Drive Suite 100 Covina, CA 91724 626.967.1510
3	MTGL, Inc. 2992 La Palma, Suite A Anaheim, CA 92806 800.491.2990

INSTRUCTIONS – LOCAL AGENCY PROPOSER UDBE COMMITMENT (CONSULTANT CONTRACTS)

ALL PROPOSERS:

PLEASE NOTE: It is the proposer's responsibility to verify that the UDBE(s) falls into one of the following groups in order to count towards the UDBE contract goal: 1) African Americans; 2) Asian-Pacific Americans; 3) Native Americans; 4) Women. This information must be submitted with your proposal. Failure to submit the required UDBE commitment will be grounds for finding the proposal nonresponsive.

A "UDBE" is a firm meeting the definition of a DBE as specified in 49 CFR and is one of the following groups: African Americans, Native Americans, Asian-Pacific Americans, or Women.

The form requires specific information regarding the consultant contract: Local Agency, Location, Project Description, Proposal Date, Proposer's Name, and Contract UDBE Goal.

The form has a column for the Work Item Number and Description or Services to be subcontracted to UDBEs (or performed if the proposer is a UDBE). The UDBE prime contractors shall indicate all work to be performed by UDBEs including work to be performed by its own forces, if a UDBE. The UDBE shall provide a certification number to the Consultant and notify the Consultant in writing with the date of decertification if their status should change during the course of the contract. Enter UDBE prime consultant and subconsultant certification numbers. The form has a column for the Names of certified UDBEs to perform the work (must be certified on the date proposals are due and include UDBE address and phone number).

There is a column for the percent participation of each UDBE. Enter the Total Claimed UDBE Participation percentage of items of work submitted with proposal pursuant to the Special Provisions. (If 100% of item is not to be performed or furnished by the UDBE, describe exact portion of time to be performed or furnished by the UDBE.) See "Notice to Proposers Disadvantaged Business Enterprise Information," (Exhibit 10-I) to determine how to count the participation of UDBE firms. **Note:** If the proposer has not met the contract goal, the local agency must evaluate the proposer's good faith efforts to meet the goal in order to be considered for award of the contract.

Exhibit 10-01 must be signed and dated by the consultant submitting the proposal. Also list a phone number in the space provided and print the name of the person to contact.

For the Successful Proposer only, local agencies should complete the Proposal Number, Federal-aid Project Number, Federal Share, and Proposal Date fields and verify that all information is complete and accurate before filing.

EXHIBIT 10-02 Local Agency Proposer DBE Information (Consultant Contracts)

NOTE: PLEASE REFER TO INSTRUCTIONS ON THE REVERSE SIDE OF THIS FORM				
LOCAL AGENCY: <u>Port of Los Angeles</u>		LOCATION: <u>San Pedro, CA</u>		
PROJECT DESCRIPTION: <u>RFP for CM Services for John S. Gibson Blvd./I-110 FWY Access Ramp Improvements Project</u>				
TOTAL CONTRACT AMOUNT (\$): <u>\$ 4,265,000</u>				
PROPOSER'S NAME: <u>Jacobs Project Management Co.</u>				
WORK ITEM NO.	DESCRIPTION OR SERVICES TO BE SUBCONTRACTED (or contracted if the proposer is a DBE)	DBE CERT NO. AND EXPIRATION DATE	NAME OF EACH DBE (Must be certified at the time proposals are due - include DBE address and phone number)	DOLLAR AMOUNT OF EACH DBE
1	Office Engineer and Document Control	Cert #30774 Exp Oct 5, 2014	Integrated Engineering Management (IEM)	\$1,154,000
2	Public Outreach (Optional Services)	Cert #24118 Current	MBI Media (MBI)	\$140,000 (Optional Services)
3	Quality Assurance Material Testing (Optional Services)	Cert #33843 Exp Oct 16, 2013	MTGL, Inc. (see attached sheet for addresses and phone numbers)	\$231,000 (Optional Services)
For Local Agency to Complete: Local Agency Contract Number: _____ Federal-Aid Project Number: _____ Federal Share: _____ Contract Award: _____ Local Agency certifies that the DBE certifications have been verified and all informations is complete and accurate.			Total Claimed DBE Participation \$ <u>1,525,000</u> <u>35.8</u> %	
Print Name _____ Signature _____ Date _____ Local Agency Representative (Area Code) Telephone Number: _____			Signature of Proposer <u>Anthony Ferruccio</u> Date <u>11.22.13</u> 949.224.7500 (Area Code) Tel. No. Person to Contact <u>Anthony Ferruccio</u> (Please Type or Print)	
For Caltrans Review: Print Name _____ Signature _____ Date _____ Caltrans District Local Assistance Engineer			Local Agency Proposer DBE Information (Consultant Contracts) (Rev 6/27/09)	

Distribution: (1) Copy - Fax or scan a copy to the Caltrans District Local Assistance Engineer (DLAE) within 15 days after contract execution.
 Failure to send a copy to the DLAE within 15 days after contract execution may result in deobligation of funds for this project.
 (2) Original - Local agency files

Local Agency: Port of Los Angeles, San Pedro, CA

Project Description: RFP for CM Services for John S. Gibson Blvd./I-110 FWY Access Ramp Improvements Project

Proposer's Name: Jacobs Project Management Co.

Date: 8.19.13

Work Item No.	UDBE/DBE Address and Phone Number
1	Integrated Engineering Management (IEM) 302 W. 5 th St., Suite 207 San Pedro, CA 90731 310.221.0749
2	MBI Media (MBI) 957 S. Village Oaks Drive Suite 100 Covina, CA 91724 626.967.1510
3	MTGL, Inc. 2992 La Palma, Suite A Anaheim, CA 92806 800.491.2990

**INSTRUCTIONS - LOCAL AGENCY PROPOSER DBE INFORMATION
(CONSULTANT CONTRACTS)****SUCCESSFUL PROPOSER:**

The form requires specific information regarding the consultant or other contract: Local Agency, Location, Project Description, Total Contract Amount, Proposal Date, and successful Proposer's Name.

The form has a column for the Work Item Number and Description or Services to be Subcontracted to DBEs. The prime consultant shall indicate all work to be performed by DBEs including, if the prime consultant is a DBE, work performed by its own forces, if a DBE. The DBE shall provide a certification number to the prime consultant. Enter DBE prime consultant's and subconsultant's certification number. The form has a column for the Names of DBE certified contractors to perform the work (must be certified on or before the proposals are due and include DBE address and phone number).

Enter the Total Claimed DBE Participation dollar amount of items of work in the total DBE Dollar Amount column. (If 100% of item is not to be performed by the DBE, describe exact portion of time to be performed by the DBE.) See "Notice to Proposers Disadvantaged Business Enterprise Information," (Exhibit 10-I) to determine how to count the participation of DBE firms.

Exhibit 10-02 must be signed and dated by the successful proposer at contract execution. Also list a phone number in the space provided and print the name of the person to contact.

Local agencies should complete the Contract Number, Federal-aid Project Number, Federal Share, and Contract Award fields and verify that all information is complete and accurate before signing and sending a copy of the form to the District Local Assistance Engineer within 15 days of contract execution. Failure to submit a completed and accurate form within the 15-day time period may result in the deobligation of funds on this project.

District DBE Coordinator should verify that all information is complete and accurate. Once the information has been verified, the **District Local Assistance Engineer** signs and dates the form.

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
FINAL REPORT-UTILIZATION OF DISADVANTAGED BUSINESS ENTERPRISES
(DBE), FIRST-TIER SUBCONTRACTORS

CEM-2402F (REV 02/2008)

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814

[illegible]

Copy Distribution-Caltrans contracts:	Original - District Construction	Copy-Business Enterprise Program	Copy-Contractor	Copy Resident Engineer
Copy Distribution-Local Agency contracts:	Original - District Local Assistance Engineer (submitted with the Report of Expenditure)	Copy-District Local Assistance Engineer	Copy-Local Agency file	

Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors

FINAL REPORT – UTILIZATION OF DISADVANTAGED BUSINESS
ENTERPRISES (DBE), FIRST-TIER SUBCONTRACTORS
CEM 2402(F) (Rev. 02/2008)

The form requires specific information regarding the construction project: Contract Number, County, Route, Post Miles, Federal-aid Project No., the Administering Agency, the Contract Completion Date and the Estimated Contract Amount. It requires the prime contractor name and business address. The focus of the form is to describe who did what by contract item number and descriptions, asking for specific dollar values of item work completed broken down by subcontractors who performed the work both DBE and non-DBE work forces. DBE prime contractors are required to show the date of work performed by their own forces along with the corresponding dollar value of work.

The form has a column to enter the Contract Item No. (or Item No's) and description of work performed or materials provided, as well as a column for the subcontractor name and business address. For those firms who are DBE, there is a column to enter their DBE Certification Number. The DBE should provide their certification number to the contractor and notify the contractor in writing with the date of the decertification if their status should change during the course of the project.

The form has six columns for the dollar value to be entered for the item work performed by the subcontractor.

The Non-DBE column is used to enter the dollar value of work performed for firms who are not certified DBE.

The decision of which column to be used for entering the DBE dollar value is based on what program(s) status the firm is certified. This program status is determined by the California Unified Certification Program by ethnicity, gender, ownership, and control issues at time of certification. To confirm the certification status and program status, access the Department of Transportation Civil Rights web site at:
<http://www.dot.ca.gov/hq/bep> or by calling (916) 324-1700 or the toll free number at (888) 810-6346.

Based on this DBE Program status, the following table depicts which column to be used:

DBE Program Status	Column to be used
If program status shows DBE only with no other programs listed	DBE
If program status shows DBE, Black American	BA UDBE
If program status shows DBE, Asian-Pacific Islander	APA UDBE
If program status shows DBE, Native American	NA UDBE
If program status shows DBE, Woman	W UDBE

If a contractor performing work as a DBE on the project becomes decertified and still performs work after their decertification date, enter the total dollar value performed by this contractor under the appropriate DBE identification column.

If a contractor performing work as a non-DBE on the project becomes certified as a DBE, enter the dollar value of all work performed after certification as a DBE under the appropriate identification column.

Enter the total of each of the six columns in Form CEM-2402(F).

Any changes to DBE certification must also be submitted on Form-CEM 2403(F).

Enter the Date Work Completed as well as the Date of Final Payment (the date when the prime contractor made the "final payment" to the subcontractor for the portion of work listed as being completed).

The contractor and the resident engineer sign and date the form indicating that the information provided is complete and correct.

EXHIBIT N

Sec. 10.8.2.1. Equal Benefits Ordinance.

Discrimination in the provision of employee benefits between employees with domestic partners and employees with spouses results in unequal pay for equal work. Los Angeles law prohibits entities doing business with the City from discriminating in employment practices based on marital status and/or sexual orientation. The City's departments and contracting agents are required to place in all City contracts a provision that the company choosing to do business with the City agrees to comply with the City's nondiscrimination laws.

It is the City's intent, through the contracting practices outlined in this Ordinance, to assure that those companies wanting to do business with the City will equalize the total compensation between similarly situated employees with spouses and with domestic partners. The provisions of this Ordinance are designed to ensure that the City's contractors will maintain a competitive advantage in recruiting and retaining capable employees, thereby improving the quality of the goods and services the City and its people receive, and ensuring protection of the City's property.

(c) Equal Benefits Requirements.

(1) No Awarding Authority of the City shall execute or amend any Contract with any Contractor that discriminates in the provision of Benefits between employees with spouses and employees with Domestic Partners, between spouses of employees and Domestic Partners of employees, and between dependents and family members of spouses and dependents and family members of Domestic Partners.

(2) A Contractor must permit access to, and upon request, must provide certified copies of all of its records pertaining to its Benefits policies and its employment policies and practices to the DAA, for the purpose of investigation or to ascertain compliance with the Equal Benefits Ordinance.

(3) A Contractor must post a copy of the following statement in conspicuous places at its place of business available to employees and applicants for employment: "During the performance of a Contract with the City of Los Angeles, the Contractor will provide equal benefits to its employees with spouses and its employees with domestic partners." The posted statement must also include a City contact telephone number which will be provided each Contractor when the Contract is executed.

(4) A Contractor must not set up or use its contracting entity for the purpose of evading the requirements imposed by the Equal Benefits Ordinance.

(d) Other Options for Compliance. Provided that the Contractor does not discriminate in the provision of Benefits, a Contractor may also comply with the Equal Benefits Ordinance in the following ways:

(1) A Contractor may provide an employee with the Cash Equivalent only if the DAA determines that either:

a. The Contractor has made a reasonable, yet unsuccessful effort to provide Equal Benefits; or

b. Under the circumstances, it would be unreasonable to require the Contractor to provide Benefits to the Domestic Partner (or spouse, if applicable).

(2) Allow each employee to designate a legally domiciled member of the employee's household as being eligible for spousal equivalent Benefits.

(3) Provide Benefits neither to employees' spouses nor to employees' Domestic Partners.

(e) Applicability.

(1) Unless otherwise exempt, a Contractor is subject to and shall comply with all applicable provisions of the Equal Benefits Ordinance.

(2) The requirements of the Equal Benefits Ordinance shall apply to a Contractor's operations as follows:

a. A Contractor's operations located within the City limits, regardless of whether there are employees at those locations performing work on the Contract.

b. A Contractor's operations on real property located outside of the City limits if the property is owned by the City or the City has a right to occupy the property, and if the Contractor's presence at or on that property is connected to a Contract with the City.

c. The Contractor's employees located elsewhere in the United States but outside of the City limits if those employees are performing work on the City Contract.

(3) The requirements of the Equal Benefits Ordinance do not apply to collective bargaining agreements ("CBA") in effect prior to January 1, 2000. The Contractor must agree to propose to its union that the requirements of the Equal Benefits Ordinance be incorporated into its CBA upon amendment, extension, or other modification of a CBA occurring after January 1, 2000.

(f) **Mandatory Contract Provisions Pertaining to Equal Benefits.**
Unless otherwise exempted, every Contract shall contain language that obligates the Contractor to comply with the applicable provisions of the Equal Benefits Ordinance. The language shall include provisions for the following:

(1) During the performance of the Contract, the Contractor certifies and represents that the Contractor will comply with the Equal Benefits Ordinance.

(2) The failure of the Contractor to comply with the Equal Benefits Ordinance will be deemed to be a material breach of the Contract by the Awarding Authority.

(3) If the Contractor fails to comply with the Equal Benefits Ordinance the Awarding Authority may cancel, terminate or suspend the Contract, in whole or in part, and all monies due or to become due under the Contract may be retained by the City. The City may also pursue any and all other remedies at law or in equity for any breach.

(4) Failure to comply with the Equal Benefits Ordinance may be used as evidence against the Contractor in actions taken pursuant to the provisions of Los Angeles Administrative Code Section 10.40, et seq., Contractor Responsibility Ordinance.

(5) If the DAA determines that a Contractor has set up or used its Contracting entity for the purpose of evading the intent of the Equal Benefits Ordinance, the Awarding Authority may terminate the Contract on behalf of the City. Violation of this provision may be used as evidence against the Contractor in actions taken pursuant to the provisions of Los Angeles Administrative Code Section 10.40, et seq., Contractor Responsibility Ordinance.