

AGREEMENT NO. \_\_\_\_

AGREEMENT BETWEEN  
THE CITY OF LOS ANGELES AND  
COM SMITH, INC.

THIS AGREEMENT ("Agreement") is made and entered into by and between the CITY OF LOS ANGELES, a municipal corporation ("City"), acting by and through its Board of Harbor Commissioners ("Board") and COM SMITH, INC., a Massachusetts corporation, 600 Wilshire Blvd., Suite 750, Los Angeles, CA 90017 ("Consultant").

WHEREAS, City requires professional, scientific, expert and technical services on a temporary and occasional basis, including as-needed services on a wide-range of environmental review documentation preparation and stand-alone environmental services to assist the City in meeting local, state and federal environmental laws and regulations and Harbor Department initiatives; and

WHEREAS, Consultant possesses extensive experience in providing environmental review services, including, but not limited to those services required by the City and, by virtue of training and experience, is well-qualified to provide such services to the City; and

WHEREAS, by reason of the nature and length of the services required by City, it is not economical or feasible for City to have such services performed by its own employees;

NOW, THEREFORE, IT IS MUTUALLY AGREED AS FOLLOWS:

I. SERVICES TO BE PERFORMED BY CONSULTANT

A. Consultant hereby agrees to render to City, as an independent contractor, certain professional, technical and expert services of a temporary and occasional character as set forth in Exhibit A ("Scope of Work").

B. Consultant's services shall occur as follows:

1. The Director of the Environmental Management Division of City's Harbor Department ("EMO Director") shall issue a written Project Directive that specifies, without limitation: the Task or Subtask to be performed; the specified services required in connection with such Task or Subtask; the deliverables required in the performance of such Task or Subtask; the schedule for the performance of such Task or Subtask; authorized personnel who may perform the Task or Subtask; MBE/WBE/SBENSBE/DVBE/OBE utilization ("Directive").

2. Consultant, to reflect its agreement with all the terms of such Directive, shall sign, date and return such Directive to EMO Director.

3. Following EMD's Director's receipt of the Directive signed by Consultant and by the Executive Director of the City Harbor Department ("Executive Director"), EMO Director shall issue a Notice to Proceed that authorizes Consultant to commence performance of the services contemplated by such Directive ("Notice to Proceed").

C. Consultant, at its sole cost and expense, shall furnish all services, materials, equipment, subsistence, transportation and all other items necessary to perform the Scope of Work. As between City and Consultant, Consultant is solely responsible for any taxes or fees which may be assessed against it or its employees resulting from performance of the Scope of Work, whether social security, payroll or other, and regardless of whether assessed by the federal government, any state, the City, or any other governmental entity.

D. Consultant acknowledges and agrees that it lacks authority to perform and EMO Director lacks authority to request the performance of any services outside the Scope of Work. Consultant further acknowledges and agrees that any services it performs outside the Scope of Work or a Directive, or in the absence of both a Directive and a Notice to Proceed, are performed as a volunteer and shall not be compensable under this Agreement.

E. The Scope of Work shall be performed by personnel qualified and competent in the sole reasonable discretion of the Executive Director or his or her designee ("Executive Director"), whether performance is undertaken by Consultant or third-parties with whom Consultant has contracted ("Subconsultants"). Obligations of this Agreement, whether undertaken by Consultant or Subconsultants, are and shall be the responsibility of Consultant. Consultant acknowledges and agrees that this Agreement creates no rights in Subconsultants with respect to City and that obligations that may be owed to Subconsultants, including, but not limited to, the obligation to pay Subconsultants for services performed, are those of Consultant alone. Upon Executive Director's written request, Consultant shall supply City's Harbor Department ("Department") with all agreements between it and its Subconsultants.

F. EMO Director shall resolve in his or her sole reasonable discretion any issues or questions that may arise during the term of this Agreement as to the quality or acceptability of Consultant's performance of the Scope of Work, the manner of performance, the interpretation of direction given to Consultant, the acceptable completion of a Directive, and the amount of compensation due. Upon written notice from EMO Director, Consultant shall assign replacement personnel and/or shall remedy any deficient services or work product to EMO Director's reasonable satisfaction and at Consultant's sole cost and expense. Compliance with the requirements of this Section F is a condition to payment by City of compensation to Consultant pursuant to this Agreement.

G. If the law requires Consultant, in performing the Scope of Work, to follow a different standard of care than the ordinary standard of care applied to a reasonable person, Consultant shall perform such services with the degree of diligence, skill, judgment, and care applicable to Consultant's profession ("Professional Standard").

Consultants not required to follow the Professional Standard shall exercise the degree of care required of ordinary persons.

H For portions of the Scope of Work to be performed on a time and material basis, Consultant shall assign personnel, whether employees or Subconsultants, with the lowest applicable hourly rate who are fully competent to provide the services required. If Consultant finds it necessary to have any portion of the Scope of Work, which this Section I would require to be performed by personnel at a lower rate, to be performed by personnel at a higher rate, Consultant shall, nevertheless, invoice City at the lower rate.

I Consultant shall promptly consider and implement, to the reasonable satisfaction of EMO Director, any written comments of EMO Director.

J Consultant shall perform the Scope of Work as expeditiously as possible and at the time or times required by the EMO Director. Time is of the essence in the performance of the Scope of Work. Consultant's failure to timely perform in accordance with the schedule set forth in a Directive shall result in economic losses to the City, including, but not limited to, the timely bidding and awarding of contracts, completion of the project in connection with which Consultant's services are rendered and the use of such project by City's Harbor Department, its tenants and the public. As such, Consultant's failure to conform to the schedule set forth in a Directive shall entitle City to have services completed by others, shall obligate Consultant to pay City's cost to undertake completion of such services, and shall authorize City to withhold such amounts from any payment otherwise due to Consultant.

## II. SERVICES TO BE PERFORMED BY CITY

A City shall furnish Consultant, upon its request, all documents and papers in possession of City which may lawfully be supplied to Consultant and which are necessary for it to perform its obligations.

B The Executive Director or his or her designee is designated as the contract administrator for City and shall also decide any and all questions which may arise as to the quality or acceptability of the services performed and the manner of performance, the interpretation of instructions to Consultant and the acceptable completion of this Agreement and the amount of compensation due. Notwithstanding the preceding, the termination of this Agreement shall be governed by the provisions of Article X (Termination) hereof.

C Consultant shall provide Executive Director with reasonable advance written notice if it requires access to premises of Department. Subsequent access rights, if any, shall be granted to Consultant at the sole reasonable discretion of Executive Director, specifying conditions Consultant must satisfy in connection with such access. Consultant acknowledges that such areas may be occupied or used by tenants or contractors of City and that access rights granted by Department to Consultant shall be consistent with any such occupancy or use.

### III. EFFECTIVE DATE AND TERM OF AGREEMENT

A Subject to the provisions of Charter Section 245, the effective date of this Agreement shall be the date of its execution by Executive Director upon authorization of the Board. Consultant is aware that the City Council, pursuant to Charter Section 245 of the City of Los Angeles, has the right to review this Agreement. Accordingly, in no event shall this Agreement become effective until the sixth Council meeting day after Board action or the City Council's approval of the Agreement.

B This Agreement shall be in full force and effect commencing from the date of execution and shall continue until the earlier of the following occurs:

1. Three (3) years have lapsed from the effective date of this Agreement;

or

2. The Board of Harbor Commissioners, in its sole discretion, terminates and cancels all or part of this Agreement for any reason upon giving to Consultant ten (10) days' notice in writing of its election to cancel and terminate this Agreement.

### IV. TERMINATION DUE TO NON-APPROPRIATION OF FUNDS

This Agreement is subject to the provisions of the Los Angeles City Charter which, among other things, precludes the City from making any expenditure of funds or incurring any liability, including contractual commitments, in excess of the amount appropriated thereof.

The Board, in awarding this Agreement, is expected to appropriate sufficient funds to meet the estimated expenditure of funds through June 30 of the current fiscal year and to make further appropriations in each succeeding fiscal year during the life of the Agreement. However, the Board is under no legal obligation to do so.

The City, its boards, officers, and employees are not bound by the terms of this Agreement or obligated to make payment thereunder in any fiscal year in which the Board does not appropriate funds therefore. The Consultant is not entitled to any compensation in any fiscal year in which funds have not been appropriated for the Agreement by the Board.

Although the Consultant is not obligated to perform any work under the Agreement in any fiscal year in which no appropriation for the Agreement has been made, the Consultant agrees to resume performance of the work required by the Agreement on the same terms and conditions for a period of sixty (60) days after the end of the fiscal year if an appropriation therefore is approved by the Board within that 60-day period. The Consultant is responsible for maintaining all insurance and bonds

during this 60-day period until the appropriation is made; however, such extension of time is not compensable.

If in any subsequent fiscal year funds are not appropriated by the Board for the work required by the Agreement, the Agreement shall be terminated. However, such termination shall not relieve the parties of liability for any obligation previously incurred.

## V. COMPENSATION AND PAYMENT

A. As compensation for the satisfactory performance of the services required by this Agreement, City shall pay and reimburse Consultant at the rates set forth in Exhibit B.

B. The maximum payable under this Agreement, including reimbursable expenses (see Exhibit B), shall be Four Million Dollars (\$4,000,000). The total sum paid under this Agreement shall be determined by Project Directives and Consultant acknowledges that final compensation may not reach the maximum payable amount allowed for herein.

C. Compensation payable under this Agreement shall be based on (1) a Fixed Fee, (2) Time and Materials, (3) Equal Payment or (4) any combination of the three, as may be more particularly specified in a Directive.

1. Fixed Fee. Lump sum compensation for satisfactory performance as may be specified in a particular Directive.

2. Time and Materials Fee. Consultant shall be paid based on the actual time expended in the performance of Tasks using the applicable rates set forth in Exhibit B. Consultant will also be reimbursed for materials and other out-of-pocket expenses at cost. The rates identified in Exhibit B state the maximum rates Consultant shall charge under this Agreement. No premium rates, including, but not limited to, overtime or hazardous duty premiums, shall be charged unless authorized in Exhibit B.

3. Equal Payment Fee. Consultant shall be paid equal amounts over time throughout a particular Project Directive, up to the stated fixed amount.

D. If the term of this Agreement exceeds one (1) year, Consultant's rates shall increase as set forth in Exhibit B at the beginning of each calendar year, starting effective January 1, 2018. Such increases in rates shall not result in increases of the amount of total compensation payable under this Agreement set forth in Section V.B.

E. Consultant shall submit one (1) original and two (2) copies of invoices to City monthly following the effective date of this Agreement for services performed during the preceding month. Each such invoice shall be signed by the Consultant and shall include the following certification:

"I certify under penalty of perjury that the above bill is just and correct according to the terms of Agreement No. \_\_\_\_\_ and that payment has not been received. I further certify that I have complied with the provisions of the City's Living Wage Ordinance.

\_\_\_\_\_  
(Consultant's Signature)

If payments are to be based on the performance of established milestones, Consultant shall bill as each milestone is completed, but not more often than once per month.

F. Consultant must include on the face of each itemized invoice submitted for payment its Business Tax Registration Certificate number, as required at Article VIII of this Agreement. No invoice will be processed for payment by City without this number shown thereon. All invoices shall be approved by the Executive Director or his or her designee prior to payment. All invoices due and payable and found to be in order shall be paid as soon as, in the ordinary course of City business, the same may be approved, audited and paid.

Consultant shall submit appropriate supporting documents with each invoice. Such documents may include provider invoices, payrolls, and time sheets. The City may require, and Consultant shall provide, all documents reasonably required to determine whether amounts on the invoice are allowable expenses under this Agreement.

Further, where the Consultant employs Subconsultants under this Agreement, the Consultant shall submit to City, with each monthly invoice, a Monthly Subconsultant Monitoring Report Form, attached as Exhibit C, listing SBENSBE/MBE/WBE/DVBE/OBE amounts. Consultant shall provide an explanation for any item that does not meet or exceed the anticipated participation levels for this Agreement, with specific plans and recommendations for improved Subconsultant utilization. Invoices will not be paid without a completed Monthly Subconsultant Monitoring Report Form. All invoices are subject to audit. Consultant is not required to submit support for direct costs items of \$25 or less.

G. For payment and processing, all invoices should be mailed to the following address:

Accounts Payable Section  
Harbor Department, City of Los Angeles  
P.O. Box 191  
San Pedro, CA 90733-0191

## VI. RECORDKEEPING AND AUDIT RIGHTS

A. Consultant shall keep and maintain full, complete and accurate books of accounts and records of the services performed under this Agreement in accordance with generally accepted accounting principles consistently applied, which books and records shall be readily accessible to and open for inspection and copying at the premises by City, its auditors or other authorized representatives. Notwithstanding any other provision of this Agreement, failure to do so shall constitute a conclusive waiver of any right to compensation for such services as are otherwise compensable hereunder. Such books and records shall be maintained by Consultant for a period of three (3) years after completion of services to be performed under this Agreement or until all disputes, appeals, litigation or claims arising from this Agreement have been resolved.

B. During the term of this Agreement, City may audit, review and copy any and all writings (as that term is defined in Section 250 of the California Evidence Code) of Consultant and Subconsultants arising from or related to this Agreement or performance of the Scope of Work, whether such writings are (a) in final form or not, (b) prepared by Consultant, Subconsultants or any individual or entity acting for or on behalf of Consultant or a Subconsultant, and (c) without regard to whether such writings have previously been provided to City. Consultant shall be responsible for obtaining access to and providing writings of Subconsultants. Consultant shall provide City at Consultant's sole cost and expense a copy of all such writings within fourteen (14) calendar days of a written request by City. City's right shall also include inspection at reasonable times of the Consultant's office or facilities which are engaged in the performance of the Scope of Work. Consultant shall, at no cost to City, furnish reasonable facilities and assistance for such review and audit. Consultant's failure to comply with this Article VI shall constitute a material breach of this Agreement and shall entitle City to withhold any payment due under this Agreement until such breach is cured.

## VII. INDEPENDENT CONTRACTOR

Consultant, in the performance of the work required by this Agreement, is an independent contractor and not an agent or employee of City. Consultant shall not represent itself as an agent or employee of the City and shall have no power to bind the City in contract or otherwise.

## VIII. BUSINESS TAX REGISTRATION CERTIFICATE

The City of Los Angeles Office of Finance requires the implementation and enforcement of Los Angeles Municipal Code Section 21.09 et seq. This Code Section provides that every person, other than a municipal employee, who engages in any business within the City of Los Angeles, is required to obtain the necessary Business Tax Registration Certificate and pay business taxes. The City Controller has determined that this Code Section applies to consulting firms that are doing work for the Department. See Exhibit D.

## IX. INDEMNIFICATION AND INSURANCE

### A. Indemnification

Except for the sole negligence or willful misconduct of the City, or any of its Boards, Officers, Agents, Employees, Assigns and Successors in Interest, Consultant undertakes and agrees to defend, indemnify and hold harmless the City and any of its Boards, Officers, Agents, Employees, Assigns, and Successors in Interest from and against all suits and causes of action, claims, losses, demands and expenses, including, but not limited to, attorney's fees (both in house and outside counsel) and cost of litigation (including all actual litigation costs incurred by the City, including but not limited to, costs of experts and consultants), damages or liability of any nature whatsoever, for death or injury to any person, including Consultant's employees and agents, or damage or destruction of any property of either party hereto or of third parties, arising in any manner by reason of the negligent acts, errors, omissions or willful misconduct incident to the performance of this Agreement by Consultant or its subcontractors of any tier. Rights and remedies available to the City under this provision are cumulative of those provided for elsewhere in this Agreement and those allowed under the laws of the United States, the State of California, and the City.

### B. Acceptable Evidence and Approval of Insurance

Electronic submission is the required method of submitting Consultant's insurance documents. Consultant's insurance broker or agent shall register with the City's online insurance compliance **systemTrack4LA™** at <http://track4la.lacity.org/> and submit the appropriate proof of insurance on Consultant's behalf.

### C. General Liability Insurance

Consultant shall procure and maintain in effect throughout the term of this Agreement, without requiring additional compensation from the City, commercial general liability insurance covering personal and advertising injury, bodily injury, and property damage providing contractual liability, independent contractors, products and completed operations, and premises/operations coverage written by an insurance company authorized to do business in the State of California rated VII, A- or better in Best's Insurance Guide (or an alternate guide acceptable to City if Best's is not available) within Consultant's normal limits of liability but not less than One Million Dollars (\$1,000,000.00) combined single limit for injury or claim. Said limits shall provide first dollar coverage except that Executive Director may permit a self-insured retention or self-insurance in those cases where, in his or her judgment, such retention or self-insurance is justified by the net worth of Consultant. The retention or self-insurance provided shall provide that any other insurance maintained by the Harbor Department shall be excess of Consultant's insurance and shall not contribute to it. In all cases, regardless of any deductible or retention, said insurance shall contain a defense of suits provision and a severability of interest clause. Additionally, each policy shall include an additional insured endorsement (CG 2010 or equivalent) naming the City of Los Angeles Harbor Department, its officers, agents and employees as Primary



additional insureds, a 10-days notice of cancellation for nonpayment of premium, and a 30-days notice of cancellation for any other reasons.

D. Automobile Liability Insurance

Consultant shall procure and maintain at its expense and keep in force at all times during the term of this Agreement, automobile liability insurance written by an insurance company authorized to do business in the State of California rated VII, A- or better in Best's Insurance Guide (or an alternate guide acceptable to City if Best's is not available) within Consultant's normal limits of liability but not less than One Million Dollars (\$1,000,000.00) covering damages, injuries or death resulting from each accident or claim arising out of any one claim or accident. Said insurance shall protect against claims arising from actions or operations of the insured, or by its employees. Coverage shall contain a defense of suits provision and a severability of interest clause. Additionally, each policy shall include an additional insured endorsement (CG 2010 or equivalent) naming the City of Los Angeles Harbor Department, its officers, agents and employees as Primary additional insureds, a 10-days notice of cancellation for nonpayment of premium, and a 30-days notice of cancellation for any other reasons.

E. Workers' Compensation and Employer's Liability

Consultant shall certify that it is aware of the provisions of Section 3700 of the California Labor code which requires every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of that Code, and that Consultant shall comply with such provisions before commencing the performance of the tasks under this Agreement. Coverage for claims under U.S. Longshore and Harbor Workers' Compensation Act, if required under applicable law, shall be included. Consultant shall submit Workers' Compensation policies whether underwritten by the state insurance fund or private carrier, which provide that the public or private carrier waives its right of subrogation against the City in any circumstance in which it is alleged that actions or omissions of the City contributed to the accident. Such Worker's Compensation and occupational disease requirements shall include coverage for all employees of Consultant, and for all employees of any subcontractor or other vendor retained by Consultant.

F. Professional Liability Insurance

Consultant is required to provide Professional Liability insurance with respect to negligent or wrongful acts, errors or omissions, or failure to render services in connection with the professional services to be provided under this Agreement. This insurance shall protect against claims arising from professional services of the insured, or by its employees, agents, or contractors, and include coverage (or no exclusion) for contractual liability.

Consultant certifies that it now has professional liability insurance in the amount of One Million Dollars (\$1,000,000.00), which covers work to be performed pursuant to

this Agreement and that it will keep such insurance or its equivalent in effect at all times during performance of said Agreement and until two (2) years following acceptance of the completed project by Board.

Each policy shall include a 10-days notice of cancellation for nonpayment of premium, and a 30-days notice of cancellation for any other reasons.

Notice of occurrences of claims under the policy shall be made to the City Attorney's office with copies to Risk Management.

**G. Carrier Requirements**

All insurance which Consultant is required to provide pursuant to this Agreement shall be placed with insurance carriers authorized to do business in the State of California and which are rated A-, VII or better in Best's Insurance Guide. Carriers without a Best's rating shall meet comparable standards in another rating service acceptable to City.

**H. Notice of Cancellation**

Each insurance policy described above shall provide that it will not be canceled or reduced in coverage until after the Board of Harbor Commissioners, Attention.: Risk Manager and the City Attorney of City have each been given thirty (30) days' prior written notice by registered mail addressed to 425 S; Palos Verdes Street, San Pedro, California 90731.

**I. Modification of Coverage**

Executive Director, at his or her discretion, based upon recommendation of independent insurance consultants to City, may increase or decrease amounts and types of insurance coverage required hereunder at any time during the term hereof by giving ninety (90) days' prior written notice to Consultant.

**J. Renewal of Policies**

At least thirty (30) days prior to the expiration of each policy, Consultant shall direct their insurance broker or agent to submit to the City's online insurance compliance **systemTrack4LA**™ at <http://track4la.lacity.org/> a renewal endorsement or renewal certificate showing that the policy has been renewed or extended or, if new insurance has been obtained, evidence of insurance as specified above. If Consultant neglects or fails to secure or maintain the insurance required above, Executive Director may, at his or her own option but without any obligation, obtain such insurance to protect City's interests. The cost of such insurance will be deducted from the next payment due Consultant.

## K Right to Self-Insure

Upon written approval by the Executive Director, Consultant may self-insure if the following conditions are met:

1. Consultant has a formal self-insurance program in place prior to execution of this Agreement. If a corporation, Consultant must have a formal resolution of its board of directors authorizing self-insurance.
2. Consultant agrees to protect the City, its boards, officers, agents and employees at the same level as would be provided by full insurance with respect to types of coverage and minimum limits of liability required by this Agreement.
3. Consultant agrees to defend the City, its boards, officers, agents and employees in any lawsuit that would otherwise be defended by an insurance carrier.
4. Consultant agrees that any insurance carried by Department is excess of Consultant's self insurance and will not contribute to it.
5. Consultant provides the name and address of its claims administrator.
6. Consultant submits its most recently filed 10-Q and its 10-K or audited annual financial statements for the three most recent fiscal years prior to Executive Director's consideration of approval of self-insurance and annually thereafter.
7. Consultant agrees to inform Department in writing immediately of any change in its status or policy which would materially affect the protection afforded Department by this self-insurance.
8. Consultant has complied with all laws pertaining to self-insurance.

## L Accident Reports

Consultant shall report in writing to Executive Director within fifteen (15) calendar days after it, its officers or managing agents have knowledge of any accident or occurrence involving death of or injury to any person or persons, or damage in excess of Five Hundred Dollars (\$500.00) to property, occurring upon the premises, or elsewhere within the Port of Los Angeles if Consultant's officers, agents or employees are involved in such an accident or occurrence. Such report shall contain to the extent available (1) the name and address of the persons involved, (2) a general statement as to the nature and extent of injury or damage, (3) the date and hour of occurrence,

(4) the names and addresses of known witnesses, and (5) such other information as may be known to Consultant, its officers or managing agents.

#### X. TERMINATION PROVISION

The Board of Harbor Commissioners, in its sole discretion, shall have the right to terminate and cancel all or any part of this Agreement for any reason upon giving the Consultant ten (10) days' advance, written notice of the Board's election to cancel and terminate this Agreement. It is agreed that any Agreement entered into shall not limit the right of the City to hire additional consultants or perform the services described in this Agreement either during or after the term of this Agreement.

#### XI. PERSONAL SERVICE AGREEMENT

A. During the term hereof, Consultant agrees that it will not enter into other contracts or perform any work without the written permission of the Executive Director where the work may conflict with the interests of the Department.

B. Consultant acknowledges that it has been selected to perform the Scope of Work because of its experience, qualifications and expertise. Any assignment or other transfer of this Agreement or any part hereof shall be void provided, however, that Consultant may permit Subconsultant(s) to perform portions of the Scope of Work in accordance with Article I. All Subconsultants whom Consultant utilizes, however, shall be deemed to be its agents. Subconsultants' performance of the Scope of Work shall not be deemed to release Consultant from its obligations under this Agreement or to impose any obligation on the City to such Subconsultant(s) or give the Subconsultant(s) any rights against the City.

#### XII. AFFIRMATIVE ACTION

The Consultant, during the performance of this Agreement, shall not discriminate in its employment practices against any employee or applicant for employment because of employee's or applicant's race, religion, national origin, ancestry, sex, age, sexual orientation, disability, marital status, domestic partner status, or medical condition. The provisions of Section 10.8.4 of the Los Angeles Administrative Code shall be incorporated and made a part of this Agreement. All subcontracts awarded shall contain a like nondiscrimination provision. See Exhibit E.

#### XIII. SMALLNERY SMALL BUSINESS ENTERPRISE PROGRAM AND LOCAL BUSINESS PREFERENCE PROGRAM

It is the policy of the Department to provide Small Business Enterprises (SBE), Very Small Business Enterprises (VSBE) and Minority-Owned, Women-Owned, Disabled Veteran Business Enterprises and all Other Business Enterprises (MBE/WBE/DVBE/OBE) an equal opportunity to participate in the performance of all City contracts in all areas where such contracts afford such participation opportunities.

Consultant shall assist the City in implementing this policy and shall use its best efforts to afford the opportunity for SBEs, VSBES, MBEs, WBEs, DVBEs, and OBEs to achieve participation in subcontracts where such participation opportunities present themselves and attempt to ensure that all available business enterprises, including SBEs, VSBES, MBEs, WBEs, DVBEs, and OBEs, have equal participation opportunity which might be presented under this Agreement. See Exhibit F.

It is also the policy of the Department to support an increase in local and regional jobs. The Department's Local Business Preference Program aims to benefit the Southern California region by increasing jobs and expenditures within the local and regional private sector. Consultant shall assist the City in implementing this policy and shall use its best efforts to afford the opportunity for Local Business Enterprises to achieve participation in subcontracts where such participation opportunities present themselves.

NOTE: Prior to being awarded a contract with the City, Consultant and all Subconsultants must be registered on the City's Contracts Management and Opportunities Database, Los Angeles Business Assistance Virtual Network (LABAVN), at <http://www.labavn.org>.

#### XIV. CONFLICT OF INTEREST

It is hereby understood and agreed that the parties to this Agreement have read and are aware of the provisions of Section 1090 et seq. and Section 87100 et seq. of the California Government Code relating to conflict of interest of public officers and employees, as well as the Los Angeles Municipal Code (LAMC) Municipal Ethics and Conflict of Interest provisions of Section 49.5.1 et seq. and the Conflict of Interest Codes of the City and the Department. All parties hereto agree that they are unaware of any financial or economic interest of any public officer or employee of City relating to this Agreement. Notwithstanding any other provision of this Agreement, it is further understood and agreed that if such financial interest does exist at the inception of this Agreement, City may immediately terminate this Agreement by giving written notice thereof.

#### XV. COMPLIANCE WITH APPLICABLE LAWS

Consultant shall at all times in the performance of its obligations comply with all applicable laws, statutes, ordinances, rules and regulations, and with the reasonable requests and directions of Executive Director.

#### XVI. GOVERNING LAW/ VENUE

This Agreement shall be governed by and construed in accordance with the laws of the State of California, without reference to the conflicts of law, rules and principles of such State. The parties agree that all actions or proceedings arising in connection with this Agreement shall be tried and litigated exclusively in the State or Federal courts

located in the County of Los Angeles, State of California, in the judicial district required by court rules.

## **XVII. TRADEMARKS, COPYRIGHTS, AND PATENTS**

Consultant agrees to save, keep, hold harmless, protect and indemnify the City and any of its officers or agents from any damages, cost, or expenses in law or equity from infringement of any patent, trademark, service mark or copyright of any person or persons, or corporations in consequence of the use by City of any materials supplied by Consultant in the performance of this Agreement.

## **XVIII. PROPRIETARY INFORMATION**

A. Writings, as that term is defined in Section 250 of the California Evidence Code (including, without limitation, drawings, specifications, estimates, reports, records, reference material, data, charts, documents, renderings, computations, computer tapes or disks, submittals and other items of any type whatsoever, whether in the form of writing, figures or delineations), which are obtained, generated, compiled or derived in connection with this Agreement (collectively hereafter referred to as "property"), are owned by City as soon as they are developed, whether in draft or final form. City has the right to use or permit the use of property and any ideas or methods represented by such property for any purpose and at any time without compensation other than that provided in this Agreement. Consultant hereby warrants and represents that City at all times owns rights provided for in this section free and clear of all third-party claims whether presently existing or arising in the future, whether or not presently known. Consultant need not obtain for City the right to use any idea, design, method, material, equipment or other matter which is the subject of a valid patent, unless such patent is owned by Consultant or one of its employees, or its Subconsultant or the Subconsultant's employees, in which case such right shall be obtained without additional compensation. Whether or not Consultant's initial proposal or proposals made during this Agreement are accepted by City, it is agreed that all information of any nature whatsoever connected with the Scope of Work, regardless of the form of communication, which has been or may be given by Consultant, its Subconsultants or on either's behalf, whether prior or subsequent to this Agreement becoming effective, to the City, its boards, officers, agents or employees, is not given in confidence. Accordingly, City or its designees may use or disclose such information without liability of any kind, except as may arise under valid patents.

B. If research or development is furnished in connection with this Agreement and if, in the course of such research or development, patentable work product is produced by Consultant, its officers, agents, employees, or Subconsultants, the City shall have, without cost or expense to it, an irrevocable, non-exclusive royalty-free license to make and use, itself or by anyone on its behalf, such work product in connection with any activity now or hereafter engaged in or permitted by City. Upon City's request, Consultant, at its sole cost and expense, shall promptly furnish or obtain from the appropriate person a form of license satisfactory to the City. It is expressly understood and agreed that, as between City and Consultant, the referenced license

shall arise for City's benefit immediately upon the production of the work product, and is not dependent on the written license specified above. City may transfer such license to its successors in the operation or ownership of any real or personal property now or hereafter owned or operated by City.

#### **XIX. CONFIDENTIALITY**

The data, documents, reports, or other materials which contain information relating to the review, documentation, analysis and evaluation of the work described in this Agreement and any recommendations made by Consultant relative thereto shall be considered confidential and shall not be reproduced, altered, used or disseminated by Consultant or its employees or agents in any manner except and only to the extent necessary in the performance of the work under this Agreement. In addition, Consultant is required to safeguard such information from access by unauthorized personnel.

#### **XX. NOTICES**

In all cases where written notice is to be given under this Agreement, service shall be deemed sufficient if said notice is deposited in the United States mail, postage prepaid. When so given, such notice shall be effective from the date of mailing of the same. For the purposes hereof, unless otherwise provided by notice in writing from the respective parties, notice to the Department shall be addressed to Director of Environmental Management Division, Los Angeles Harbor Department, P.O. Box 151, San Pedro, California 90733-0151, and notice to Consultant shall be addressed to it at the address set forth above. Nothing herein contained shall preclude or render inoperative service of such notice in the manner provided by law.

#### **XXI. TAXPAYER IDENTIFICATION NUMBER (TIN)**

The Internal Revenue Service (IRS) requires that all consultants and suppliers of materials and supplies provide a TIN to the party that pays them. Consultant declares that it has an authorized TIN which shall be provided to the Department prior to payment under this Agreement. No payments will be made under this Agreement without a valid TIN.

#### **XXII. SERVICE CONTRACTOR WORKER RETENTION POLICY AND LIVING WAGE POLICY REQUIREMENTS**

The Board of Harbor Commissioners of the City of Los Angeles adopted Resolution No. 5771 on January 13, 1999, agreeing to adopt the provisions of Los Angeles City Ordinance No. 171004 relating to Service Contractor Worker Retention (SCWR), Section 10.36 et seq. of the Los Angeles Administrative Code, as the policy of the Department. Further, Charter Section 378 requires compliance with the City's Living Wage requirements as set forth by ordinance, Section 10.37 et seq. of the Los Angeles Administrative Code. Consultant shall comply with the policy wherever applicable. Violation of this provision, where applicable, shall entitle the City to terminate this Agreement and otherwise pursue legal remedies that may be available.

**XXIII. WAGE AND EARNINGS ASSIGNMENT ORDERS/ NOTICES OF ASSIGNMENTS**

The Consultant and/or any Subconsultant are obligated to fully comply with all applicable state and federal employment reporting requirements for the Consultant and/or Subconsultant's employees.

The Consultant and/or Subconsultant shall certify that the principal owner(s) are in compliance with any Wage and Earnings Assignment Orders and Notices of Assignments applicable to them personally. The Consultant and/or Subconsultant will fully comply with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignments in accordance with Cal. Family Code Sections 5230 et seq. The Consultant or Subconsultant will maintain such compliance throughout the term of this Agreement.

**XXIV. EQUAL BENEFITS POLICY**

The Board of Harbor Commissioners of the City of Los Angeles adopted Resolution No. 6328 on January 12, 2005, agreeing to adopt the provisions of Los Angeles City Ordinance No. 172,908, as amended, relating to Equal Benefits, Section 10.8.2.1 et seq. of the Los Angeles Administrative Code, as a policy of the Department. Consultant shall comply with the policy wherever applicable. Violation of this policy shall entitle the City to terminate any Agreement with Consultant and pursue any and all other legal remedies that may be available. See Exhibit G.

**XXV. COMPLIANCE WITH LOS ANGELES CITY CHARTER SECTION 470(c)(12)**

The Consultant, Subconsultants, and their Principals are obligated to fully comply with City of Los Angeles Charter Section 470(c)(12) and related ordinances, regarding limitations on campaign contributions and fundraising for certain elected City officials or candidates for elected City office if the agreement is valued at \$100,000 or more and requires approval of a City elected official. Additionally, Consultant is required to provide and update certain information to the City as specified by law. Any Consultant subject to Charter Section 470(c)(12), shall include the following notice in any contract with a subconsultant expected to receive at least \$100,000 for performance under this Agreement:

Notice Regarding Los Angeles Campaign Contribution and Fundraising Restrictions

As provided in Charter Section 470(c)(12) and related ordinances, you are a subconsultant on Harbor Department Agreement No. \_\_\_\_\_. Pursuant to City Charter Section 470(c)(12), subconsultant and its principals are prohibited from making campaign contributions and fundraising for certain elected City officials or candidates for elected City office for 12 months after the Agreement is signed. Subconsultant is required to provide to



Consultant names and addresses of the subconsultant's principals and contact information and shall update that information if it changes during the 12 month time period. Subconsultant's information must be provided to Consultant within 10 business days. Failure to comply may result in termination of the Agreement or any other available legal remedies including fines. Information about the restrictions may be found at the City Ethics Commission's website at <http://ethics.lacity.org/> or by calling 213-978-1960.

Consultant, Subconsultants, and their Principals shall comply with these requirements and limitations. Violation of this provision shall entitle the City to terminate this Agreement and pursue any and all legal remedies that may be available.

#### **XXVI. STATE TIDELANDS GRANTS**

This Agreement is entered into in furtherance of and as a benefit to the State Tidelands Grant and the trust created thereby. Therefore, this Agreement is at all times subject to the limitations, conditions, restrictions and reservations contained in and prescribed by the Act of the Legislature of the State of California entitled "An Act Granting to the City of Los Angeles the Tidelands and Submerged Lands of the State Within the Boundaries of Said City," approved June 3, 1929 (Stats. 1929, Ch. 651), as amended, and provisions of Article VI of the Charter of the City of Los Angeles relating to such lands. Consultant agrees that any interpretation of this Agreement and the terms contained herein must be consistent with such limitations, conditions, restrictions and reservations.

#### **XXVII. INTEGRATION**

This Agreement contains the entire understanding and agreement between the parties hereto with respect to the matters referred to herein. No other representations, covenants, undertakings, or prior or contemporaneous agreements, oral or written, regarding such matters which are not specifically contained, referenced, and/or incorporated into this Agreement by reference shall be deemed in any way to exist or bind any of the parties. Each party acknowledges that it has not been induced to enter into the Agreement and has not executed the Agreement in reliance upon any promises, representations, warranties or statements not contained, referenced, and/or incorporated into the Agreement. **THE PARTIES ACKNOWLEDGE THAT THIS AGREEMENT IS INTENDED TO BE, AND IS, AN INTEGRATED AGREEMENT.**

#### **XXVIII. SEVERABILITY**

Should any part, term, condition or provision of this Agreement be declared or determined by any court of competent jurisdiction to be invalid, illegal or incapable of being enforced by any rule of law, public policy, or city charter, the validity of the remaining parts, terms, conditions or provisions of this Agreement shall not be affected thereby, and such invalid, illegal or unenforceable part, term, condition or provision shall be treated as follows: (a) if such part, term, condition or provision is immaterial to this

Agreement, then such part, term, condition or provision shall be deemed not to be a part of this Agreement; or (b) if such part, term, condition or provision is material to this Agreement, then the parties shall revise the part, term, condition or provision so as to comply with the applicable law or public policy and to effect the original intent of the parties as closely as possible.

#### XXIX. CONSTRUCTION OF AGREEMENT

This Agreement shall not be construed against the party preparing the same, shall be construed without regard to the identity of the person who drafted such and shall be construed as if all parties had jointly prepared this Agreement and it shall be deemed their joint work product; each and every provision of this Agreement shall be construed as though all of the parties hereto participated equally in the drafting hereof; and any uncertainty or ambiguity shall not be interpreted against any one party. As a result of the foregoing, any rule of construction that a document is to be construed against the drafting party shall not be applicable.

#### XXX. TITLES AND CAPTIONS

The parties have inserted the Article titles in this Agreement only as a matter of convenience and for reference, and the Article titles in no way define, limit, extend or describe the scope of this Agreement or the intent of the parties in including any particular provision in this Agreement.

#### XXXI. MODIFICATION IN WRITING

This Agreement may be modified only by written agreement of all parties. Any such modifications are subject to all applicable approval processes required by, without limitation, City's Charter and City's Administrative Code.

#### XXXII. WAIVER

A failure of any party to this Agreement to enforce the Agreement upon a breach or default shall not waive the breach or default or any other breach or default. All waivers shall be in writing.

#### XXXIII. EXHIBITS; ARTICLES

All exhibits to which reference is made in this Agreement are deemed incorporated in this Agreement, whether or not actually attached. To the extent the terms of an exhibit conflict with or appear to conflict with the terms of the body of the Agreement, the terms of the body of the Agreement shall control. References to Articles are to Articles of this Agreement unless stated otherwise.

**XXXIV. COUNTERPARTS**

This Agreement may be executed in any number of counterparts, each of which shall be deemed an original and all of which shall constitute together one and the same instrument.

(Signature page to follow)

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the date to the left of their signatures.

THE CITY OF LOS ANGELES, by its Board of Harbor Commissioners

Dated: \_\_\_\_\_, 2016

By: \_\_\_\_\_  
EUGENE D. SEROKA  
Executive Director

Attest: \_\_\_\_\_  
AMBER M. KLESGES  
Board Secretary

CDM SMITH, INC.,

Dated: 10/18, 2016

By: J. M. )k

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(Print/type name and title)

Attest: ---, C/( "1/2f

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(Print/type name and title)

APPROVED AS TO FORM AND LEGALITY

(f); 2-1, 2016  
MICHAEL N. FEUER, City Attorney  
JANNA B. SIDLEY, General Counsel

By: \_\_\_\_\_  
MINAH PARK, Deputy

MP:jpr  
08/04/16  
Attachments

Rev. 08/07/15

Account#	<u>54260</u>	W.O. #	<u>---</u>
Ctr/Div#	<u>330/1000</u>	Job Fac. #	<u>---</u>
Proj/Prog #			
	Budget FY	Amount:	
	<u>16/17</u>	<u>360,000</u>	<u>540,000</u>
	<u>17/18</u>	<u>180,000</u>	<u>1,160,000</u>
	<u>18/19</u>	<u>180,000</u>	<u>1,100,000</u>
	TOTAL	<u>90,000</u>	<u>450,000</u>
For Acct/Budget Div. Use Only:			
Verified by:	<u>J. Feuer</u>		
Verified Funds Available:	<u>700k</u>		
Date Approved:	<u>10/2016</u>		

## EXHIBIT A

### Scope of Work

#### **CATEGORY 1:**

Professional services in the preparation of CEQA/NEPA documents. These documents address capital improvement projects and major tenant projects and must be prepared in compliance with CEQA/NEPA.

All Initial Studies (ISs), NDs, MNDs, and EIRs must be prepared in accordance with State and City CEQA Guidelines and CEQA-related legislation. In cases where NEPA compliance is also required, all EA/FONSI and EISs shall be prepared in accordance with Federal NEPA Guidelines and NEPA-related legislation. If NEPA compliance is required, NEPA documents will normally be prepared as joint CEQA/NEPA documents and involve oversight by the Federal Lead Agency, which is typically the US Army Corps of Engineers (USACE).

The environmental analyses shall focus on the project, as delineated in the project description, preliminary engineering designs, and other information provided by the Harbor Department or gathered during the preparation of the CEQA/NEPA document. Special studies may be necessary to adequately assess project impacts. Specialized expertise will be required in assessing impacts, as well as in identifying and assessing a range of feasible project alternatives and feasible mitigation measures to reduce or avoid impacts. Consultant will be expected to identify concerns and recommend analyses for inclusion in all CEQA/NEPA documents.

A collection of sample reports (special studies, select EIR/EIS, ND/MND, etc.) are available for review on our website at [https://www.portoflosangeles.org/environment/public\\_notices.asp](https://www.portoflosangeles.org/environment/public_notices.asp)

The steps required by the Harbor Department for the preparation of CEQA/NEPA documents are as follows:

#### **A. NEGATIVE DECLARATIONS (ND) AND MITIGATED NEGATIVE DECLARATIONS (MND)**

##### **1. Kick-Off Meeting**

The Harbor Department will discuss with the Consultant the practices and requirements to be followed in the preparation of a given ND/MND.

##### **2. Project Schedule**

The Consultant shall develop and commit to a schedule for the preparation of the IS/ND or IS/MND. The schedule shall be no longer than one-year from the initial kickoff meeting to Board consideration of the ND/MND and preparation of the final administrative record, unless otherwise specifically requested by the Harbor Department.

### 3. Preparation of Initial Study (IS)

The Consultant shall prepare and submit to the Harbor Department's Project Manager an administrative draft IS/ND or IS/MND for the Harbor Department's review. The IS/NO or IS/MND may require the following technical analyses:

a. **Aesthetics Analysis:** An expert in the field of Aesthetics is required to perform this analysis. The Aesthetic analysis shall use current standards and methodologies for conducting visual impact assessments. The analysis shall include visual simulations, when necessary.

b. **Air Quality Analysis:** The Air Quality analysis shall be based on the newest approved U.S. Environmental Protection Agency (USEPA), California Air Resource Board (CARS), the California Office of Environmental Health Hazard Assessment (COEHHA), and Southern California Air Quality Management District (SCAQMO) guidance, as well the Harbor Department's most recent air quality protocols and policies (e.g., San Pedro Bay Ports Clean Air Action Plan), and shall be performed by a team of experts in the following sub-specialties:

1. **Criteria Pollutant Modeling,** including dispersion modeling and hotspot analysis;
2. **Health Risk Assessments** based on the most up-to-date guidance from USEPA, OEHHA, and CARB and include a Particulate Matter (PM) Morbidity/Mortality analysis; and
3. **General Conformity** - The Consultant must perform all modeling, offer expert advice in terms of establishing new methodologies, interpret study results, and prepare guidance on new and existing rules and regulations.

c. **Greenhouse Gas (GHG) Analysis:** The GHG analysis must model GHG emissions based on current models from CARB or inventory data or procedures provided by the Harbor Department.

d. **Biological Analysis:** The Biological Analysis must assess impacts from both construction and operation to terrestrial and marine species and will necessitate a full understanding of National Marine Fisheries Service (NMFS), US Fish and Wildlife Service (USFWS), and California Department of Fish and Wildlife (CDFW) rules and regulations. The Consultant must perform all studies required for the analysis to assess biological function, offer expert advice in terms of establishing new methodologies, recommend mitigation measures, interpret study results, and prepare guidance on new and existing rules and regulations.

e. Cultural Resource Analysis: The Cultural Resource Analysis will assess ethnographic, paleontological, archaeological and historical resources. The analysis of historic architectural resources requires experts that meet professional standards established by the US Secretary of the Interior, application of the Los Angeles Harbor Department Built Environment Historic, Architecture and Cultural Resource Policy, and knowledge of the City of Los Angeles Historic-Cultural Monument database, the State Register of Historic Places, and the National Register of Historic Places. When the preparation of a joint EIR/EIS is undertaken, this analysis will include preparation of a Historic Property Survey Report (HPSR) that is compliant with Section 106 of the National Historic Preservation Act. The HPSR must also include eligibility determinations for non-listed properties.

f. Transportation Analysis: The Ground Transportation Analysis shall include complex traffic modeling using the Port Travel Demand Model and report traffic impacts in both Level of Service (LOS) and Vehicle Delay (V/C or Delay). The consultant must perform all modeling, offer expert advice in terms of establishing new methodologies, recommending mitigation measures, interpreting study results, and preparing guidance on new and existing rules and regulations. The Rail Transportation Analysis shall include complex rail traffic modeling using the Harbor Department's most recent guidance to assess rail traffic volumes and at-grade rail crossing delays. The Marine Transportation Analysis shall assess any impacts during construction and operations to marine navigation and safety due to project design or increased vessel traffic and include recent data obtained by the Harbor Department.

g. Hazards and Hazardous Materials Analysis: The Hazards and Hazardous Materials Analysis must assess any environmental impacts from hazardous materials such as Phase I or Phase II environmental site assessments, and will require expert level analysis in the following areas: consistency with the Harbor Department's Risk Management Plan, terrorism, and sea level rise whether as a result of long term climate change or short term seismic activity. The analysis shall include a complex risk matrix.

h. Noise Analysis: The Noise Analysis must include direct data collection and noise projection modeling, including use of the Federal Highway Administration (FHA) Traffic Noise Model.

#### 4. Transmittal of the IS/ND or IS/MND

The Consultant shall publish, both on CD's and as hard copies, and distribute the IS/ND or IS/MND to organizations and individuals on a mailing list provided by the Harbor Department, with cover letters.

5. Public Meetings/Hearings

The Harbor Department may request the Consultant to attend/participate in public meetings/hearings during the IS/ND or IS/MND and to prepare materials and/or presentations for the meetings/hearings.

6. Finalize the IS/ND or IS/MND

The Consultant shall incorporate the Harbor Department's comments from the Administrative Final IS/ND or Administrative Final IS/MND into the Screencheck Final IS/ND or Screencheck Final IS/MND.

The Consultant shall prepare, as necessary, all documents to support the approval of the Final IS/ND or IS/MND by the Board including, but not limited to, preparation of a Mitigation Monitoring and Reporting Program (MMRP), Findings and Administrative Record.

B. EIRs AND JOINT EIR/EISs

1. Kick-Off Meeting

The Harbor Department will discuss with the Consultant the practices and requirements to be followed in the preparation of a given EIR and EIR/EIS.

2. Project Schedule

The Consultant shall develop and commit to a schedule for the preparation of the EIR or EIR/EIS. The schedule shall be no longer than eighteen months from the initial kickoff meeting to Board consideration of the Final EIR and preparation of the final administrative record, unless otherwise specifically requested by the Harbor Department.

3. Preparation of Initial Study (IS), Notice of Preparation (NOP), or NOP/Notice of Intent (NOi)

The Consultant shall prepare and submit to the Harbor Department's Project Manager an administrative draft IS/NOP or IS/NOP/NOi for the Harbor Department's review.

4. Transmittal of the NOP or NOP/NOi

The Consultant shall publish, both on CDs and as hard copies, and distribute the NOP or NOP/NOi, to organizations and individuals on a mailing list provided by the Harbor Department, with cover letters.



5. Scoping Meeting

The Harbor Department may request the Consultant to attend/participate in public scoping meetings during the NOP or NOP/NOi comment period and to prepare materials and/or presentations for the meetings.

6. Information Requests

The Consultant shall provide the Harbor Department with information requests.

7. Preliminary EIR Sections

The Consultant shall prepare the Draft EIR or Draft EIR/EIS sections under the direction of the Harbor Department's Project Manager.

The Draft EIR or Draft EIR/EIS shall include, among others, the same special studies described above for the IS and the following special studies, where applicable:

- a. Environmental Justice Analysis: The Environmental Justice Analysis must be based on guidance from the Council on Environmental Quality Guidance for Environmental Justice under NEPA.

8. Submittal of an Administrative Draft EIR or Administrative Draft EIR/EIS

The Consultant shall deliver copies of the Administrative Draft EIR or Draft EIR/EIS for Harbor Department and USAGE review, respectively. There are typically two Administrative Draft review cycles and one Screencheck review in the environmental review process.

9. Finalize Public Draft EIR or EIR/EIS

The Consultant shall incorporate the Harbor Department's comments from the Administrative Draft EIR or EIR/EIS into the Screencheck Draft EIR or EIR/EIS.

10. Transmittal of the Public Draft EIR or EIR/EIS

The Consultant shall distribute the Public Draft EIR or Public Draft EIR/EIS and Notice of Availability to organizations and individuals on a mailing list provided by Harbor Department, with cover letters.

11. Public Hearing

The Harbor Department may request the Consultant to attend/participate in a public hearing during the EIR or EIS/EIR comment period and to prepare materials and/or presentations for the meeting.

12. Drafting and Submittal of an Administrative Final EIR or EIR/EIS

The Consultant shall incorporate Public Comments and Response to Comments, with any corrections to the Public Draft EIR or Public Draft EIR/EIS into an Administrative Final EIR or Administrative Final EIR/EIS and deliver copies for internal Harbor Department review. There are typically two Administrative Draft review cycles and one Screencheck review during the Final EIR or EIR/EIS process.

13. Finalize Final EIR or EIR/EIS

The Consultant shall incorporate the Harbor Department's comments from the Administrative Final EIR or Administrative Final EIR/EIS into the Screencheck Final EIR or Screencheck Final EIR/EIS.

The Consultant shall prepare, as necessary, all documents to support the certification of the Final EIR by the Board and the Record of Decision by the USACE, including but not limited to preparation of an MMRP, Findings and Administrative Record.

## **CATEGORY 2**

As-needed Special Environmental Services in support of Harbor Department operational and capital improvement projects in one or more of the following areas:

- Cultural Resources (historical resources, archaeology and paleontology);
- Air Quality, Toxic Air Contaminants, and Greenhouse Gases;
- Sustainability Planning and Reporting;
- Mitigation Monitoring and Reporting; and
- In-House CEQ/NNEPA Project Management.

Special Environmental Services include, but are not limited to, data-gathering and survey of cultural resources; monitoring and reporting on general project issues and compliance with approved mitigation measures and other environmental requirements; researching new regulations and guidelines related to air quality; performing peer-reviews of technical air quality assessments; developing general protocols; preparing technical overviews and primers; and providing support in sustainability planning and developing annual sustainability reports.

The tasks for performing Special Environmental Services are as follows:

A. Project Initiation

The Harbor Department will discuss with the Consultant the procedures and requirements to be followed in performing a given special services study/task.

B. Project Schedule

The Consultant will develop and commit to a schedule for performing special service study/task.

C. Special Service Study Report/Tasks

The Consultant shall provide electronic copies of draft and final reports for review by the Harbor Department's Project Manager, as well as hard copies when requested.

1. Cultural Resource Studies and Surveys: Cultural Resource Studies and Surveys may be needed to assess ethnographic, paleontological, archaeological and historical resources. The work shall be done by qualified experts.

2. Air Quality Analysis: The Air Quality analysis shall be based on the newest approved USEPA/CARB/OEHHA/SCAQMD guidance, as well as Harbor Department air quality protocols, and shall be performed by a team of experts in the following sub-specialties:

- a. Criteria Pollutant Modeling, including dispersion modeling and hotspot analysis;
- b. Health Risk Assessments, including **PM** Morbidity/Mortality analysis;
- c. Greenhouse gas emissions and climate change; and
- d. General Conformity.

The Consultant must perform all modeling, offer expert advice in terms of establishing new methodologies, feasible mitigation measures, interpret study results, and prepare guidance on new and existing rules and regulations.

3. Sustainability Planning and Reporting: Work under this special service shall include support in sustainability planning and preparation of annual sustainability reports, including data collection.

4. Mitigation Monitoring and Reporting: The Consultant shall conduct mitigation monitoring and reporting duties for construction projects undertaken by tenants and long-term operations through tenant leases. Duties may include, but are not limited to, reviewing contract bid specifications and leases/permits to incorporate environmental requirements and approved mitigation measures; attending pre-construction meetings and coordinating the need for specialized surveys or monitors by qualified experts in areas such as cultural resources and marine biology; conducting onsite field inspections; reviewing contractor and tenant submittals for mitigation compliance; preparing environmental compliance reports; and maintaining a database for tracking and reporting purposes. The Consultant will need to perform such services at the Port and at the EMO offices.

5. In-House CEQA/NEPA Project Management: The Consultant shall provide in-house support for managing and preparing environmental assessments under CEQA and NEPA such as, but not limited to, reviewing Applications for Port Permits, conducting screening level analysis for CEQA/NEPA determinations, reviewing technical data and interpreting engineering design plans, and managing consultant teams preparing CEQA/NEPA documents. The Consultant will need to perform such services at the EMO offices.

#### E. Submittal Requirements

Consultant will prepare and submit copies of the deliverable(s) to the Harbor Department's Project Manager for review.

#### F. Meetings

The Harbor Department may request the Consultant to attend/participate in meetings/hearings when performing the special services study/task.

### **GENERAL PERFORMANCE REQUIREMENTS:**

- A. Consultant, as directed, shall attend in person or telephonically all meetings, conferences and hearings, and provide drawings and exhibits necessary to complete the work.
- B. Consultant shall prepare and deliver minutes of all meetings attended by Consultant, whether or not Harbor Department is represented, where Consultant in its judgment believes the subject of any such meeting is material to the assigned Projects. The minutes shall also be retained by the Consultant in the Project's Administrative File.
- C. Consultant shall promptly consider any comments from the Harbor Department's Project Manager or other Harbor Department staff upon the receipt thereof, and,

in the event it disagrees with such comments, promptly notify the Harbor Department's Project Manager of such disagreement.

- D. Any information provided by the Harbor Department, whether in the form of drawings, documents, and/or written or verbal comments, excluding survey data, shall be reviewed by Consultant. Consultant shall promptly provide written notice to the Harbor Department's Project Manager if it believes that any information provided by the Harbor Department is inaccurate, incomplete or inapplicable.
- E. The Harbor Department's Project Manager shall assist the Consultant in coordinating with tenant(s) or property owner(s) to gain access to the Project area and for minimizing disruption of tenant or owner operations.
- F. Consultant's staff who are classified by the law as professionals and who perform services within that professional discipline shall exercise that degree of diligence, skill, judgment and care which is consistent with the standard of care for that profession. Consultants who perform services outside the scope of professional services shall exercise that degree of care required of ordinary persons. Consultants shall be responsible for all services performed by Consultant and Subconsultants and shall assure all services are competently performed. Consultants shall correct or remedy any errors, omissions or deficiencies that occur in performance of the services at its expense.

## Exhibit B



### As-Needed CEQA/NEPA Documentation and Special Environmental Services

Firm Name	Labor Category	Hourly Rate (\$) by Calendar Year		
		2017	2018	2019
CDM Smith Inc.	Officer (Grade 9+)	275	283	292
CDM Smith Inc.	Associate (Grade 8)	245	252	260
COM Smith Inc.	Principal (Grade 7)	240	247	255
COM Smith Inc.	Senior Professional (Grade 6)	195	201	207
COM Smith Inc.	Professional III (Grade 5)	185	191	196
COM Smith Inc.	Professional II (Grades 3/4)	150	155	159
CDM Smith Inc.	Professional I (Grades 1/2)	130	134	138
CDM Smith Inc.	Senior Staff Support (Grade 5+)	160	165	170
COM Smith Inc.	Staff Support (Grades 1 to 4)	130	134	138
CDM Smith Inc.	Contract Administrator	130	134	138
COM Smith Inc.	Senior Administrative Support	125	129	133
COM Smith Inc.	Administrative Support	115	118	122

Personnel time spent as expert witness at court trials, mediation, arbitration hearings and depositions will be charged at 1.5 times the hourly rate. Time spent by personnel preparing for such trial, hearing and depositions shall be charged at the standard hourly rate.

Rates will escalate 3 % per year.

Subcontractant to JUC

The services rendered by subconsultants/subcontractors will be charged at cost.

**Reimbursable Expenses**

Materials and other expenses such as parking, equipment rental, and reproduction services, etc shall be reimbursed at costs.

Travel: all travel shall be conducted and reimbursed at cost in conformance with City policies and procedures. Mileage shall be reimbursed at the approved IRS rate.

In-house reproduction: black & white copy @ \$0.10/page; color copies @\$1.00/page.

Any other direct costs, not specifically identified herein shall be reimbursed at cost.

## Exhibit B

### CITY OF LOS ANGELES, HARBOR DEPARTMENT

#### Allowable Expenditures

##### Subconsultant/Subcontractors

The cost of services rendered by subconsultants/subcontractors will be paid at cost.

##### Field Equipment and Equipment Rental

Fees will not be paid for the use of field equipment that is owned by consultant (example: laptops). If equipment must be rented for use on the field, the equipment rental will be reimbursed at cost.

##### Travel and Subsistence (Lodging, Meals, Airfare)

To qualify for travel reimbursements, travel destination must be farther than 50 miles from both the traveler's primary residence and their work location. Travel reimbursements will be at cost.

Lodging and Meals & Incidental Expense (M&IE) are reimbursed at the approved Federal rate posted at [www.gsa.gov](http://www.gsa.gov). These rates can change from month to month. M&IE is only reimbursed if lodging expenses are incurred. M&IE are prorated on the first and last day of travel. See federal website for details.

Standard Mileage Rate is reimbursed at the approved IRS rate posted at [www.irs.gov](http://www.irs.gov).

##### Reproduction

All outside reproduction materials and supplies will be charged at cost.

##### Other Direct Charges

Any other direct charges, not explicitly listed in the agreement will be reimbursed at cost, if found to be reasonable and necessary by the Project Manager.

##### Court Appearances

When staff appears as expert witnesses in court trials, mediations, arbitration hearings and depositions, their time will be charged at 1.5 times the individual hourly rate stated in consultant's rate schedule.

#### INVOICE PAYMENTS

All sums due and payable to Consultant shall be paid as soon as, in the ordinary course of City business.

#### CONFLICTS

In the case of conflicting information, the provisions of this page (Allowable Charges) supersede any other rate/charge information contained elsewhere in the Agreement.

## MONTHLY SUBCONSULTANT MONITORING REPORT

Instructions: Please indicate the SBE/VSBE/MBE/WBE/OBE/DBE participation levels achieved for the month of \_\_\_\_\_ covered by the referenced contract number.

Contract No. \_\_\_\_\_ Division \_\_\_\_\_ Contractor Administrator \_\_\_\_\_

Contractor \_\_\_\_\_ \*Group \_\_\_\_\_ Contract Title/Project \_\_\_\_\_

Contract Amount \_\_\_\_\_ Start Date \_\_\_\_\_ End Date \_\_\_\_\_

Total Amount Invoiced to Date \_\_\_\_\_

SBE Mandated Participation Percentage \_\_\_\_\_ SBE \_\_\_\_\_ VSBE \_\_\_\_\_

Proposed Subcontractor Percentage \_\_\_\_\_ MBE \_\_\_\_\_ WBE \_\_\_\_\_ OBE \_\_\_\_\_ DVBE \_\_\_\_\_

#	Name of Subcontractor	Type of Work Performed	Group = - - . - -	PROPOSED		ACTUALS		
				Original Proposed Amount	Original Proposed Percentage	Amount Paid to Date	Amount Paid to Date Percentage	Contract Amount Percentage
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								

**Directions:**

Original Proposed Percentage: Original Proposed Percentage of Total Contract Amount

Amount Paid to Date Percentage: Percentage of Total Amount Invoiced to Date

Contract Amount Percentage: Percentage Paid to Date of Total Contract Amount

\* Group = (SBENSBE/MBE/WBE/OBEIDVBE/DBE)

EXHIBITC



## **EXHIBIT D**

### **BUSINESS TAX REGISTRATION CERTIFICATE (BTRC) NUMBER**

The City of Los Angeles Office of Finance requires all firms that engage in any business activity within the City of Los Angeles to pay City business taxes. Each firm or individual (other than a municipal employee) is required to obtain the necessary Business Tax Registration Certification (BTRC) and pay business tax. (Los Angeles Municipal Code Section 21.09 et seq.)

All firms and individuals that do business with the City of Los Angeles will be required to provide a BTRC number or an exemption number as proof of compliance with Los Angeles City business tax requirements in order to receive payment for goods or services. Beginning October 14, 1987, payments for goods or services will be withheld unless proof of tax compliance is provided to the City.

The Tax and Permit Division of Los Angeles Office of Finance has the sole authority to determine whether a firm is covered by business tax requirements. Those firms not required to pay will be given an exemption number.

If you do NOT have a BTRC number contact the Tax and Permit Division at the office listed below, or log on to [www.lacity.org/finance](http://www.lacity.org/finance) to download the business tax registration application.

#### **MAIN OFFICE**

LA City Hall

201 N. Main Street, Rm. 101

(844) 663-4411

## EXHIBIT E - AFFIRMATIVE ACTION PROGRAM PROVISIONS

### **Sec. 10.8.4 Affirmative Action Program Provisions.**

Every non-construction contract with or on behalf of the City of Los Angeles for which the consideration is \$100,000 or more and every construction contract with or on behalf of the City of Los Angeles for which the consideration is \$5,000 or more shall contain the following provisions which shall be designated as the AFFIRMATIVE ACTION PROGRAM provisions of such contract:

- A. During the performance of City contract, the contractor certifies and represents that the contractor and each subcontractor hereunder will adhere to an affirmative action program to ensure that in its employment practices, persons are employed and employees are treated equally and without regard to or because of race, religion, ancestry, national origin, sex, sexual orientation, age, disability, marital status, domestic partner status, or medical condition.
  - 1. This provision applies to work or services performed or materials manufactured or assembled in the United States.
  - 2. Nothing in this section shall require or prohibit the establishment of new classifications of employees in any given craft, work or service category.
  - 3. The contractor shall post a copy of Paragraph A hereof in conspicuous places at its place of business available to employees and applicants for employment.
- B. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to their race, religion, ancestry, national origin, sex, sexual orientation, age, disability, marital status, domestic partner status, or medical condition.
- C. As part of the City's supplier registration process, and/or at the request of the awarding authority or the Office of Contract Compliance, the contractor shall certify on an electronic or hard copy form to be supplied, that the contractor has not discriminated in the performance of City contracts against any employee or applicant for employment on the basis or because of race, religion, ancestry, national origin, sex, sexual orientation, age, disability, marital status, domestic partner status, or medical condition.
- D. The contractor shall permit access to and may be required to provide certified copies of all of its records pertaining to employment and to its employment practices by the awarding authority or the Office of Contract Compliance, for the purpose of investigation to ascertain compliance with the Affirmative Action Program provisions of City contracts, and on their or either of their request to provide evidence that it has or will comply therewith.

- E. The failure of any contractor to comply with the Affirmative Action Program provisions of City contracts may be deemed to be a material breach of contract. Such failure shall only be established upon a finding to that effect by the awarding authority, on the basis of its own investigation or that of the Board of Public Works, Office of Contract Compliance. No such finding shall be made except upon a full and fair hearing after notice and an opportunity to be heard has been given to the contractor.
- F. Upon a finding duly made that the contractor has breached the Affirmative Action Program provisions of a City contract, the contract may be forthwith cancelled, terminated or suspended, in whole or in part, by the awarding authority, and all monies due or to become due hereunder may be forwarded to and retained by the City of Los Angeles. In addition thereto, such breach may be the basis for a determination by the awarding authority or the Board of Public Works that the said contractor is an irresponsible bidder or proposer pursuant to the provisions of Section 371 of the Los Angeles City Charter. In the event of such determination, such contractor shall be disqualified from being awarded a contract with the City of Los Angeles for a period of two years, or until he or she shall establish and carry out a program in conformance with the provisions hereof.
- G. In the event of a finding by the Fair Employment and Housing Commission of the State of California, or the Board of Public Works of the City of Los Angeles, or any court of competent jurisdiction, that the contractor has been guilty of a willful violation of the California Fair Employment and Housing Act, or the Affirmative Action Program provisions of a City contract, there may be deducted from the amount payable to the contractor by the City of Los Angeles under the contract, a penalty of TEN DOLLARS (\$10.00) for each person for each calendar day on which such person was discriminated against in violation of the provisions of a City contract,
- H. Notwithstanding any other provisions of a City contract, the City of Los Angeles shall have any and all other remedies at law or in equity for any breach hereof.
- I. The Public Works Board of Commissioners shall promulgate rules and regulations through the Office of Contract Compliance and provide to the awarding authorities electronic and hard copy forms for the implementation of the Affirmative Action Program provisions of City contracts, and rules and regulations and forms shall, so far as practicable, be similar to those adopted in applicable Federal Executive Orders. No other rules, regulations or forms may be used by an awarding authority of the City to accomplish this contract compliance program.
- J. Nothing contained in City contracts shall be construed in any manner so as to require or permit any act which is prohibited by law.
- K. The Contractor shall submit an Affirmative Action Plan which shall meet the requirements of this chapter at the time it submits its bid or proposal or at the time it registers to do business with the City. The plan shall be subject to approval by the

Office of Contract Compliance prior to award of the contract. The awarding authority may also require contractors and suppliers to take part in a pre-registration, pre-bid, pre-proposal, or pre-award conference in order to develop, improve or implement a qualifying Affirmative Action Plan. Affirmative Action Programs developed pursuant to this section shall be effective for a period of twelve

months from the date of approval by the Office of Contract Compliance. In case of prior submission of a plan, the contractor may submit documentation that it has an Affirmative Action Plan approved by the Office of Contract Compliance within the previous twelve months. If the approval is 30 days or less from expiration, the contractor must submit a new Plan to the Office of Contract Compliance and that Plan must be approved before the contract is awarded.

1. Every contract of \$5,000 or more which may provide construction, demolition, renovation, conservation or major maintenance of any kind shall in addition comply with the requirements of Section 10.13 of the Los Angeles Administrative Code.
2. A contractor may establish and adopt as its own Affirmative Action Plan, by affixing his or her signature thereto, an Affirmative Action Plan prepared and furnished by the Office of Contract Compliance, or it may prepare and submit its own Plan for approval.

L The Office of Contract Compliance shall annually supply the awarding authorities of the City with a list of contractors and suppliers who have developed Affirmative Action Programs. For each contractor and supplier the Office of Contract Compliance shall state the date the approval expires. The Office of Contract Compliance shall not withdraw its approval for any Affirmative Action Plan or change the Affirmative Action Plan after the date of contract award for the entire contract term without the mutual agreement of the awarding authority and the contractor.

M The Affirmative Action Plan required to be submitted hereunder and the pre-registration, pre-bid, pre-proposal or pre-award conference which may be required by the Board of Public Works, Office of Contract Compliance or the awarding authority shall, without limitation as to the subject or nature of employment activity, be concerned with such employment practices as:

1. Apprenticeship where approved programs are functioning, and other on-the-job training for non-apprenticeable occupations;
2. Classroom preparation for the job when not apprenticeable;
3. Pre-apprenticeship education and preparation;
4. Upgrading training and opportunities;

5. Encouraging the use of contractors, subcontractors and suppliers of all racial and ethnic groups, provided, however, that any contract subject to this ordinance shall require the contractor, subcontractor or supplier to provide not less than the prevailing wage, working conditions and practices generally observed in private industries in the contractor's, subcontractor's or supplier's geographical area for such work;
  6. The entry of qualified women, minority and all other journeymen into the industry; and
  7. The provision of needed supplies or job conditions to permit persons with disabilities to be employed, and minimize the impact of any disability.
- N. Any adjustments which may be made in the contractor's or supplier's workforce to achieve the requirements of the City's Affirmative Action Contract Compliance Program in purchasing and construction shall be accomplished by either an increase in the size of the workforce or replacement of those employees who leave the workforce by reason of resignation, retirement or death and not by termination, layoff, demotion or change in grade.
- O. Affirmative Action Agreements resulting from the proposed Affirmative Action Plan or the pre-registration, pre-bid, pre-proposal or pre-award conferences shall not be confidential and may be publicized by the contractor at his or her discretion. Approved Affirmative Action Agreements become the property of the City and may be used at the discretion of the City in its Contract Compliance Affirmative Action Program.
- P. This ordinance shall not confer upon the City of Los Angeles or any Agency, Board or Commission thereof any power not otherwise provided by law to determine the legality of any existing collective bargaining agreement and shall have application only to discriminatory employment practices by contractors or suppliers engaged in the performance of City contracts.
- Q. All contractors subject to the provisions of this section shall include a like provision in all subcontracts awarded for work to be performed under the contract with the City and shall impose the same obligations, including but not limited to filing and reporting obligations, on the subcontractors as are applicable to the contractor. Failure of the contractor to comply with this requirement or to obtain the compliance of its subcontractors with all such obligations shall subject the contractor to the imposition of any and all sanctions allowed by law, including but not limited to termination of the contractor's contract with the City.

## EXHIBIT F

### SMALLNERY SMALL BUSINESS ENTERPRISE PROGRAM AND LOCAL BUSINESS PREFERENCE PROGRAM

#### (1) SMALLNERY SMALL BUSINESS ENTERPRISE PROGRAM

The City of Los Angeles Harbor Department is committed to creating an environment that provides all individuals and businesses open access to the business opportunities available at the Harbor Department in a manner that reflects the diversity of the City of Los Angeles. The Harbor Department's Small Business Enterprise (SBE) Program was created to provide additional opportunities for small businesses to participate in professional service and construction contracts. An overall Department goal of 25% SBE participation, including 5% Very Small Business Enterprise (VSBE) participation, has been established for the Program. The specific goal or requirement for each contract opportunity may be higher or lower based on the scope of work.

It is the policy of the Harbor Department to solicit participation in the performance of all service contracts by all individuals and businesses, including, but not limited to, SBEs, VSBEs, women-owned business enterprises (WBEs), minority-owned business enterprises (MBEs), and disabled veteran business enterprises (DVBEs). The SBE Program allows the Harbor Department to target small business participation, including MBEs, WBEs, and DVBEs, more effectively. It is the intent of the Harbor Department to make it easier for small businesses to participate in contracts by providing education and assistance on how to do business with the City, and ensuring that payments to small businesses are processed in a timely manner. **In order to ensure the highest participation of SBENSBE/MBE/WBE/DVBEs, all proposers shall utilize the City's contracts management and opportunities database, the Los Angeles Business Assistance Virtual Network (LABAVN), at <http://www.labavn.org>, to outreach to potential subcontractors.**

The Harbor Department defines a SBE as an independently owned and operated business that is not dominant in its field and meets criteria set forth by the Small Business Administration in Title 13, Code of Federal Regulations, Part 121. Go to [www.sba.gov](http://www.sba.gov) for more information. The Harbor Department defines a VSBE based on the State of California's Micro-business definition which is 1) a small business that has average annual gross receipts of \$3,500,000 or less within the previous three years, or (2) a small business manufacturer with 25 or fewer employees.

The SBE Program is a results-oriented program, requiring consultants who receive contracts from the Harbor Department to perform outreach and utilize certified small businesses. **Based on the work to be performed, it has been determined that the percentage of small business participation will be 25%, including 5% VSBE participation.** The North American Industry Classification System (NAICS) Code for the scope of services is **541620**. This NAICS Code is the industry code that corresponds to at least 51% of the scope of services and will be used to determine the size standard for SBE participation of the Prime Consultant. The maximum SBE size standard for this NAICS Code is \$15 million.

Consultant shall be responsible for determining the SBE status of its subconsultants for purposes of meeting the small business requirement. Subconsultants must qualify as an SBE based on the type of services that they will be performing under the Agreement. All business participation will be determined by the percentage of the total amount of compensation under the agreement paid to SBEs. The Consultant shall not substitute an SBE firm without obtaining prior approval of the City. A request for substitution must be based upon demonstrated good cause. If substitution is permitted, Consultant shall endeavor to make an in-kind substitution for the substituted SBE.

#### (2) LOCAL BUSINESS PREFERENCE PROGRAM:

The Harbor Department is committed to maximizing opportunities for local and regional businesses, as well as encouraging local and regional businesses to locate and operate within the Southern California region. It is the policy of the Harbor Department to support an increase in local and regional jobs. The Harbor Department's Local Business Preference Program (LBPP) aims to benefit the Southern California region by increasing jobs and expenditures within the local and regional private sector.

The Harbor Department defines a LBE as:

- (a) A business headquartered within Los Angeles, Orange, Riverside, San Bernardino, or Ventura Counties; or
- (b) A business that has at least 50 full-time employees, or 25 full-time employees for specialty marine contracting firms, working in Los Angeles, Orange, Riverside, San Bernardino, or Ventura Counties.

In order for Harbor Department staff to determine the appropriate LBE preference, Consultant shall complete, sign, notarize (where applicable) and submit the attached Affidavit and Contractor Description Form. The Affidavit and Contractor Description Form will signify the LBE status of the Consultant and subconsultants. Prior to contract award, the Harbor Department will verify the status of all LBEs.

Consultant shall complete, sign, notarize (where applicable) and submit as part of the executed agreement the attached Affidavit and Contractor Description Form. The Contractor Description Form, when signed, will signify the Consultant's intent to comply with the SBE and LBPP requirements. Prior to contract award, the Harbor Department will verify the status of all SBEs. In addition, prior to being awarded a contract with the Harbor Department, all contractors and subcontractors must be registered on LABAVN.

In the event of Consultant's noncompliance during the performance of the Agreement, Consultant shall be considered in material breach of contract. In addition to any other remedy available to City under this Agreement or by operation of law, the City may withhold invoice payments to Consultant until noncompliance is corrected, and assess the costs of City's audit of books and records of Consultant and its subconsultants. In the event the Consultant falsifies or misrepresents information contained in any form or other willful noncompliance as determined by City, City may disqualify the Consultant from participation in City contracts for a period of up to five (5) years.

## AFFIDAVIT OF COMPANY STATUS

The undersigned declares under penalty of perjury pursuant to the laws of the State of California that the following information and information contained on the attached Consultant Description Form is true and correct and includes all material information necessary to identify and explain the operations of

COM Smith Inc.

Name of Firm

as well as the ownership and location thereof. Further, the undersigned agrees to provide complete and accurate information regarding ownership in the named firm, any proposed changes of the ownership and to permit the audit and examination of firm ownership documents in association with this agreement."

(1) **Small Business Enterprise Program:** Please indicate the ownership of your company. Please check all that apply. At least one box must be checked:

SBE     VSBE     MBE     WBE     DVBE     OBE

- A Small Business Enterprise (SBE) is an independently owned and operated business that is not dominant in its field and meets criteria set forth by the Small Business Administration in Title 13, Code of Federal Regulations, Part 121.
- A Very Small Business Enterprise (VSBE) is 1) a small business that has average annual gross receipts of \$3,500,000 or less within the previous three years, or (2) a small business manufacturer with 25 or fewer employees.
- A Minority Business Enterprise (MBE) is defined as a business in which a minority owns and controls at least 51% of the business. A Woman Business Enterprise (WBE) is defined as a business in which a woman owns and controls at least 51% of the business. For the purpose of this project, a minority includes:
  - (1) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
  - (2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
  - (3) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, The Indian Subcontinent, or the Pacific Islands); and
  - (4) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- A Disabled Veteran Business Enterprise (DVBE) is defined as a business in which a disabled veteran owns at least 51% of the business, and the daily business operations are managed and controlled by one or more disabled veterans.
- An OBE (other Business Enterprise) is any enterprise that is neither an SBE, VSBE, MBE, WBE, or DVBE.



(2) **Local Business Preference Program:** Please indicate the Local Business Enterprise status of your company. Only one box must be checked:

(X)LBE    0Non-LBE

- A Local Business Enterprise (LBE) is: (a) a business headquartered within Los Angeles, Orange, Riverside, San Bernardino, or Ventura Counties; or (b) a business that has at least 50 full-time employees, or 25 full-time employees for specialty marine contracting firms, working in Los Angeles, Orange, Riverside, San Bernardino, or Ventura Counties. "Headquartered" shall mean that the business physically conducts and manages all of its operations from a location in the above-named counties.
- A Non-LBE is any business that does not meet the definition of a LBE.

Signature: \_\_\_\_\_  
Printed Name: David J. Jensen

Title: Vice President  
Date Signed: March 2016

### ACKNOWLEDGMENT

A notary Public or other officer completing this certificate verifies only the Identity of the Individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of Los Angeles

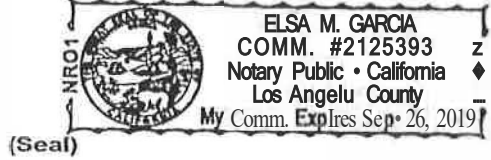
on July 20 before me, Elsa M. Garcia, Notary Public  
(insert name and title of the officer)

personally appeared David Jensen  
who proved to me on the basis of satisfactory evidence to be the person, (whose name, (s) is/are subscribed to the within instrument and acknowledged to me that he/she/it/they executed the same in his/her/their authorized capacity and that by his/her/it/they signing the instrument in person, or the entity upon behalf of which the person, (acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: David Jensen



(Seal)

## Consultant Description Form

### PRIME CONSULTANT:

contract Title: Preparation of CEQA and NEPA Documentation and Special Environmental Services

Business Name: CDM Smith Inc. Award Total: \$ TBD

Owner's Ethnicity: Hispanic Gender N/A Group: SBE VSBE MBE WBE DVBE @ (Circle all that apply)

Local Business Enterprise: YES X NO     (Check only one)

Primary NAICS Code: 541330 Average Three Year Gross Revenue: \$ 2.3 B

Address: 600 Wilshire Boulevard, Suite 750

City/State/Zip: Los Angeles, CA 90017

County: Los Angeles

Telephone: ( 213 ) 457-2200 FAX: ( 213 ) 678-7951

Contact Person/Title: David J Jensen/Vice President

Email Address: jensenj@cdmsmith.com

I certify that I have utilized the LABAVN to outreach to SBE/VSBE/MBE/WBE/DVBES of potential subcontracting opportunities associated with this RFP.     Yes (Please check)

---

### SUBCONSULTANT: Acoustic Strategies, Inc.

Business Name: dba ATS Consulting Award Total: \$ TBD

Services to be provided: Noise and Vibration

Owner's Ethnicity: White Gender     Group: @ VSBE MBE WBE DVBE @ (Circle all that apply)

Local Business Enterprise: YES X NO     (Check only one)

Primary NAICS Code: 541620, 541330 Average Three Year Gross Revenue: \$ 2.1 M

Address: 215 North Marengo Ave., Suite 100

City/State/Zip: Pasadena, CA 91101

County: Los Angeles

Telephone: ( 626 ) 710-4400 FAX: ( ) None

Contact Person/Title: Hugh Saurenman/President

Email Address: hsaurenman@atsconsulting.com

### SUBCONSULTANT:

Business Name: Cambridge Systematics, Inc. Award Total: \$ TBD

Services to be provided: Transportation (Rail)

Owner's Ethnicity: Caucasian Gender M Group: SSE VSBE MBE WBE DVBE @ circle all that apply)

Local Business Enterprise: YES     NO X (Check only one)

Primary NAICS Code: 540611 Average Three Year Gross Revenue: \$ 60 M

Address: 445 S Figuera Street

City/State/Zip: Los Angeles, CA 90071

County: Los Angeles

Telephone: ( 213 ) 612-7755 FAX: ( 214 ) 612-7755

Contact Person/Title: Ramesh Thammiraju/Senior Associate

Email address: rthammiraju@camsys.com

## Consultant Description Form

**SUBCONSULTANT:** Castle Environmental

Business Name: ConsutngLLC Award Total: \$ TBD

Services to be provided: Air Quality, GHG, HRA

Owner's Ethnicity: Caucasian Gender M Group(\$m;<Y\$fil;)} MBE WBE OVBE c:\$g)circle all that apply)

Local Business Enterprise: YES X NO     (Check only one)

Primary NAICS Code: 541620 Average Three Year Gross Revenue: \$ 281,327

Address: 10829 Westminster Avenue

City/State/Zip: Los Angeles, CA 90034

County: Los Angeles

Telephone: ( 424 ) 298-8026 FAX: (     ) None

Contact Person/Title: John Castleberry/Principal

Email Address: jcastleberry@cecair.com

**SUBCONSULTANT:**

Business Name: EnviCraft LLC Award Total: \$ TBD

Services to be provided: Environmental Documentation

Owner's Ethnicity: Asian/Pac Gender M Group@) WBE DVBE OBE (Circle all that apply)

Local Business Enterprise: YES X NO     (Check only one)

Primary NAICS Code: 541620 Average Three Year Gross Revenue: \$ 3,000

Address: PO Box 39568

City/State/Zip: Los Angeles, CA 90039

County: Los Angeles

Telephone: ( 213 ) 757-7578 FAX: (     ) None

Contact Person/Title: Louis Utsumi/Owner

Email Address: louis@envicraft.com

**SUBCONSULTANT:** Environmental Compliance

Business Name: Solutions, Inc. Award Total: \$ TBD

Services to be provided: Air Quality, GHG, HRA

Owner's Ethnicity: Caucasian Gender F Group @g) MBE@ DVBE OBE(Circle all that apply)

Local Business Enterprise: YES X NO     (Check only one)

Primary NAICS Code: 541620 Average Three Year Gross Revenue: \$ 800,000

Address: 171 Pier Avenue, #337

City/State/Zip: Santa Monica, CA 90405

County: Los Angeles

Telephone: ( 626 ) 318-9567 FAX: (     ) None

Contact Person/Title: Erin Sheehy/President

Email address: envcomp@earthlink.net

## Consultant Description Form

### SUBCONSULTANT:

Business Name: Environmental Vision Award Total: \$ TBD

Services to be provided: Aesthetics and Visual Simulation

Owner's Ethnicity: Caucasian Gender F Group @ VSBE (@) WBE   DVBE   OBE   (Circle all that apply)

Local Business Enterprise: YES   NO X (Check only one)

Primary NAICS Code: 541620 Average Three Year Gross Revenue: \$ 2.1 M

Address: 2550 Ninth Street, Suite 205

City/State/Zip: Berkeley, CA 94710

County: Alameda

Telephone: ( 510 ) 540-4882 FAX: ( 510 ) 540-7754

Contact Person/Title: Marsha Gale/Managing Principal

Email Address: mg@environmentalvision.com

### SUBCONSULTANT:

Business Name: Ilanco Environmental LLC Award Total: \$ TBC/CD

Services to be provided: Air Quality, GHG, HRA

Owner's Ethnicity: Caucasian Gender F Group: @ MBE   DVBE   OBE   (Circle all that apply)

Local Business Enterprise: YES X NO   (Check only one)

Primary NAICS Code: 541620 Average Three Year Gross Revenue: \$ 140,000

Address: 1817 Harriman Ln. Suite B

City/State/Zip: Redondo Beach, CA 90278

County: Los Angeles

Telephone: ( 308 ) 408-8297 FAX: ( )  

Contact Person/Title: Lora Granovsky

Email Address: Lora.Granovsky@IlancoEnvironmental.com

### SUBCONSULTANT:

Business Name: Illingworth and Rodkin, Inc. Award Total: \$ TBD

Services to be provided: Noise and Vibration Impacts

Owner's Ethnicity: Caucasian Gender   Group @ VSBE   MBE   WBE   DVBE   C\$!\$E)circle all that apply)

Local Business Enterprise: YES   NO X (Check only one)

Primary NAICS Code: 541330 Average Three Year Gross Revenue: \$ 2.4 M

Address: 1 Willowbrook Court, Suite 120

City/State/Zip: Petaluma, CA 94954

County: Sonoma

Telephone: ( 707 ) 794-0400 FAX: ( 707 ) 794-0405

Contact Person/Title: Fred M. Svinth/CEO, Senior Consultant

Email address: fsvinth@illingworthrodkin.com

## Consultant Description Form

### SUBCONSULTANT:

Business Name: Iteris, Inc. Award Total: \$ TBD  
Services to be provided: Traffic and Transportation  
Owner's Ethnicity: N/A Gender Y Group: SBE VSBE MBE WBE DVBE @circle all that apply)  
Local Business Enterprise: YES X NO     (Check only one)  
Primary NAICS Code: 541330 Average Three Year Gross Revenue: \$ 67.4 M  
Address: 1700 Carnegie Avenue, Suite 100  
City/State/Zip: Santa Ana, CA 92705  
County: Orange  
Telephone: ( 949 ) 270-9400 FAX: ( 949 ) 270-9401  
Contact Person/Title: Ramin Massoumi/Senior Vice President & General Manager  
Email Address: rmm@iteris.com

### SUBCONSULTANT: MBC Applied Environmental

Business Name: Services Award Total: \$ TBD  
Services to be provided: Biological Resources  
Owner's Ethnicity: Caucasian Gender M Group: @@5 MBE WBE DVBE <:&) circle all that apply)  
Local Business Enterprise: YES X NO     (Check only one)  
Primary NAICS Code: 541620 Average Three Year Gross Revenue: \$ 3.1 M  
Address: 3000 Red Hill Avenue  
City/State/Zip: Costa Mesa, CA 92626  
County: Orange  
Telephone: ( 714 ) 850-4830 FAX: ( 714 ) 850-4840  
Contact Person/Title: D. Shane Beck/President, Principal Scientist  
Email Address: sbeck@mbcnet.net

### SUBCONSULTANT:

Business Name: Merkel & Associates, Inc. Award Total: \$ TBD  
Services to be provided: Biological Resources  
Owner's Ethnicity: Caucasian Gender F Group: @@ MBE DVBE OBE (Circle all that apply)  
Local Business Enterprise: YES     NO X (Check only one)  
Primary NAICS Code: 541620 Average Three Year Gross Revenue: \$ 3.3 M  
Address: 5434 Ruffin Road  
City/State/Zip: San Diego, CA 92123  
County: San Diego  
Telephone: ( 858 ) 560-5465 FAX: ( 858 ) 560-7770  
Contact Person/Title: Keith W. Merkel/Principal  
Email address: kmerkel@merkelinc.com

## Consultant Description Form

### SUBCONSULTANT:

Business Name: Raju Associates, Inc. Award Total: \$ TBD

Services to be provided: Traffic and Transportation

Owner's Ethnicity: Sub.Asian Gender M Group: @ VSBE @ WBE VS DVBE QBE (Circle all that apply)

Local Business Enterprise: YES X NO \_\_\_ (Check only one)

Primary NAICS Code: 541690 Average Three Year Gross Revenue: \$ 800,000

Address: 505 EColorado Boulevard, Suite 202

City/State/Zip: Pa5adena, CA, 91101

County: Los Angeles

Telephone: ( 626 ) 792-2700 FAX: ( 626 ) 792-2772

Contact Person/Title: Srinath Raju/President

Email Address: Srinath.Raju@rajuassociates.com

### SUBCONSUL TANT: Reese-Chambers Systems

Business Name: Consultants, Inc. Award Total: \$ TBD

Services to be provided: Hazards/Hazardous Materials

Owner's Ethnicity: Caucasian Gender M Group: @ @ MBE WBE DVBE Circle all that apply)

Local Business Enterprise: YES X NO \_\_\_ (Check only one)

Primary NAICS Code: 541620 Average Three Year Gross Revenue: \$ 110,600

Address: PO Box 8

City/State/Zip: Somis, CA 93066

County: Ventura

Telephone: ( 805 ) 386-4343 FAX: ( ) None

Contact Person/Title: Tim Chambers/Vice President

Email Address: tim@reesechambers.com

### SUBCONSUL TANT:

Business Name: Starcrest Consulting Group, LLC Award Total: \$ TBD

Services to be provided: Air Quality

Owner's Ethnicity: Caucasian Gender ◆ Group @ @ MBE WBE DVBE ◆ Circle all that apply)

Local Business Enterprise: YES \_\_\_ NO \_\_\_ X \_\_\_ (Check only one)

Primary NAICS Code: 541620 Average Three Year Gross Revenue: \$ 1.7 B

Address: 1616 Speakman Drive SE

City/State/Zip: Albuquerque, NM 87123

County: Bernalillo

Telephone: ( 505 ) 293-1693 FAX: ( 505 ) 293-1693

Contact Person/Title: P.T. Anderson/CEO

Email address: pta@starcrest.com

## Consultant Description Form

**SUBCONSULTANT:** SWCA, Inc. dba SWCA

Business Name: Environmental Consultants Award Total: \$ TBD

Services to be provided: Cultural Resources

Owner's Ethnicity: N/A Gender N/A Group: SBE VSBE MBE WBE DVBE @ (Circle all that apply)

Local Business Enterprise: YES X NO      (Check only one)

Primary NAICS Code: 54 120 Average Three Year Gross Revenue: \$ 75.3 M

Address: 150 S Arroyo Parkway, 2nd Floor

City/State/Zip: Pasadena, CA 91105

County: Los Angeles

Telephone: ( 626 ) 440-0587 FAX: ( 626 ) 440-0587

Contact Person/Title: Benjamin Vargas/Archaeological Team Leader, Senior Project Manager

Email Address: bvargas@swca.com

### SUBCONSULTANT:

Business Name: \_\_\_\_\_ Award Total: \$ \_\_\_\_\_

Services to be provided: \_\_\_\_\_

Owner's Ethnicity: \_\_\_\_\_ Gender \_\_\_\_\_ Group: SBE VSBE MBE WBE DVBE OBE (Circle all that apply)

Local Business Enterprise: YES \_\_\_\_\_ NO \_\_\_\_\_ (Check only one)

Primary NAICS Code: \_\_\_\_\_ Average Three Year Gross Revenue: \$ \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

County: \_\_\_\_\_

Telephone: (    ) \_\_\_\_\_ FAX: (    ) \_\_\_\_\_

Contact Person/Title: \_\_\_\_\_

Email Address: \_\_\_\_\_

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Email address: \_\_\_\_\_

## EXHIBIT G

### Sec. 10.8.2.1. Equal Benefits Ordinance.

Discrimination in the provision of employee benefits between employees with domestic partners and employees with spouses results in unequal pay for equal work. Los Angeles law prohibits entities doing business with the City from discriminating in employment practices based on marital status and/or sexual orientation. The City's departments and contracting agents are required to place in all City contracts a provision that the company choosing to do business with the City agrees to comply with the City's nondiscrimination laws.

It is the City's intent, through the contracting practices outlined in this Ordinance, to assure that those companies wanting to do business with the City will equalize the total compensation between similarly situated employees with spouses and with domestic partners. The provisions of this Ordinance are designed to ensure that the City's contractors will maintain a competitive advantage in recruiting and retaining capable employees, thereby improving the quality of the goods and services the City and its people receive, and ensuring protection of the City's property.

#### (c) Equal Benefits Requirements.

(1) No Awarding Authority of the City shall execute or amend any Contract with any Contractor that discriminates in the provision of Benefits between employees with spouses and employees with Domestic Partners, between spouses of employees and Domestic Partners of employees, and between dependents and family members of spouses and dependents and family members of Domestic Partners.

(2) A Contractor must permit access to, and upon request, must provide certified copies of all of its records pertaining to its Benefits policies and its employment policies and practices to the DAA, for the purpose of investigation or to ascertain compliance with the Equal Benefits Ordinance.

(3) A Contractor must post a copy of the following statement in conspicuous places at its place of business available to employees and applicants for employment: "During the performance of a Contract with the City of Los Angeles, the Contractor will provide equal benefits to its employees with spouses and its employees with domestic partners." The posted statement must also include a City contact telephone number which will be provided each Contractor when the Contract is executed.

(4) A Contractor must not set up or use its contracting entity for the purpose of evading the requirements imposed by the Equal Benefits Ordinance.



(d) Other Options for Compliance. Provided that the Contractor does not discriminate in the provision of Benefits, a Contractor may also comply with the Equal Benefits Ordinance in the following ways:

(1) A Contractor may provide an employee with the Cash Equivalent only if the DAA determines that either:

a. The Contractor has made a reasonable, yet unsuccessful effort to provide Equal Benefits; or

b. Under the circumstances, it would be unreasonable to require the Contractor to provide Benefits to the Domestic Partner (or spouse, if applicable).

(2) Allow each employee to designate a legally domiciled member of the employee's household as being eligible for spousal equivalent Benefits.

(3) Provide Benefits neither to employees' spouses nor to employees' Domestic Partners.

(e) Applicability.

(1) Unless otherwise exempt, a Contractor is subject to and shall comply with all applicable provisions of the Equal Benefits Ordinance.

(2) The requirements of the Equal Benefits Ordinance shall apply to a Contractor's operations as follows:

a. A Contractor's operations located within the City limits, regardless of whether there are employees at those locations performing work on the Contract.

b. A Contractor's operations on real property located outside of the City limits if the property is owned by the City or the City has a right to occupy the property, and if the Contractor's presence at or on that property is connected to a Contract with the City.

c. The Contractor's employees located elsewhere in the United States but outside of the City limits if those employees are performing work on the City Contract.

(3) The requirements of the Equal Benefits Ordinance do not apply to collective bargaining agreements ("CSA") in effect prior to January 1, 2000. The Contractor must agree to propose to its union that the requirements of the Equal Benefits Ordinance be incorporated into its CBA upon amendment, extension, or other modification of a CBA occurring after January 1, 2000.

(f) Mandatory Contract Provisions Pertaining to Equal Benefits. Unless otherwise exempted, every Contract shall contain language that obligates the Contractor to comply with the applicable provisions of the Equal Benefits Ordinance. The language shall include provisions for the following:

(1) During the performance of the Contract, the Contractor certifies and represents that the Contractor will comply with the Equal Benefits Ordinance.

(2) The failure of the Contractor to comply with the Equal Benefits Ordinance will be deemed to be a material breach of the Contract by the Awarding Authority.

(3) If the Contractor fails to comply with the Equal Benefits Ordinance the Awarding Authority may cancel, terminate or suspend the Contract, in whole or in part, and all monies due or to become due under the Contract may be retained by the City. The City may also pursue any and all other remedies at law or in equity for any breach.

(4) Failure to comply with the Equal Benefits Ordinance may be used as evidence against the Contractor in actions taken pursuant to the provisions of Los Angeles Administrative Code Section 10.40, et seq., Contractor Responsibility Ordinance.

(5) If the DAA determines that a Contractor has set up or used its Contracting entity for the purpose of evading the intent of the Equal Benefits Ordinance, the Awarding Authority may terminate the Contract on behalf of the City. Violation of this provision may be used as evidence against the Contractor in actions taken pursuant to the provisions of Los Angeles Administrative Code Section 10.40, et seq., Contractor Responsibility Ordinance.