



MANAGEMENT AUDIT – FY2013-14 STATUS MAY 2014

CURRENT PROJECTS								
	Topic	Auditor	Type	Start	Target End	Est'd End	Progress Rate	Comments
1	IT Contracting Complaint	Poozhikala	Complaint Investigation	8/16/13	3/18/14	5/31/14	95%	Additional activity identified. Finalizing draft report.
2	Process Audits Phase 2 – Fieldwork	Olds	Performance Audits	5/27/14	7/15/14			Scheduling entrance conferences. Division audits on cycle schedule
3	RFP Compliance	Poozhikala	Complaint/Perf	10/23/13	4/15/14	5/15/14	85%	Drafting report
4	San Pedro Waterfront Village	Olds	Tenant Compliance	4/1/13	4/30/14	5/22/14	90%	Firm completed fieldwork 5/2/14 with report draft due in 10 days.
5	Al Larson Boat Yard	Shah	Tenant Compliance	2/1/13	4/30/14	5/31/14	85%	First draft in process
6	Environmental Technical Services – Phase 1 firm selection	Olds	Performance	4/1/13	5/15/14	5/29/14	80%	Working with EMD management to draft audit scope for RFP.
7	Rec & Parks' Billings – Phase 1 firm selection	Olds	Financial Audit/ Compliance	4/15/14	5/15/14	5/22/14	80%	RFP issued to select firm for performing audit.
8	Eagle Marine	Poozhikala	Tenant Compliance	3/3/14	9/3/14		5%	Initial survey
9	San Pedro Fish Market	Poozhikala	Tenant Compliance	3/17/14	10/15/14		3%	Initial survey
10	Wilmington Marine	Shah	Tenant Compliance	3/18/14	9/30/14		7%	Initial survey
11	LA Yacht Club	Shah	Tenant Compliance	3/18/14	10/30/14		5%	Initial survey
12	Calif Yacht Marina	Shah	Tenant Compliance	4/1/14	10/30/14		5%	Initial survey
13	Change Order Process	Poozhikala	Tenant Compliance	4/18/14	6/30/14		33%	Testing on schedule



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Completed Audits					
Audit Topic	Auditor	Type	Start	End	Comments
International Trade Education Program (ITEP)	Poozhikala	Special Project	8/20/13	1/15/14	
Environmental Mgmt Division Administrative Svcs	Olds	Performance	7/27/10	12/31/13	Recommended action steps being monitored
PortCheck Closeout	Olds	Financial Audit	5/15/13	12/31/13	Final audit of Clean Truck Program contractor
Grand Jury Executive Directive #9	Olds	Special Project	1/16/14	1/30/14	Provided all data requested to County Civil Grand Jury
TraPac Project Review	Olds/Bronner	Performance	12/30/13	4/10/14	
Cabrillo Beach Yacht Club	Olds	Tenant Compliance	11/01/12	4/9/14	
SA Recycling	Shah	Tenant Compliance	9/30/13	4/11/14	
Process Audits Phase 1 Risk Assessment & Survey	Olds/Harvey Rose	Performance	3/14/13	2/10/14	

Follow-up Audit Implementation Plans						
Such plans are the responsibility of management – Management Audit helps coordinate these efforts and facilitate completion of recommendations						
Audit	Auditor	Type	Start	End	Comments	
1	Fire City Services	Olds	Financial Audit	8/18/11	6/30/14	Resolving maintenance charges and other open recommendations
2	IEAS	Olds	Performance	8/18/11	6/30/15	90% of actions items completed