



## Management Audit Plan Update FY 2012-2013

Changes indicated in blue.

### COMPLAINT/ OTHER INVESTIGATIONS

<u>Commenced</u>	<u>Description</u>	<u>Status</u>	<u>Estimated Completion Date</u>
August 2013	Information Technology RFP and Contracting	Interviews and audit fieldwork underway.	9/11/2013
October 2012	Angelina 2 Propulsion System Retrofit	Preparing supplemental internal management report.	8/31/13 9/13/13

### PERFORMANCE AUDITS

August 2010	Environmental Mgmt Division (EMD)	Final exit meeting with Division management held - to meet 8/21 with DED-Development to discuss final report edits.	7/31/2013 8/31/13
February 2013	Process Compliance Audits by Function	Audit questionnaire responses received back from 90% of Divisions. Collecting policies and general operating data from Divisions for further review and risk assessment.	8/31/2013 11/15/13
August 6, 2013	International Trade Education Program Update (ITEP)	Audit survey and data collection underway.	TBD

### TENANT COMPLIANCE AUDITS

July 31, 2013	SA Recycling	Initiating audit and planning fieldwork	TBD
August 2012	Ports O'Call Restaurant (SP Waterfront LLC)- (856)	Audit report draft under management review.	7/31/2013-8/31/13
July 2012	Cabrillo Beach Yacht Club	Audit report draft under final review.	7/31/2013 8/31/13
June 2012	22nd Street Landing		Completed
September 2012	PortCheck LLC Financial Audit	Conducted some fieldwork on May 30 with POLB staff. Performing reconciliations and completing fieldwork while waiting on PC to respond to questions.	7/15/2013-9/20/13
July 2012	Al Larson Boat Yard	Audit report draft under review.	7/15/2013-9/30/13
August 2012	San Pedro Waterfront (Village) - (35 tenants) Master Lease 03-04	Fieldwork underway. Working with Real Estate Division to obtain more tenant records. City Attorney prepared letter to assert audit rights for accessing records 8/14/13	7/31/2013- 10/20/13



## Management Audit Plan Update FY 2012-2013

### COMPLETED AUDIT IMPLEMENTATION PLANS

<u>Description</u>	<u>Status</u>	<u>Targeted Completion Date</u>
LA City Fire Billings	Five of 12 (42%) Citygate recommendations completed. POLA continues to work with Fire to implement recommendations. Parties have substantially agreed to five of the remaining seven recommendations, but currently at odds for the rest. We hope to reach more agreement on these, which will require additional time.	December 31, 2013
IEAS Survey	24 of 29 (83%) recommendations implemented so far. POLA management team meeting quarterly to assess progress.	September 30, 2013
Real Estate Division (RED)	17 of 22 (77%) recommendations implemented so far. One ongoing issue is more long-term. POLA management meets regularly to monitor progress to address recommendations.	September 30, 2013
<u>OTHER</u>		
Audit Pool Renewal Process	Board Item for approval of 17 consultant contracts going for approval September 5.	September 23, 2013