

TRANSMITTAL NO. 1

RESOLUTION NO.

**RESOLUTION OF THE BOARD OF HARBOR COMMISSIONERS  
WITH RESPECT TO PRE-QUALIFICATION OF BIDDERS FOR CONSTRUCTION OF  
THE BERTH 142-147 ICTF PROJECT**

WHEREAS, the City of Los Angeles, acting by and through the Board of Harbor Commissioners, has been approached by Trans Pacific Container Service Corp. (TraPac) to provide additional terminal backland area for construction of an intermodal container transfer facility (ICTF); and

WHEREAS, the City of Los Angeles, acting by and through the Board of Harbor Commissioners, has directed Parsons and Moffat and Nichol, consulting engineering firms, to prepare a design for the Berths 142-147 ICTF; and

WHEREAS, the City of Los Angeles, acting by and through the Board of Harbor Commissioners has determined that prequalification of bidders is exempt from the requirements of the California Environmental Quality Act; and

WHEREAS, the City of Los Angeles, acting by and through the Board of Harbor Commissioners has determined that the project must comply with the requirements of the California Environmental Quality Act, for which staff has prepared an Environmental Impact Report; and

WHEREAS, the City of Los Angeles, acting by and through the Board of Harbor Commissioners, is expected to approve partial funding in June 2013 for construction of the Project in the 2013/2014 Capital Improvement Program Budget; and

WHEREAS, the design of the Project is nearing completion and will soon be submitted to the California Transportation Commission for grant funding approval; and

WHEREAS, the work requires specialized expertise and equipment, and it is intended that the contractor have experience constructing an ICTF and signalization improvements on similar projects; and

WHEREAS, both the timely and cost effective completion of the Berths 142-147 ICTF is critical to terminal operation of TraPac;

TRANSMITTAL 1

NOW, THEREFORE, the Board of Harbor Commissioners finds and declares pursuant to Section 375 of the City Charter, that utilization of the process of prequalifying bidders benefits the City by selecting experienced contractors and key personnel that have performed work of a similar nature within the last ten years, and will help ensure the timely and cost effective completion of the Berths 142-147 ICTF project; and

The Board of Harbor Commissioners further finds and declares that because of the need for timely and cost effective completion of the project, and the expertise in railroad track and signalization construction, bidder prequalification for the Berths 142-147 ICTF project shall be utilized to evaluate and prequalify the general contractor or joint venture; and

The Board of Harbor Commissioners further finds and declares that prequalification inquiries shall include the experience and qualification of the prime contractor or joint venture, the project manager, safety engineer, the project scheduler, the project superintendent, and railroad signal superintendent; and

The Board of Harbor Commissioners further finds and declares that it is the intention of this Resolution to implement the prequalification process established pursuant to the Section 10.18 of the Los Angeles City Administrative Code, and that the experience and qualifications requirements specified and recited herein are deemed to be the relevant, minimum, required experience and qualifications necessary to provide the specialized services required for the construction of the Berths 142-147 ICTF.

I HEREBY CERTIFY that the foregoing Resolution was adopted by the Board of Harbor Commissioners of the City of Los Angeles at its meeting held

KORLA G. TONDREAU  
Board Secretary

APPROVED AS TO FORM AND LEGALITY

3/21/13  
CARMEN TRUFANICH, City Attorney

By [Signature], Deputy

## ON LETTERHEAD

### NOTICE INVITING CONTRACTORS TO PREQUALIFY FOR BERTHS 142-147 INTERMODAL CONTAINER TRANSFER FACILITY SPECIFICATION NO. 2752

#### Prospective Applicants:

The City of Los Angeles' Harbor Department ("City" or "Department") is inviting contractors (Applicants) to apply to be prequalified to submit bids as a prime contractor for construction of the Berths 142-147 Intermodal Container Transfer Facility (ICTF) project located in Wilmington. The project will provide an ICTF for the Trans Pacific Container Service Corp. (TraPac) terminal.

To ensure timely completion of the project, which requires expertise and equipment necessary to remove existing railroad tracks and crossings, and construct new continuous welded rail (CWR), railroad turnouts, specialized trackwork, a Train-in-Motion system (TIMS), signalization improvements, and a rail crossing, the Department will prequalify Applicants wishing to bid as prime contractors to perform major railroad track and railroad signalization specialty work. Applicants will be prequalified as described in the Prequalification Instructions and Information package. Additional prequalification packages can be downloaded from the Department's website at [www.portofla.org](http://www.portofla.org).

#### **PROJECT DESCRIPTION**

The project will redevelop the existing Pier A Rail Yard to an automated, stub-ended yard with eight working tracks, and two lead tracks equipped with a TIMS. The project also includes construction of a crane rail, a two-lane terminal access road, a compressed air system building, guard booth, customs and a border patrol booth, an information technology shelter, Department of Water and Power substations, utility improvements, and automated switches.

The estimated construction cost is approximately \$75 million. Construction is estimated to begin in February 2014 and be completed in 540 Calendar Days.

The work described in this prequalification will be awarded under a single general contract.

This project will be subject to a Project Labor Agreement.

## **PREQUALIFICATION JUSTIFICATION**

Timely completion of the project is of paramount importance. ICTF construction requires experienced personnel and specialized equipment to accomplish the work in a timely manner. The project will help accommodate the proposed expansion of the Berths 142-147 container terminal.

A company experienced in this type of project, with qualified personnel, is required to meet the ambitious schedule.

## **CRITERIA FOR "PREQUALIFIED" DESIGNATION**

1. Qualifying Project:
  - A. Applicants desiring to bid on the project as a prime contractor shall meet the following criteria:
    - 1) Successful completion, as a prime contractor, of three or more trackwork installation projects with a construction amount of at least \$25 million each during the last ten years.
    - 2) Successful completion, as a prime contractor, of at least three miles of mainline trackwork experience, including a minimum of eight turnouts, in the last ten years.
    - 3) Successful completion of three or more projects during the last ten years that included signal installation in the scope of work. (This work may have been performed by a subcontractor to the applicant.)
    - 4) Successful completion of three or more projects during the last ten years that included installation of crossings on Class 1 railroads in the scope of work. (This work may have been performed by a subcontractor to the applicant.)
    - 5) Successful completion of one or more projects during the last ten years that included installation and testing of a Train-in-Motion system on a Class 1 railroad in the scope of work. (This work may have been performed by a subcontractor to the applicant.)
    - 6) Applicant's proposed Project Manager, Safety Engineer, and Project Scheduler shall have worked at the same level on one or more qualifying projects with a construction amount of \$25 million in the last ten years.
    - 7) Applicant's proposed Site Superintendent shall have worked at a level

of site superintendent on one or more qualifying projects during the last ten years with overall responsibility for the construction of an ICTF or a rail yard.

- 8) Applicant's proposed railroad signalization Superintendent shall have worked at the same level on one or more qualifying projects who had direct responsibility on signalization, crossings, and Train-in-Motion system.

B. All qualifying projects must have been located in the United States of America.

2. All Applicants shall meet the following additional criteria:

- A. Applicant shall not ever have been disbarred from contracting or procurement work with any federal, state, or local government agencies.

### **INFORMATIONAL MEETING**

A meeting has been scheduled to provide additional information on the Project, the prequalification process, and to respond to any questions the Applicants may have:

Date: TBD  
Time: TBD  
Place: Port of Los Angeles Administrative Offices  
Board of Harbor Commissioners Meeting Room  
425 S. Palos Verdes Street,  
San Pedro, CA 90731

Potential Applicants are cautioned that security measures may delay building entry and early arrival is recommended.

### **QUESTIONS**

All questions are to be submitted via the Department's website at [www.portoflosangeles.org](http://www.portoflosangeles.org). Select the "Business" tab then "Construction Specs" from the drop down menu, and then select the specification number. Questions submitted less than two working days before submittal deadline may or may not be answered as time permits. Responses to questions will be posted on the Department's website. The responses to questions will be posted on the Port's website as discussed above, and may be updated at any time prior to the submittal deadline. This will be the only means used to communicate with Applicants other than by addendum. The Department assumes no liability for Applicant's failure to attend the informal meeting or to review the website to obtain responses to Applicant's questions.

**PROJECT DOCUMENT REVIEW**

The Department will make available in-progress Contract Documents for review during the prequalification period. Applicants wishing to view the documents may contact Mr. Scott Gilmour at (310) 732-7635.

**DEADLINE TO SUBMIT PREQUALIFICATION INFORMATION**

Prequalification submittals will be accepted until the date specified on the attached Prequalification Instructions and Information package. Prequalification submittals will be available for public review only after the prequalification process has been completed.

**PREQUALIFICATION CANCELLATION**

The Department reserves the right to withdraw the prequalification at any time and for any reason.

We thank you for your interest in working for the Department and look forward to receiving your prequalification information.

Sincerely,

SHAUN SHAHRESTANI  
Chief Harbor Engineer  
Construction Division

LW:  
Attachment

**PREQUALIFICATION INSTRUCTIONS AND INFORMATION  
FOR  
BERTHS 142-147 INTERMODAL CONTAINER TRANSFER FACILITY  
SPECIFICATION NO. 2752**

The information requested in Attachment 1 - Prequalification Submittals – will be the basis for prequalifying Applicants desiring to submit a bid to perform work on the Berths 142-147 Intermodal Container Transfer Facility (ICTF) project as prime contractor. Only those Applicants (Construction firms) meeting the prequalification requirements will be invited to submit bids for the Berths 142-147 project. Subcontractors need not be prequalified.

Prequalification of the Applicant does not relieve the Applicant from compliance with Department contracting requirements in Attachment 2 – Contractual Requirements. Applicants who submit a bid must comply with the Department’s requirements shown in the Notice Inviting Bids, Information to Bidders, and Bidding Documents. Applying for prequalification constitutes that Applicants agree to comply with these requirements.

The applicant who becomes the prime contractor must comply with the provisions of the Contract, Performance Bond, Payment Bond, Insurance Requirements, Affidavits of Completion, and Small Business outreach requirements, including the SBE/VSBE/WBE/DVBE/OBE. The Applicant who becomes the prime contractor must comply with and require its subcontractors to comply with, the conditions in Section 01000 General Conditions and Section 01000A Supplementary Conditions of the contract specifications. This project will be subject to a Project Labor Agreement (PLA).

Firms prequalified by the Department for previous Projects must reapply to prequalify for this Project.

Pursuant to the provisions of the City of Los Angeles Charter, the Department reserves the right to refuse to prequalify any Applicant who has previously failed to timely and satisfactorily perform any contract with the City.

**SUBMITTAL –WHEN, WHERE, AND TO WHOM**

Completed Prequalification Submittals for the Berths 142-147 ICTF project will be accepted by the Chief Harbor Engineer-Construction Division until 4:00 p.m. local time TBD. Mail or deliver two sets of forms from Attachment 1 - Prequalification Submittals to:

Port of Los Angeles Administrative Offices  
Construction Division, 3rd Floor  
425 S. Palos Verdes Street  
San Pedro, CA 90731

Attention: Mr. Scott Gilmour

Applicants are cautioned that security measures may delay building entry and early arrival is recommended.

## **SUBMITTAL - INSTRUCTIONS**

1. General:
  - a. The forms required to apply for prequalification are included in Attachment 1 – Prequalification Submittals.
  - b. In each case of joint ventures, each member of a joint venture must prepare separate application packages and execute separate declarations. However, the individual packages must be submitted together for review. Requirements for the proposed joint venture are the same as for other Applicants.
2. Section 1:
  - a. Applicants shall complete and submit the appropriate forms from Section 1.
  - b. Applicants shall complete the form “General Information and Declaration”.
  - c. Applicants shall complete the Declaration form that applies to their type of firm (individual, partnership, or corporation).
  - d. Applicants shall complete the Safety and Regulatory Compliance Questionnaire.
  - e. Applicants shall complete the Contract Performance Questionnaire.
3. Section 2:
  - a. Applicants desiring to become prime contractor on the Project shall complete the form in Section 2.
  - b. Follow the additional directions given on the first page of Section 2.

## **HOW THE SUBMITTALS WILL BE EVALUATED**

1. The Department will grant prequalified status when it has determined the following:
  - a. Applicant has completely filled out and delivered the prequalification submittals to the Department prior to the date and time for submittal.
  - b. The Department has been able to verify the information received.
  - c. The Applicant has all of the qualifications.

2. Pursuant to the provisions of the City of Los Angeles Charter, the Department reserves the right to refuse to prequalify any Applicant who has previously failed to timely and satisfactorily perform any contract with the City.

### **NOTIFICATION OF PREQUALIFICATION**

Applicants will be notified of their status by electronic mail, telephone, or fax followed by mail confirmation when the Department has verified the Applicant's statements. Advertisement of the Project for bids will not be delayed if the Department is unable to verify information submitted by Applicant.

### **APPEAL OF PREQUALIFICATION DETERMINATION**

If the Applicant is denied prequalification and desires to appeal the decision, the Applicant shall submit a letter of protest to the Chief Harbor Engineer-Construction Division within five working days of receipt of the notice denying prequalification. The Chief Harbor Engineer will review the determination and notify the Applicant within 30 days of receipt of letter of protest whether the finding has been reversed. If the denial of prequalified status is not reversed, Applicant may, within five working days of notification of the denial of the protest, request a public hearing before the Board of Harbor Commissioners.

### **REMOVAL FROM THE PREQUALIFIED DESIGNATION**

The Department will withdraw the prequalified status of any Applicant that has falsified information when such falsification becomes known to the Department.

### **IMPACT OF PREQUALIFICATION DURING BIDDING AND CONSTRUCTION**

1. The prequalification package and submittals from the successful Applicants will become a part of the Contract for the Project.
2. The Applicant that becomes the Contractor shall provide, the person(s) named on the Personnel Assignment Form to perform the work. If the named person is no longer available, submit to the Department the name, experience, and résumé of a person meeting the same qualification requirements. If the Department deems the substitute qualified, that person may be used on the Project. If the proposed replacement person is rejected by the Department, the process shall be continued until an acceptable replacement is found. Applicant is responsible for any resulting delays to Contract completion.
3. The Applicant that becomes the Contractor will be required to comply with the Department Electrical Safety Policy. Refer to Contractual Requirements included in Attachment 2 for a copy of the Electrical Safety Policy Implementation Plan.

### **ATTACHMENT 1 – PREQUALIFICATION SUBMITTALS**

Applicants shall fill out and submit all portions of the submittals that pertain to them.

### **ATTACHMENT 2 - CONTRACTUAL REQUIREMENTS**

Applicants who become Bidders must comply with the bidding requirements. The Applicant who becomes the prime contractor must comply with the general conditions and shall ensure that their subcontractors comply with these general conditions. The following have been included as information, and are not required to be submitted with the Prequalification Submittals:

- Notice Inviting Bids
- Information for Bidders
- Bidding Documents
- Contract
- Performance Bond
- Payment Bond
- Insurance Requirements
- Affidavits of Completion
- SBE/VSBE/MBE/WBE/DVBE/OBE Information
- Section 01000 - General Conditions
- Section 01000A – Supplementary Conditions
- Electrical Safety Policy – Implementation Plan Form

The above are for reference only and are subject to change without notice.

### **ATTACHMENT 3 – PROJECT LABOR AGREEMENT (PLA)**

Applicants who become bidders must comply with the PLA requirements. The Applicant who becomes the prime contractor must comply with the PLA requirements and shall ensure that their subcontractors comply with the PLA requirements. The PLA has been included as information, and is not required to be submitted with the Prequalification Submittals.

Enclosures: Attachment 1 – Prequalification Submittals  
Attachment 2 – Contractual Requirements  
Attachment 3 – Project Labor Agreement  
Attachment 4 – Project Layout Drawing

**BERTHS 142-147 INTERMODAL  
CONTAINER TRANSFER FACILITY  
(ICTF)**

**SPECIFICATION NO. 2752**

**ATTACHMENT 1 -  
PREQUALIFICATION SUBMITTALS**

BERTHS 142-147 ICTF  
SPECIFICATION NO. 2752

INCLUDED SUBMITTALS:	Page No.
<b><u>SECTION 1</u></b>	
• General Information Form	1
• Declarations (Use appropriate declaration)	
○ Declarations of Individual or Sole Proprietorship	2
○ Partnership Declaration	3-4
○ Declaration of Corporate Officer	5
• Safety and Regulatory Compliance Questionnaire	6-7
• Contract Performance Questionnaire	8-9
<b><u>SECTION 2</u></b>	
• Applicant's Desiring to Bid as a Prime Contractor on Project Questionnaire	10-11
<b><u>PROJECT REFERENCE FORM</u></b>	
• Project Reference Form	12
<b><u>PERSONNEL ASSIGNMENT FORM</u></b>	
• Personnel Assignment Form	13

BERTHS 142-147 ICTF  
SPECIFICATION NO. 2752

SECTION 1

GENERAL INFORMATION FORM

Applicant (Construction Firm): \_\_\_\_\_  
(name as appears on Contractor's license, valid California Contractor's license is required at time of award)

Check One:

\_\_\_\_ Corporation \_\_\_\_ Sole Proprietorship \_\_\_\_ Partnership \_\_\_\_ Joint Venture

Joint Venture partner (if applicable): \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Phone Number(s) \_\_\_\_\_ Fax Number \_\_\_\_\_

State of California License Number(s): \_\_\_\_\_

Expiration Date: \_\_\_\_\_ Tax ID Number: \_\_\_\_\_

Describe the type of construction in which Applicant regularly engages: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

How many years experience in construction work under current organization? \_\_\_\_\_

(a) As a Prime Contractor? \_\_\_\_\_ From \_\_\_\_\_ to 20 \_\_\_\_\_

(b) As a Subcontractor? \_\_\_\_\_ From \_\_\_\_\_ to 20 \_\_\_\_\_

DECLARATION OF INDIVIDUAL OR SOLE PROPRIETORSHIP

Specification No. 2752

Project Title: Berths 142-147 ICTF

Applicant's Name (Construction Firm): \_\_\_\_\_

By signing, the Applicant declares under penalty of perjury that the information submitted by the Applicant on the forms provided by the City in Attachment 1 – Prequalification Submittals is true and correct.

I, \_\_\_\_\_, declare under the penalty of perjury that the foregoing is true and correct. Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ at \_\_\_\_\_, California.

Signature of Declarant \_\_\_\_\_

Title or Position in Company: \_\_\_\_\_

PARTNERSHIP DECLARATION

Specification No. 2752

Project Title: Berths 142-147 ICTF

Applicant's Name (Construction Firm): \_\_\_\_\_

By signing, the Applicant declares under penalty of perjury that the information submitted by the Applicant on the forms provided by the City in Attachment 1 – Prequalification Submittals is true and correct.

I, \_\_\_\_\_, declare under penalty of perjury that I am

a partner of \_\_\_\_\_  
\_\_\_\_\_

(Name of Firm)

that I am duly authorized to execute this declaration, and that the foregoing is true and correct. Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ at \_\_\_\_\_  
\_\_\_\_\_, California.

Signature of Declarant \_\_\_\_\_

Declaration Continued:

1. Date of Organization: \_\_\_\_\_
2. State whether partnership is general, limited, or association: \_\_\_\_\_
3. In what State: \_\_\_\_\_

Name and address of each partner:

Name \_\_\_\_\_ Title \_\_\_\_\_

Address \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_

Address \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_

Address \_\_\_\_\_

Have there been any changes in control of the company in the past 3 years?

\_\_\_\_\_ Yes \_\_\_\_\_ No

Is the company or its owners connected with other companies as a subsidiary, parent, holding, or affiliate?        \_\_\_\_\_ Yes        \_\_\_\_\_ No

If there is a "yes" answer to either of the two questions above, explain on a separate signed page.

DECLARATION OF CORPORATE OFFICER

Specification No. 2752

Project Title: Berths 142-147 ICTF

Applicant's Name (Construction Firm): \_\_\_\_\_

By signing, the Applicant declares under penalty of perjury that the information submitted by the Applicant on the forms provided by the City in Attachment 1 – Prequalification Submittals is true and correct.

I am the \_\_\_\_\_  
(Title of Corporation Officer)

of \_\_\_\_\_  
(Use Full Corporate Name)

I declare under penalty of perjury that I am duly authorized to execute this declaration, and that the foregoing is true and correct. Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ at \_\_\_\_\_, California.

Signature of Declarant \_\_\_\_\_

Declaration Continued:

1. Date Incorporated: \_\_\_\_\_
2. In what State: \_\_\_\_\_

Name and address of each corporate officer:

President: \_\_\_\_\_

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

Have there been any changes in control of the company in the past 3 years?

\_\_\_\_\_ Yes \_\_\_\_\_ No

Is the company or its owners connected with other companies as a subsidiary, parent, holding, or affiliate? \_\_\_\_\_ Yes \_\_\_\_\_ No

If there is a "yes" answer to either of the two questions above, explain on a separate signed page.

**SAFETY AND REGULATORY COMPLIANCE QUESTIONNAIRE**

Specification No. 2752

Project Title: Berths 142-147 ICTF

Applicant's Name (Construction Firm): \_\_\_\_\_

1. How often does Applicant require documented safety meetings to be held for construction employees and field supervisors during the course of a project? \_\_\_\_\_  
\_\_\_\_\_

2. Name employee of Applicant responsible for safety programs.

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title or Position

Qualifications, training, and other duties:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Has CAL/OSHA or the Federal OSHA cited and assessed penalties against Applicant for any "serious", "willful", or "repeat" violations of safety or health regulations in the past 5 years?

Yes            No            (Circle One)

If "yes", attach a separate signed page describing the citations, including the dates of the citations, the nature of the violation, the project on which the citation(s) was (were) issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

4. Has there been a period within the last 5 years when Applicant had employees but was without workers' compensation insurance or State-approved self-insurance?

Yes                      No                      (Circle One)

If "no", provide a statement by Applicant's workers' compensation insurance carrier(s) that verifies periods of workers' compensation insurance coverage for the last 5 years.

If "yes", explain the reasons for the absence of workers' compensation insurance on a separate signed page. (If Applicant has been in business for less than 5 years, provide a statement by Applicant's workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that Applicant has been in business).

5. List Applicant's Experience Modification Rate (EMR) for each of the past 3 premium years:

Current Year: \_\_\_\_\_

Previous Year: \_\_\_\_\_

Year prior to Previous Year: \_\_\_\_\_

If EMR for any of these 3 years was higher than 1.00, please attach a letter of explanation.

NOTE: Applicant's EMR is issued annually by Applicant's California workers compensation insurance carrier.

6. Has the Environmental Protection Agency (EPA) or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against Applicant or the owner on a referenced project Applicant was working on in the past 5 years?

Yes                      No                      (Circle One)

If "yes" attach a separate signed page describing each citation.

## CONTRACT PERFORMANCE QUESTIONNAIRE

Specification No. 2752

Project Title: Berths 142-147 ICTF

Applicant's Name (Construction Firm): \_\_\_\_\_

Has the Applicant in the last 5 years:

- a. been a party to any lawsuit involving construction contracts with the City of Los Angeles?

Yes                      No                      (Circle One)

- b. failed to timely and satisfactorily perform any contract?

Yes                      No                      (Circle One)

- c. been assessed liquidated damages?

Yes                      No                      (Circle One)

If answering "yes" to any above question, Applicant shall provide information requested below and on the reverse side of this page. Where "yes" answer applies to more than one project, Applicant shall make additional copies of this form and respond to the questions as they apply to the project listed.

Name of Project	
Project Location	
Project Start Date/Completion Date	
Agency	
Current Contact Name	
Contact Name at time work was performed	
Contract Amount	

Project Description:

Basis of claim or lawsuit:

Final resolution of claim or lawsuit:

Allegations of failure to perform:

Final resolution:

SECTION 2:

APPLICANTS DESIRING TO BID AS A PRIME CONTRACTOR ON PROJECT  
QUESTIONNAIRE

Specification No. 2752

Project Title: Berths 142-147 ICTF

Applicant's Name (Construction Firm): \_\_\_\_\_

1. Has the Applicant in the last ten years:

- a. Successfully completed three or more trackwork projects as a prime contractor with a minimum contract amount of \$25 million each?

Yes                      No                      (Circle One)

- b. Successfully completed at least three miles of mainline trackwork experience as a prime contractor, including a minimum of eight turnouts?

Yes                      No                      (Circle One)

- c. Successfully completed or managed three or more projects that included railroad signalization in the scope of work? (This work may have been performed by a subcontractor to the applicant.)

Yes                      No                      (Circle One)

- d. Successfully completed or managed three or more projects that included signal crossings on a Class 1 railroads in the scope of work? (This work may have been performed by a subcontractor to the applicant.)

Yes                      No                      (Circle One)

- e. Successfully completed or managed one or more projects that included installation and testing of a Train-in-Motion system equipment on a Class 1 railroad in the scope of work? (This work may have been performed by a subcontractor to the applicant.)

Yes                      No                      (Circle One)

Complete a Project Reference Form for each qualifying project.

2. Will the Applicant commit to providing:

- a. A full-time project manager who has functioned in such capacity on one or more qualifying projects (as a representative of Applicant's firm or

otherwise) with a construction amount of at least \$25 million in the last ten years?

Yes                      No                      (Circle One)

b. A safety engineer who has functioned in such capacity on one or more qualifying projects (as a representative of Applicant's firm or otherwise) with a construction amount of at least \$25 million in the last ten years?

Yes                      No                      (Circle One)

c. A full-time project scheduler who has functioned in such capacity on one or more qualifying projects (as a representative of Applicant's firm or otherwise) with a construction amount of at least \$25 million in the last ten years?

Yes                      No                      (Circle One)

d. A full-time project superintendent who, during the last ten years, has had overall responsibility for the successfully completed construction of an ICTF or rail yard (as a representative of Applicant's firm or otherwise)?

e. A full-time superintendent who had direct responsibility for signalization, crossings, and train-in-motion on rail projects?

Yes                      No                      (Circle One)

Complete a Personnel Assignment Form for the proposed personnel.

## PROJECT REFERENCE FORM

Specification No. 2752

Project Title: Berths 142-147 ICTF

Applicant's Name (Construction Firm): \_\_\_\_\_

Please make additional copies of this Form and use a separate form for each qualifying project used as a reference.

Projects must be completed projects. Projects in progress are not acceptable for listing as experience.

List the questionnaire and question this Project Reference applies to	
Name of Project	
Project Location	
Project Start Date/Completion Date	
Owners Name	
Address	
Phone	
Fax	
Current Contact Name	
Phone	
Contact Name at time work was performed	
Phone	
Contract Amount	

Describe below the work items installed during the reference project that are required by the prequalification and any additional information on project that is pertinent. Refer to Criteria for "Prequalified" Designation Article of the Notice Inviting Contractor to Prequalify for definition of qualifying project.

**PERSONNEL ASSIGNMENT FORM**

Specification No. 2752

Project Title: Berths 142-147 ICTF

Applicant's Name (Construction Firm): \_\_\_\_\_

Please make additional copies of this Form and complete a separate form for each person proposed to be assigned to the project.

Name of Proposed Person	
Proposed Position	
Questionnaire Name:	

**Qualifying Experience**

1. Project Name and Address	
Contractor for Project	
Job Title During Project Construction	
Period of Time on Project	
Owners/CM Name:	
Address	
Phone	
Fax No.	
Contact Name	
Phone	
Contract Amount	

The Applicant that becomes the prime contractor shall provide the person listed hereon to perform the work. If "full-time" is indicated, Applicant must commit to providing listed person "full-time" on the project. If the person is no longer available to the Applicant, the Applicant shall submit the name, experience, and resumé of a person meeting the same qualification requirements to the Department. If the Department deems the substitute qualified, that person may be used on the Project. If the proposed replacement person is rejected by the Department, the process shall be continued until an acceptable replacement is found.

Attach a resumé for each proposed person.