

**MANAGEMENT AUDIT – FY2013-14 STATUS
APRIL 2014**

CURRENT PROJECTS							
	Topic	Auditor	Type	Start	Estimated End	Progress Rate	Comments
1	IT Contracting Complaint	Poozhikala	Complaint Investigations (C)	8/16/13	1/31/14	95%	Finalizing draft report
2	Division Process Audits First Phase – Risk Assessments	Olds	Performance Audits (P)	3/14/13	3/31/14	100%	Completed risk profiles. Audits will be on going. Second phase will be determining scheduling of divisions to audit based on Audit Committee input.
3	RFP Compliance	Poozhikala	C/P	10/23/13	4/15/14	80%	Awaiting first draft report
4	San Pedro Waterfront Village	Olds	Tenant Compliance (T)	4/1/13	4/30/14	75%	City Attorney involved, awaiting outcome, initial findings completed
5	Al Larson Boat Yard	Shah	T	2/1/13	4/30/14	80%	First draft in process
6	Environmental Technical Services – First Phase	Olds	P	4/1/13	5/15/14	35%	Requires external expertise, RFP being drafted to be bid out to existing audit pool. Once firm selected, new schedule to be established for audit scope and completion.
7	City Services – Recreation and Parks Department Services and Billing – First Phase	Old	Financial Audit (FA)/P	3/15/14	5/15/14	60%	Using external expertise regarding services, responses coming in from members of audit pool. Second phase will be to schedule audit based on firm availability.
8	Eagle Marine	Poozhikala	P	3/3/14	9/3/14	5%	Initial coordination with Real Estate and Business Development.
9	San Pedro Fish Market	Poozhikala	P	3/17/14	10/15/14	3%	Initial coordination with Real Estate to review scope
10	Wilmington Marine	Shah	P	3/18/14	9/30/14	7%	Initial coordination with Real Estate and customer
11	LA Yacht Club	Shah	P	3/18/14	10/30/14	5%	Initial coordination with Real Estate

Completed Audits					
Audit Topic	Auditor	Type	Start	End	Comments
International Trade Education Program (ITEP)	Poozhikala	Special Project	8/20/13	1/15/14	Closing meeting with ITEP. ITEP made board presentation thanking POLA for support
Environmental Management Division Administrative Services	Olds	Performance	7/27/10	12/31/13	Closing meeting with EMD. Recommended Action steps being monitored
PortCheck Closeout	Olds	Financial Audit	5/15/13	12/31/13	Final audit of the PortCheck program, which has been terminated.
Grand Jury Executive Directive #9	Olds/Merkovsky	Special Project	1/16/14	1/30/14	Data requested from grand jury and provided in full
TraPac Project Review	Olds/Bronner	Performance	12/30/13	4/10/14	Report completed and delivered
Cabrillo Beach Yacht Club	Olds	Tenant Compliance	11/01/12	4/9/14	Closing meeting held and report delivered
SA Recycling	Shah	Tenant Compliance	9/30/13	4/11/14	Report completed, closing meeting held

Audit Implementation Plans Follow Up

Such Plans are the Responsibility of Management – Management Audit Helps to Coordinate these Efforts and Facilitate Completion of Recommendations

	Audit	Auditor	Type	Start	End	Comments
1	Fire City Services	Olds	Financial Audit	8/18/11	6/30/14	Resolving maintenance charges
2	IEAS	Olds	Performance	8/18/11	6/30/14	95% of actions items completed
3	Port Police	Olds	Performance	4/30/13	4/1/14	Original study by outside auditor has been discarded