

## Transmittal 3



### MANAGEMENT AUDIT – FY2013-14 CURRENT/ PLANNED PROJECTS FEBRUARY 2014

<u>Topic</u>	<u>Auditor</u>	<u>Type</u>	<u>Start</u>	<u>End</u>	<u>%Completion</u>	<u>Schedule</u>
1. IT contracting complaint	Poozhikala	C	8/16/13	1/31/14	95%	Behind
2. Divisional processes	Olds	P	2/14/13	April 2014	35%	On
3. Intl Trade Educ Prgm (ITEP)	Poozhikala	S	8/20/13	1/15/14	100%	
4. EMD administrative services	Olds	P	7/27/10	12/31/13	100%	
5. RFP compliance	Poozhikala	C/P	10/23/13	3/15/14	90%	On
6. SA Recycling	Shah	T	9/30/13	3/31/14	85%	On
7. SP Waterfront Village	Olds	T	4/1/13	4/30/14	65%	On**
8. PortCheck closeout audit	Olds	F	5/15/13	12/31/13	100%	
9. Al Larson Boat Yard	Shah	T	2/1/13	2/28/14	95%	On
10. EMD technical services	Olds	P	TBD			Behind
11. Recr/Parks' City Services	Olds	FA/P	TBD			Behind
12. Cabrillo Beach Yacht Club	Olds	T	11/1/12	1/31/14	95%	On***
13. Grand Jury Exec. Directive 9	Olds/Merk-Ovsky	S	1/16/14	1/30/14	100%	
14. Trapac Project Review	Olds	P	12/30/13	2/28/14	90%	On

**Follow-Up Audit Implementation Plans (Note A):**

15. Fire City Services	Olds	F	8/18/11	TBD	(Note B)	Behind
16. IEAS Controller Audit	Olds	F	8/18/11	4/30/14	90%	On
17. Port Police	Olds	F	4/30/13	TBD		

\*\* This audit schedule is tentative, reliant on tenant support to provide records. This is a City Attorney-related matter as well.

\*\*\* Audit report draft under management review.

**Note A** Implementation plans are the responsibility of management. We help to coordinate these efforts and facilitate completion of recommendations. Police audit action plan under overall consideration of security plan.

**Note B** Pending open items remain and completion relies in large part on cooperation of Fire Department. Prior Fire Chief's summary decisions have been re-evaluated. POLA is starting to draft new MOU for City Services. Time estimate to complete difficult to determine.

**Audit Types:**

- Performance Audits (P)
- Tenant Compliance Audits (T)
- Financial Audits (FA)
- Complaint Investigations (C)
- Special Projects (S)
- Follow-ups (F)